By: Miles S.B. No. 1978

A BILL TO BE ENTITLED

AN ACT

2	relating	to	the	Dentist	and	Dental	Hygienist	Compact;	authorizing

- 2 relating to the Dentist and Dental Hygienist Compact; authorizing 3 fees.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
- 5 SECTION 1. Subtitle D, Title 3, Occupations Code, is
- 6 amended by adding Chapter 268 to read as follows:

7 CHAPTER 268. DENTIST AND DENTAL HYGIENIST COMPACT

- 8 Sec. 268.001. DENTIST AND DENTAL HYGIENIST COMPACT. The
- 9 Dentist and Dental Hygienist Compact is enacted and entered into
- 10 with all other jurisdictions that legally join in the compact,
- 11 which reads as follows:

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12 <u>DENTIST AND DENTAL HYGIENIST COMPACT</u>

- 13 <u>SECTION 1. TITLE AND PURPOSE</u>
- 14 This statute shall be known and cited as the Dentist and Dental
- 15 Hygienist Compact. The purpose of this Compact is to facilitate the
- 16 interstate practice of dentistry and dental hygiene with the goal
- 17 of improving public access to services and supporting the ability
- 18 of Dentists and Dental Hygienists to provide dentistry and dental
- 19 <u>hygiene services when relocating in Participating States. The</u>
- 20 Compact preserves the regulatory authority of Participating States
- 21 to protect public health and safety through their authority to
- 22 regulate the practice of dentistry and dental hygiene in their
- 23 State by Dentists and Dental Hygienists who practice in their State
- 24 pursuant to a Compact Privilege.

1 SECTION 2. DEFINITIONS

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- 2 As used in this Compact, and except as otherwise provided, the
- 3 following definitions shall apply:
- A. "Active-Duty Military" means full-time duty status in the 4 5 active uniformed service of the United States, including members of the National Guard and Reserve on active-duty 6 7 orders pursuant to 10 U.S.C. Section 1209 and 1211.
- B. "Adverse Action" means disciplinary action or encumbrance imposed on a license or Compact Privilege by a State 10 Licensing Authority.
 - C. "Alternative Program" means a non-disciplinary monitoring or practice remediation process applicable to a Dentist or Dental Hygienist approved by the State Licensing Authority of a Participating State in which the Dentist or Dental Hygienist is licensed. This includes, but is not limited to, programs to which Licensees with substance abuse or addiction issues are referred in lieu of Adverse Action.
 - D. "Clinical Assessment" means examination or process, required for licensure as a Dentist or Dental Hygienist as applicable, that provides evidence of clinical competence in dentistry or dental hygiene.
- E. "Commissioner" means the individual appointed by a 2.2 Participating State to serve as the member of the 23 24 Commission for that Participating State.
- F. "Compact" means this Dentist and Dental Hygienist 25 26 Licensing Compact.
- G. "Compact Privilege" means the authorization granted by 27

the Commission to allow a Licensee from a Participating

State to practice as a Dentist or Dental Hygienist in a

Remote State.

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- H. "Continuing Professional Development" means a requirement, as a condition of license renewal or the renewal of a license registration, to provide evidence of successful participation in, educational or professional activities relevant to practice or area of work.
- I. "Criminal Background Check" means the submission of fingerprints or other biometric-based information for a license applicant for the purpose of obtaining that applicant's criminal history record information, as defined in 28 C.F.R. § 20.3(d) from the Federal Bureau of Investigation and the agency responsible for retaining State criminal records in the State.
 - J. "Data System" means the Commission's repository of information about Licensees, including but not limited to examination, licensure, investigative, Compact Privilege, Adverse Action, and Alternative Program.
- K. "Dental Hygienist" means an individual who is licensed by a State Licensing Authority to practice dental hygiene.
- L. "Dentist" means an individual who is licensed by a State
 Licensing Authority to practice dentistry.
- M. "Dentist and Dental Hygienist Compact Commission" or
 "Commission" means a government agency established by this
 Compact comprised of each State that has enacted the
 Compact and a national administrative body comprised of a

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⊥	COMMITSSTOMET	IIOM Ea	.CII State	that has	enacted ti	ie Compact.

