By: Zaffirini

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A BILL TO BE ENTITLED 1 AN ACT 2 relating to guardianships, alternatives to guardianship, and supports and services for incapacitated persons. 3 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS: 4 5 SECTION 1. Section 22.016, Estates Code, is amended to read as follows: 6 Sec. 22.016. INCAPACITATED 7 PERSON. А person is "incapacitated" if the person: 8 9 (1) is a minor; is an adult who, because of a physical or mental 10 (2) condition, is substantially unable to do the following even with 11 12 appropriate supports and services: 13 (A) provide food, clothing, or shelter for himself or herself; 14 15 care for the person's own physical health; or (B) manage the person's own financial affairs; or 16 (C) (3) must have a guardian appointed for the person to 17 receive funds due the person from a governmental source. 18 SECTION 2. Section 1002.017, Estates Code, is amended to 19 read as follows: 20 21 Sec. 1002.017. INCAPACITATED PERSON. "Incapacitated person" means: 22 23 (1) a minor; an adult who, because of a physical or mental 24 (2)

1 condition, is substantially unable to <u>do the following even with</u>
2 <u>appropriate supports and services</u>:

3 (A) provide food, clothing, or shelter for4 himself or herself;

5 (B) care for the person's own physical health; or 6 (C) manage the person's own financial affairs; or 7 (3) a person who must have a guardian appointed for the 8 person to receive funds due the person from a governmental source.

9 SECTION 3. Section 1054.001, Estates Code, is amended to 10 read as follows:

11 Sec. 1054.001. APPOINTMENT OF ATTORNEY AD LITEM IN 12 PROCEEDING FOR APPOINTMENT OF GUARDIAN. In a proceeding under this 13 title for the appointment of a guardian, the court shall appoint an 14 attorney ad litem to represent the proposed ward's interests<u>,</u> 15 including the proposed ward's expressed wishes.

16 SECTION 4. Section 1054.003, Estates Code, is amended to 17 read as follows:

18 Sec. 1054.003. ACCESS TO RECORDS. An attorney ad litem 19 appointed under Section 1054.001 or an attorney retained by a ward 20 or proposed ward under Section 1054.006 or 1202.103 shall be 21 provided copies of all of the current records in the guardianship 22 case. The attorney <u>ad litem or retained attorney</u> may have access to 23 all of the proposed ward's relevant medical, psychological, and 24 intellectual testing records.

25 SECTION 5. Section 1054.006, Estates Code, is amended to 26 read as follows:

27 Sec. 1054.006. REPRESENTATION OF WARD OR PROPOSED WARD BY

1 ATTORNEY. (a) <u>A ward or proposed ward</u> [The following persons] may at any time retain an attorney who holds a certificate required by 2 3 Subchapter E to represent the ward's or proposed ward's [person's] interests, including the ward's or proposed ward's expressed 4 wishes, in a guardianship proceeding, including a proceeding 5 involving the complete restoration of the ward's capacity or 6 modification of the ward's guardianship, instead of having those 7 8 interests represented by an attorney ad litem appointed under Section 1054.001 or another provision of this title [+ 9

10 [(1) a ward who retains the power to enter into a 11 contract under the terms of the guardianship, subject to Section 12 1202.103; and

13 [(2) a proposed ward for purposes of a proceeding for 14 the appointment of a guardian as long as the proposed ward has 15 capacity to contract].

(b) Subject to Subsection (c), if a ward or proposed ward 16 17 has retained an attorney under Subsection (a), [If] the court [finds that the ward or the proposed ward has capacity to contract, 18 19 the court may] shall remove an attorney ad litem appointed under Section 1054.001 or any other provision of this title that requires 20 the court to appoint an attorney ad litem to represent the interests 21 of a ward or proposed ward and appoint a ward or a proposed ward's 22 retained counsel. 23

24 (c) On the court's own motion or on the motion of a party to
25 a guardianship proceeding, the court shall hold a hearing on the
26 ward's or proposed ward's capacity to contract and retain an
27 attorney under Subsection (a). If the court finds that the ward or