N. "Encumbered License" means a license that a State

Licensing Authority has limited in any way other than

through an Alternative Program.

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- O. "Executive Board" means the Chair, Vice Chair, Secretary and Treasurer and any other Commissioners as may be determined by Commission Rule or bylaw.
 - P. "Jurisprudence Requirement" means the assessment of an individual's knowledge of the laws and Rules governing the practice of dentistry or dental hygiene, as applicable, in a State.
- Q. "Licensee" means an individual who currently holds an authorization from a Participating State, other than a Compact Privilege, or other privilege, to practice as a Dentist or Dental Hygienist in that State.
- P. "Model Compact" the model for the Interstate Dentist and

 Dental Hygienist Compact on file with the Council of State

 Governments or other entity as designated by the

 Commission.
- S. "Participating State" means a State that has enacted the

 Compact and been admitted to the Commission in accordance
 with the provisions herein and Commission Rules.
- T. "Qualifying License" means a license that is not an Encumbered License issued by a Participating State to practice dentistry or dental hygiene.
- U. "Remote State" means a Participating State where a

 Licensee who is not licensed as a Dentist or Dental

- Hygienist is exercising or seeking to exercise the Compact
 Privilege.
- W. "Rule" means a regulation promulgated by an entity that has the force of law.

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- W. "Scope of Practice" means the procedures, actions, and processes a Dentist or Dental Hygienist licensed in a State is permitted to undertake in that State and the circumstances under which the Licensee is permitted to undertake those procedures, actions and processes. Such procedures, actions and processes and the circumstances under which they may be undertaken may be established through means, including, but not limited to, statute, Rules and regulations, case law, and other processes available to the State Licensing Authority or other government agency.
 - X. "Significant Investigative Information" means information, records, and documents received or generated by a State Licensing Authority pursuant to an investigation for which a determination has been made that there is probable cause to believe that the Licensee has violated a statute or regulation that is considered more than a minor infraction for which the State Licensing Authority could pursue adverse action against the Licensee.
 - Y. "State" means any state, commonwealth, district, or territory of the United States of America that regulates the practices of dentistry and dental hygiene.

1	Z. "State Licensing Authority" means the agency or other
2	entity of a State that is responsible for the licensing and
3	regulation of Dentists and Dental Hygienists.
4	SECTION 3. STATE PARTICIPATION IN THE COMPACT
5	A. In order to join the Compact and thereafter continue as a
6	Participating State, a State must:
7	1. Enact a compact that is not materially different from the
8	Model Compact as determined in accordance with Commission
9	Rules;
10	2. Participate fully in the Commission's Data System;
11	3. Have a mechanism in place for receiving and investigating
12	<pre>complaints about its Licensees;</pre>
13	4. Notify the Commission, in compliance with the terms of the
14	Compact and Commission Rules, of any Adverse Action or the
15	availability of Significant Investigative Information
16	regarding a Licensee;
17	5. Fully implement a Criminal Background Check requirement,
18	within a time frame established by Commission Rule, by
19	receiving the results of a qualifying Criminal Background
20	<pre>Check;</pre>
21	6. Comply with the Commission Rules applicable to a
22	Participating State;
23	7. Utilize the National Board Examinations of the Joint
24	Commission on National Dental Examinations or another
25	examination accepted by Commission Rule as a requirement
26	<pre>for licensure;</pre>
27	8. Require for licensure that applicants graduate from a

1	predoctoral dental education program, leading to the
2	D.D.S. or D.M.D. degree, or a dental hygiene education
3	program accredited by the Commission on Dental
4	Accreditation or another agency permitted by Commission
5	Rule;
6	9. Require for licensure that applicants successfully
7	<pre>complete a Clinical Assessment;</pre>
8	10. Have Continuing Professional Development requirements as
9	a condition for license renewal or renewal of license; and
10	11. Pay a participation fee to the Commission as established
11	by Commission Rule.
12	B. When conducting a Criminal Background Check the State Licensing
13	Authority shall:
14	1. Consider that information in making a licensure decision;
15	2. Maintain documentation of completion of the Criminal
16	Background Check and background check information to the
17	extent allowed by State and federal law; and
18	3. Report to the Commission whether it has completed the
19	Criminal Background Check and whether the individual was
20	denied a license.
21	C. The Commission shall grant a Licensee of a Participating State
22	who does not hold an Encumbered License in any other
23	Participating State, the Compact Privilege in a Remote State in
24	accordance with the terms of the Compact and Commission Rules. If
25	a Remote State has a Jurisprudence Requirement, the Commission
26	shall not grant the Licensee the Compact Privilege for that
27	Remote State unless and until the Commission is informed by the

- 1 Remote State or Licensee that the Licensee has satisfied the
- 2 Jurisprudence Requirement.
- 3 SECTION 4. COMPACT PRIVILEGE
- 4 A. To exercise the Compact Privilege under the terms and provisions
- of the Compact, the Licensee shall:
- 1. Have a Qualifying License as a Dentist or Dental Hygienist
 in a Participating State.
- 8 <u>2. Be eligible for a Compact Privilege in any Remote State in</u>
 9 accordance with D, G and H of this section;
- 3. Apply to the Commission whenever the Licensee is seeking a
 Compact Privilege within one or more Remote States;
- 4. Pay any applicable Commission and Remote State fees for a
 Compact Privilege in the Remote State;
- 5. Meet any Jurisprudence Requirements established by a

 Remote State in which the Licensee is seeking a Compact

 Privilege;
- 6. Have passed a National Board Examination of the Joint
 Commission on National Dental Examinations or another
 examination accepted by Commission Rule as a requirement
 for licensure;
- 21 7. Have graduated from a predoctoral dental education
 22 program, leading to the D.D.S. or D.M.D. degree, or a
 23 dental hygiene education program accredited by the
 24 Commission on Dental Accreditation or another agency
 25 permitted by Commission Rule;
- 26 <u>8. Have successfully completed a Clinical Assessment for</u> 27 licensure;

- 9. Report to the Commission Adverse Action taken by any non-Participating State when applying for a Compact
 Privilege and, otherwise, within thirty (30) days from the date the Adverse Action is taken;
- 10. Report to the Commission when applying for a Compact
 Privilege the address of the Licensee's primary residence
 and thereafter immediately report to the Commission any
 change in the address of the Licensee's primary residence;
 and
- 10 11. Consent to accept service of process by mail at the Licensee's primary residence on record with the Commission 11 12 with respect to any action brought against the Licensee by the Commission or a Participating State, and consent to 13 14 accept service of a subpoena by mail at the Licensee's 15 primary residence on record with the Commission with respect to any action brought or investigation conducted 16 17 by the Commission or a Participating State.
- B. The Licensee must comply with the requirements of subsection A

 of this section to maintain the Compact Privilege in the Remote

 State. If those requirements are met, the Compact Privilege will

 continue as long as the Licensee maintains a Qualifying License

 and pays any applicable renewal fees.
- 23 <u>C. A Licensee providing dentistry or dental hygiene in a Remote</u>
- 24 State under the Compact Privilege shall function within the Scope
- of Practice authorized by the Remote State for a Dentist or Dental
- 26 Hygienist licensed in that State.
- 27 D. A Licensee providing dentistry or dental hygiene pursuant to