1 proposed ward does not understand the guardianship proceeding or 2 the purpose for which the attorney was retained, the court shall 3 remove the retained attorney and appoint an attorney ad litem under Section 1202.101 or another provision of this title that requires 4 the court to appoint an attorney ad litem, as applicable. 5 6 (d) An attorney retained for a ward or proposed ward under 7 this section must represent the ward's or proposed ward's interests, including the ward's or proposed ward's expressed 8 wishes. 9 SECTION 6. Section 1054.007, Estates Code, is amended by 10 adding Subsection (c) to read as follows: 11 12 (c) An attorney ad litem appointed for a ward or proposed ward under this title shall represent the ward's or proposed ward's 13 interests, including the ward's or proposed ward's expressed 14 15 wishes. SECTION 7. Section 1054.051, Estates Code, is amended to 16 17 read as follows: Sec. 1054.051. APPOINTMENT OF GUARDIAN AD LITEM 18 ΤN 19 GUARDIANSHIP PROCEEDING. (a) The judge may appoint a person who is not an interested person as a guardian ad litem to represent the 20 interests of an incapacitated person in a guardianship proceeding. 21 22 (b) A person appointed as a guardian ad litem may not be: (1) appointed as an attorney ad litem for the 23 24 guardianship proceeding except as provided by Section 1054.052 or 25 1203.051; 26 (2) a current or former temporary guardian or permanent guardian of the ward or proposed ward; or 27

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(3) an opposing party in the guardianship proceeding. 1 2 SECTION 8. Subchapter D, Chapter 1054, Estates Code, is 3 amended by adding Section 1054.157 to read as follows: 4 Sec. 1054.157. REQUIRED TRAINING. At least once every two years, a court investigator shall complete at least one hour of 5 training on alternatives to guardianship and supports and services 6 available to a proposed ward in accordance with Section 22.0133, 7 8 Government Code. SECTION 9. Section 1151.351(b), Estates Code, is amended to 9 read as follows: 10 (b) Unless limited by a court or otherwise restricted by 11 12 law, a ward is authorized to the following: (1) to have a copy of the guardianship order and 13 14 letters of guardianship and contact information for the probate 15 court that issued the order and letters; 16 (2) to have a guardianship that encourages the 17 development or maintenance of maximum self-reliance and independence in the ward with the eventual goal, if possible, of 18 19 self-sufficiency; (3) to be treated with respect, consideration, and 20 recognition of the ward's dignity and individuality; 21 (4) to reside and receive support services in the most 22 23 integrated setting, including home-based or other community-based 24 settings, as required by Title II of the Americans with Disabilities Act (42 U.S.C. Section 12131 et seq.); 25 26 (5) to consideration of the ward's current and previously stated personal preferences, desires, medical 27 and

1 psychiatric treatment preferences, religious beliefs, living 2 arrangements, and other preferences and opinions;

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3 (6) to financial self-determination for all public
4 benefits after essential living expenses and health needs are met
5 and to have access to a monthly personal allowance;

6 (7) to receive timely and appropriate health care and 7 medical treatment that does not violate the ward's rights granted 8 by the constitution and laws of this state and the United States;

9 (8) to exercise full control of all aspects of life not10 specifically granted by the court to the guardian;

11 (9) to control the ward's personal environment based 12 on the ward's preferences;

(10) to complain or raise concerns regarding the quardian or guardianship to the court, including living arrangements, retaliation by the guardian, conflicts of interest between the guardian and service providers, or a violation of any rights under this section;

(11) to receive notice in the ward's native language, or preferred mode of communication, and in a manner accessible to the ward, of a court proceeding to continue, modify, or terminate the guardianship and the opportunity to appear before the court to express the ward's preferences and concerns regarding whether the guardianship should be continued, modified, or terminated;

(12) to have a court investigator or guardian ad litem
appointed by the court to investigate a complaint received by the
court from the ward or any person about the guardianship;

27 (13) to participate in social, religious, and

1 recreational activities, training, employment, education, 2 habilitation, and rehabilitation of the ward's choice in the most 3 integrated setting;

(14) to self-determination in the 4 substantial maintenance, disposition, and management of real and personal 5 property after essential living expenses and health needs are met, 6 including the right to receive notice and object about the 7 8 substantial maintenance, disposition, or management of clothing, furniture, vehicles, and other personal effects; 9