- S.B. No. 1978
- 1 Compact Privilege in a Remote State is subject to that State's
- 2 regulatory authority. A Remote State may, in accordance with due
- 3 process and that State's laws, remove by Adverse Action a
- 4 Licensee's Compact Privilege in the Remote State for a specific
- 5 period of time, and impose fines or take any other necessary
- 6 actions to protect the health and safety of its citizens. If a
- 7 Remote State imposes an Adverse Action against a Compact
- 8 Privilege that limits the Compact Privilege, that Adverse Action
- 9 applies to all Compact Privileges in all Remote States. A
- 10 Licensee whose Compact Privilege in a Remote State is removed for
- a specified period of time is not eligible for a Compact Privilege
- in any other Remote State until the specific time for removal of
- the Compact Privilege has passed and all encumbrance requirements
- 14 are satisfied.
- 15 E. If a license in a Participating State is an Encumbered License,
- the Licensee shall lose the Compact Privilege in a Remote State
- and shall not be eligible for a Compact Privilege in any Remote
- 18 State until the license is no longer encumbered.
- 19 F. Once an Encumbered License in a Participating State is restored
- 20 to good standing, the Licensee must meet the requirements of
- 21 subsection A of this section to obtain a Compact Privilege in a
- 22 Remote State.
- 23 G. If a Licensee's Compact Privilege in a Remote State is removed by
- the Remote State, the individual shall lose or be ineligible for
- 25 the Compact Privilege in any Remote State until the following
- 26 occur:
- 27 1. The specific period of time for which the Compact

- 1 Privilege was removed has ended; and
- 3 been satisfied.
- 4 H. Once the requirements of subsection G of this section have been
- 5 met, the Licensee must meet the requirements in subsection A of
- 6 this section to obtain a Compact Privilege in a Remote State.
- 7 SECTION 5. ACTIVE-DUTY MILITARY PERSONNEL OR THEIR SPOUSES
- 8 An Active-Duty Military individual and their spouse shall not be
- 9 required to pay to the Commission for a Compact Privilege the fee
- 10 otherwise charged by the Commission. If a Remote State chooses to
- 11 charge a fee for a Compact Privilege, it may choose to charge a
- 12 reduced fee or no fee to an Active-Duty Military individual and
- 13 their spouse for a Compact Privilege.
- 14 SECTION 6. ADVERSE ACTIONS
- 15 A. A Participating State in which a Licensee is licensed shall have
- 16 <u>exclusive</u> authority to impose Adverse Action against the
- 17 Qualifying License issued by that Participating State.
- 18 B. A Participating State may take Adverse Action based on the
- 19 Significant Investigative Information of a Remote State, so long
- 20 as the Participating State follows its own procedures for
- 21 <u>imposing Adverse Action.</u>
- 22 C. Nothing in this Compact shall override a Participating State's
- decision that participation in an Alternative Program may be used
- 24 in lieu of Adverse Action and that such participation shall
- remain non-public if required by the Participating State's laws.
- 26 Participating States must require Licensees who enter any
- 27 Alternative Program in lieu of discipline to agree not to

- 1 practice in any other Participating State during the term of the
- 2 Alternative Program without prior authorization from such other
- 3 Participating State.

- D. Any Participating State in which a Licensee is applying to 4
- 5 practice or is practicing pursuant to a Compact Privilege may
- investigate actual or alleged violations of the statutes and 6
- regulations authorizing the practice of dentistry or dental 7
- 8 hygiene in any other Participating State in which the Dentist or
- Dental Hygienist holds a license or Compact Privilege. 9
- 10 E. A Remote State shall have the authority to:
- 1. Take Adverse Actions as set forth in Section 4.D against a 11 12 Licensee's Compact Privilege in the State;
- 2. Issue subpoenas for both hearings and investigations that 13
- 14 require the attendance and testimony of witnesses, and the
- 15 production of evidence. Subpoenas issued by a State
- Licensing Authority in a Participating State for the
- attendance and testimony of witnesses, or the production 17
- of evidence from another Participating State, shall be 18
- enforced in the latter State by any court of competent 19
- jurisdiction, according to the practice and procedure of 20
- 21 that court applicable to subpoenas issued in proceedings
- pending before it. The issuing authority shall pay any 2.2
- witness fees, travel expenses, mileage, and other fees 23
- 24 required by the service statutes of the State where the
- witnesses or evidence are located; and 25
- 26 3. If otherwise permitted by State law, recover from the
- 27 Licensee the costs of investigations and disposition of