10 (15) to personal privacy and confidentiality in 11 personal matters, subject to state and federal law;

12 (16) to unimpeded, private, and uncensored 13 communication and visitation with persons of the ward's choice, 14 except that if the guardian determines that certain communication 15 or visitation causes substantial harm to the ward:

16 (A) the guardian may limit, supervise, or 17 restrict communication or visitation, but only to the extent 18 necessary to protect the ward from substantial harm; and

(B) the ward may request a hearing to remove any
restrictions on communication or visitation imposed by the guardian
under Paragraph (A);

(17) to petition the court and retain counsel of the ward's choice who holds a certificate required by Subchapter E, Chapter 1054, to represent the ward's interest for capacity restoration, modification of the guardianship, the appointment of a different guardian, or for other appropriate relief under this subchapter, including a transition to a supported decision-making

1 agreement, except as limited by Section 1054.006;

2 (18) to vote in a public election, marry, and retain a
3 license to operate a motor vehicle, unless restricted by the court;

4 (19) to personal visits from the guardian or the 5 guardian's designee at least once every three months, but more 6 often, if necessary, unless the court orders otherwise;

7 (20) to be informed of the name, address, phone 8 number, and purpose of Disability Rights Texas, an organization 9 whose mission is to protect the rights of, and advocate for, persons 10 with disabilities, and to communicate and meet with representatives 11 of that organization;

12 (21) to be informed of the name, address, phone 13 number, and purpose of an independent living center, an area agency 14 on aging, an aging and disability resource center, and the local 15 mental health and intellectual and developmental disability 16 center, and to communicate and meet with representatives from these 17 agencies and organizations;

18 (22) to be informed of the name, address, phone 19 number, and purpose of the Judicial Branch Certification Commission 20 and the procedure for filing a complaint against a certified 21 guardian;

contact the 22 (23) to Department of Family and Protective Services to report abuse, neglect, exploitation, or 23 violation of personal rights without fear of 24 punishment, interference, coercion, or retaliation; 25

26 (24) to have the guardian, on appointment and on 27 annual renewal of the guardianship, explain the rights delineated

in this subsection in the ward's native language, or preferred mode
 of communication, and in a manner accessible to the ward; [and]

3 (25) to make decisions related to sexual assault 4 crisis services, including consenting to a forensic medical 5 examination and treatment, authorizing the collection of forensic 6 evidence, consenting to the release of evidence contained in an 7 evidence collection kit and disclosure of related confidential 8 information, and receiving counseling and other support services; 9 and

10 (26) to have private communications with the ward's 11 physicians or other medical professionals, unless the court, after 12 a hearing requested by the ward's guardian, orders the private 13 communications to be limited due to the risk of substantial harm to 14 the ward.

15 SECTION 10. Section 1163.101, Estates Code, is amended by 16 amending Subsection (c) and adding Subsection (d) to read as 17 follows:

18 (c) The guardian of the person shall file a sworn affidavit19 that contains:

20 (1) the guardian's current name, address, and 21 telephone number;

(2) the ward's date of birth and current name, address,telephone number, and age;

24 (3) a description of the type of home in which the ward25 resides, which shall be described as:

26 (A) the ward's own home;

27 (B) a nursing home;

1 (C) a guardian's home; 2 (D) a foster home; 3 (E) a boarding home; 4 (F) relative's home, in which case а the 5 description must specify the relative's relationship to the ward; 6 (G) a hospital or medical facility; or 7 (H) another type of residence; 8 (4) statements indicating: 9 (A) the length of time the ward has resided in the 10 present home; (B) 11 the reason for a change in the ward's residence, if a change in the ward's residence has occurred in the 12 13 past year; 14 (C) the date the guardian most recently saw the 15 ward; 16 (D) how frequently the guardian has seen the ward 17 in the past year; 18 (E) whether the guardian has possession or control of the ward's estate; 19 20 (F) whether the ward's mental health has 21 improved, deteriorated, or remained unchanged during the past year, 22 including a description of the change if a change has occurred; whether the ward's physical health 23 (G) has 24 improved, deteriorated, or remained unchanged during the past year, 25 including a description of the change if a change has occurred; 26 (H) whether the ward has regular medical care; 27 [and]

S.B. No. 1624 1 (I) the ward's treatment or evaluation by any of the following persons during the past year, including the person's 2 3 name and a description of the treatment: 4 (i) a physician; 5 (ii) a psychiatrist, psychologist, or other mental health care provider; 6 7 (iii) a dentist; 8 (iv) a social or other caseworker; or 9 (v) any other individual who provided 10 treatment; and (J) supports and services the ward has received 11 12 or is currently receiving, as described by Subsection (d); (5) a description of the ward's activities during the 13 14 past year, including recreational, educational, social, and 15 occupational activities, or a statement that no activities were available or that the ward was unable or refused to participate in 16 17 activities; (6) the guardian's evaluation of: 18 19 (A) the ward's living arrangements as excellent, average, or below average, including an explanation if the 20 21 conditions are below average; (B) whether the ward is content or unhappy with 22 the ward's living arrangements; and 23 24 (C) unmet needs of the ward; 25 a statement indicating whether the guardian's (7) 26 power should be increased, decreased, or unaltered, including an explanation if a change is recommended; 27

S.B. No. 1624 (8) a statement indicating that the guardian has paid the bond premium for the next reporting period;

3 (9) if the guardian is a private professional guardian, a guardianship program, or the Health and Human Services 4 5 Commission, whether the guardian or an individual certified under Subchapter C, Chapter 155, Government Code, who is providing 6 guardianship services to the ward and who is filing the affidavit on 7 8 the guardian's behalf, is or has been the subject of an investigation conducted by the Judicial Branch Certification 9 10 Commission during the preceding year; and

(10) any additional information the guardian desires to share with the court regarding the ward, including:

(A) whether the guardian has filed for emergency
detention of the ward under Subchapter A, Chapter 573, Health and
Safety Code; and

16 (B) if applicable, the number of times the 17 guardian has filed for emergency detention and the dates of the 18 applications for emergency detention.

19 (d) The statements in the sworn affidavit regarding the 20 ward's supports and services under Subsection (c)(4)(J) must 21 include:

(1) information regarding actions the guardian is taking to encourage the development of the ward's maximum self-reliance and independence;

25 (2) a list of all the supports and services the ward is
 26 currently receiving, including whether the ward:

27 (A) has a representative payee;

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1	(B) receives services from a local mental health
2	authority or local intellectual and developmental disability
3	authority;
4	(C) receives any supports and services under
5	Medicaid, including under a Medicaid waiver program authorized
6	under Section 1915(c) of the federal Social Security Act (42 U.S.C.
7	Section 1396n); and
8	(D) receives any supports and services
9	informally;
10	(3) where the ward receives the supports and services
11	described by Subdivision (2);
12	(4) who provides the supports and services described
13	by Subdivision (2);
14	(5) a list of the supports and services the ward
15	previously received or attempted to receive and why the support or
16	service was discontinued or not received; and
17	(6) the guardian's opinion on whether the ward has the
18	capacity or sufficient capacity with supports and services for
19	complete restoration of the ward's capacity or modification of the
20	guardianship under Chapter 1202 or the reasons why the ward does not
21	have the capacity or sufficient capacity with supports and services
22	for complete restoration of the ward's capacity or modification of
23	the guardianship under Chapter 1202.
24	SECTION 11. Section 1201.052, Estates Code, is amended to
25	read as follows:
26	Sec. 1201.052. ANNUAL DETERMINATION; HEARING. (a) To
27	determine whether a guardianship should be continued, modified, or

S.B. No. 1624 terminated, the court in which the guardianship proceeding is 1 pending: 2 shall review annually each guardianship in which 3 (1)the application to create the guardianship was filed 4 after 5 September 1, 1993; and 6 (2) may review annually any other guardianship. 7 (b) A court in which the guardianship proceeding is pending 8 may conduct a hearing under this section. SECTION 12. Section 1201.053, Estates Code, is amended to 9 read as follows: 10 Sec. 1201.053. METHOD OF DETERMINATION. (a) In reviewing a 11 12 guardianship under Section 1201.052, a statutory probate court shall review any [may]: 13 14 (1)[review any] report prepared by: 15 (A) a court investigator under Section 1054.153 16 or 1202.054; 17 (B) a guardian ad litem under Section 1202.054; 18 or a court visitor under Section 1054.104; 19 (C) 20 [conduct a hearing; or (2) [(3) review an] annual account prepared 21 under Subchapter A, Chapter 1163; and 22 (3) [, or a] report prepared under Subchapter C, 23 24 Chapter 1163. 25 (a-1) Unless a court orders that a report be completed more 26 frequently, if a report described by Subsection (a)(1) is required: (1) under Section 1054.153 or 1054.104, the court 27

S.B. No. 1624 investigator or court visitor, as appropriate, shall prepare an 1 2 additional report described by Subsection (a)(1) every three years beginning on the date the original letters of guardianship are 3 4 issued; or 5 (2) under Section 1202.054, the court investigator or guardian ad litem, as applicable, shall prepare an additional 6 7 report described by Subsection (a)(1) every three years beginning 8 on the date of the last hearing relating to the guardianship held under Subchapter D, Chapter 1202. 9 (a-2) Before preparing an additional report under 10 Subsection (a-1), the court investigator, guardian ad litem, or 11 12 court visitor, as appropriate, shall: (1) meet with the ward in person, using necessary and 13 14 appropriate communication supports; (2) present the bill of rights for wards under Section 15 1151.351 to the ward in the ward's preferred language and manner of 16 17 communication; (3) document the ward's statement of guardianship, as 18 19 described by Subsection (a-3); and (4) document the supports and services currently 20 available to the ward and whether the guardian's rights and powers 21 can be limited because a less restrictive alternative to 22 23 quardianship is appropriate. 24 (a-3) The ward's statement of guardianship: 25 (1) must include: 26 (A) whether the ward desires a full restoration of the ward's capacity or modification of the ward's guardianship; 27

S.B. No. 1624 1 and 2 (B) any other information the ward wishes to share with the court; and 3 4 (2) may be in the form of: 5 (A) a written statement made by the ward and 6 filed with the court by the court investigator, guardian ad litem, 7 or court visitor preparing the report; (B) a verbal statement made to the court 8 investigator, guardian ad litem, or court visitor, as applicable, 9 10 that is documented in writing and filed with the court by the person receiving the statement; or 11 12 (C) a verbal or written statement made by the ward during a hearing either in person or remotely through other 13 14 means. 15 (b) A court that is not a statutory probate court: 16 (1) shall review: 17 (A) any account prepared under Subchapter A, 18 Chapter 1163; and 19 (B) any report prepared under Subchapter C, Chapter 1163 or Subsection (a-1); and 20 21 (2) may use any other method to review a guardianship under Section 1201.052 that is determined appropriate by the court 22 23 according to the court's caseload and available resources. 24 SECTION 13. Section 1202.101, Estates Code, is amended to 25 read as follows: Sec. 1202.101. APPOINTMENT OF ATTORNEY AD LITEM. 26 (a) Unless the ward retains an attorney under Section 1202.103, the 27

[The] court shall appoint an attorney ad litem to represent a ward in a proceeding for the complete restoration of the ward's capacity or for the modification of the ward's guardianship. Unless otherwise provided by the court, the attorney ad litem shall represent the ward only for purposes of the restoration or modification proceeding. <u>The attorney ad litem shall represent the</u> ward's interests, including the ward's expressed wishes.

8 (b) The attorney ad litem has an attorney-client 9 relationship with the ward the attorney ad litem is appointed to 10 represent under this section.

SECTION 14. Section 1202.152, Estates Code, is amended to read as follows:

Sec. 1202.152. <u>EVIDENCE OF CAPACITY WITH OR WITHOUT</u> <u>SUPPORTS AND SERVICES [PHYSICIAN'S LETTER OR CERTIFICATE</u> <u>REQUIRED</u>]. (a) The court may <u>consider the following evidence of</u> <u>capacity or capacity with supports and services at a hearing under</u> <u>Section 1202.151:</u>

18 <u>(1) a written letter or certificate signed by a</u> 19 physician or psychologist licensed in this state;

20 (2) a statement from a representative of the local 21 mental health authority or the local intellectual and developmental 22 disability authority listing services received by the ward and the 23 effectiveness of those services;

24 (3) medical records;
 25 (4) affidavits of treating professionals regarding
 26 the effectiveness of supports and services the ward is receiving;
 27 (5) documentation from a health care provider

providing supports or services to the ward under Medicaid, 1 2 including a Medicaid waiver program authorized under Section 1915(c) of the federal Social Security Act (42 U.S.C. Section 3 4 1396n); 5 (6) an affidavit of the ward's employer or day habilitation program manager regarding the ward's ability to 6 perform the necessary tasks; 7 (7) documentation from the United States Social 8 Security Administration identifying the ward's representative 9 10 <u>payee; or</u> (8) any other evidence demonstrating the ward's 11 12 capacity [not grant an order completely restoring a ward's capacity or modifying a ward's guardianship under an application filed under 13 14 Section 1202.051 unless the applicant presents to the court a 15 written letter or certificate from a physician licensed in this 16 state that is dated: 17 [(1) not earlier than the 120th day before the date the application was filed; or 18 [(2) after the date the application was filed but 19 before the date of the hearing]. 20 21 (b) A letter or certificate presented under Subsection (a)(1) must: 22 describe the nature and degree of incapacity, 23 (1)24 including the medical history if reasonably available, or state that, in the physician's opinion, the ward has the capacity, or 25 26 sufficient capacity with supports and services, to: 27 (A) provide food, clothing, and shelter for

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S.B. No. 1624 1 himself or herself; 2 care for the ward's own physical health; and (B) 3 (C) manage the ward's financial affairs; provide a medical prognosis specifying 4 (2) the 5 estimated severity of any incapacity; 6 (3) state how or in what manner the ward's ability to 7 make or communicate responsible decisions concerning himself or 8 herself is affected by the ward's physical or mental health; 9 state whether any current medication affects the (4) 10 ward's demeanor or the ward's ability to participate fully in a court proceeding; 11 12 (5) describe the precise physical and mental conditions underlying a diagnosis of senility, if applicable; and 13 14 (6) include any other information required by the 15 court. (c) If the court determines it is necessary, the court shall 16 17 [may] appoint a physician of the ward's choice, or, if the ward's physician of choice is not available, the court may appoint the 18 19 necessary physicians to examine the ward in the same manner and to the same extent as a ward is examined by a physician under Section 20 1101.103 or 1101.104. 21 SECTION 15. Subchapter A, Chapter 22, Government Code, is 22 amended by adding Section 22.0133 to read as follows: 23 24 Sec. 22.0133. JUDICIAL AND COURT INVESTIGATOR TRAINING RELATED TO GUARDIANSHIPS. The supreme court, in consultation with 25 26 the Judicial Branch Certification Commission, shall ensure that at least one hour of training related to alternatives to guardianships 27

1 and supports and services that are available to a proposed ward is provided to each judge with jurisdiction to hear a guardianship 2 proceeding and each court investigator appointed under Section 3 1054.156, Estates Code, at least once every two years. 4 5 SECTION 16. Sections 1202.054(b-1) and (d), Estates Code, are repealed. 6 7 SECTION 17. (a) Except as otherwise provided by this 8 section, the changes in law made by this Act apply to: a guardianship proceeding created before, on, or 9 (1)after the effective date of this Act; and 10 (2) an application for a guardianship pending on, or 11 filed on or after, the effective date of this Act. 12 The changes in law made by this Act to Section 1202.152, 13 (b) 14 Estates Code, apply only to a proceeding for the complete 15 restoration of capacity or modification of a guardianship commenced on or after the effective date of this Act. A proceeding described 16 17 by this section commenced before the effective date of this Act is governed by the law in effect on the date the proceeding was 18 commenced, and the former law is continued in effect for that 19 20 purpose. 21 SECTION 18. (a) Not later than December 1, 2023, the supreme court shall adopt the rules necessary to provide the 22 23 training required under Section 22.0133, Government Code, as added 24 by this Act. (b) Notwithstanding Section 22.0133, Government Code, as 25 26 added by this Act, a judge who is in office on the effective date of this Act or a court investigator described by Section 22.0133, 27

Government Code, as added by this Act, who is appointed on or before
 the effective date of this Act must complete the training required
 by Section 22.0133, Government Code, as added by this Act, not later
 than December 1, 2025.

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5 SECTION 19. This Act takes effect September 1, 2023.