cases resulting from any Adverse Action taken against that

2 Licensee.

3 F. Joint Investigations

- 1. In addition to the authority granted to a Participating

 State by its respective dentist or dental hygienist

 licensure act or other applicable State law, a

 Participating State may jointly investigate Licensees

 with other Participating States.
- 9 <u>2. Participating States shall share any Investigative</u>
 10 <u>Information, litigation, or compliance materials in</u>
 11 <u>furtherance of any joint or individual investigation</u>
 12 initiated under the Compact.
- 13 G. Authority to Continue Investigation.
- 1. After a Licensee's Compact Privilege in a Remote State is

 terminated, the Remote State may continue an investigation

 of the Licensee that began when the Licensee had a Compact

 Privilege in that Remote State.
- 2. If the investigation yields what would be Significant
 Investigative Information had the Licensee continued to
 have a Compact Privilege in that Remote State, the Remote
 State shall report the presence of such Information to the
 Data System as required by Section 8.B.6 as if it was
 Significant Investigative Information.
- 24 SECTION 7. ESTABLISHMENT OF THE COMMISSION.
- A. The Compact Participating States hereby create and establish a joint government agency and national administrative body known as
- 27 the Dentist and Dental Hygienist Compact Commission. The

- 1 Commission is an instrumentality of the Compact States acting
- 2 jointly and not an instrumentality of any one state. The
- 3 Commission shall come into existence on or after the effective
- 4 date of the Compact as set forth in Section 11.A.
- 5 B. Participation, Voting, and Meetings
- 6 <u>1. Each Participating State shall have and be limited to one</u> 7 (1) Commissioner. The Commission may by Rule or bylaw
- 8 <u>establish a term of office of a Commissioner or term</u>
- 9 limits.
- 2. The Commissioner shall be a member or designee of the

 State Licensing Authority.
- 3. Any Commissioner may be removed or suspended from serving
- as a Commissioner as provided by the law of the State from
- which the Commissioner is appointed or the Commission's
- 15 Rules or bylaws.
- 16 <u>4. The Participating State shall fill a vacancy of its</u>
- 17 <u>Commissioner in the Commission within sixty (60) days of</u>
- the vacancy.
- 5. Each Commissioner shall be entitled to one (1) vote with
- 20 regard to all matters that are voted upon by the
- 21 <u>Commissioners.</u>
- 22 <u>6. A Commissioner shall vote in person or by such other means</u>
- as provided in the Commission's bylaws. The bylaws may
- 24 provide for Commissioner participation in meetings by
- telephone or other means of communication.
- 26 7. The Commission shall meet at least once during each
- calendar year. Additional meetings shall be held as set

2	C. The Commission shall have the following powers and duties:
3	1. Establish code of conduct and conflict of interest
4	policies;
5	2. Establish the fiscal year of the Commission;
6	3. Establish bylaws;
7	4. Maintain its financial records in accordance with the
8	bylaws;
9	5. Meet and take such actions as are consistent with the
10	provisions of this Compact and the bylaws;
11	6. Promulgate Commission Rules to facilitate and coordinate
12	implementation and administration of this Compact. The
13	Rules shall have the force and effect of law and shall be
14	binding on all Participating States;
15	7. Bring and prosecute legal proceedings or actions in the
16	name of the Commission, provided that the standing of any
17	State Licensing Authority to sue or be sued under
18	applicable law shall not be affected;
19	8. Purchase and maintain insurance and bonds;
20	9. Borrow, accept, or contract for services of personnel,
21	including, but not limited to, employees of a
22	Participating State;
23	10. Hire employees and engage contractors, elect officers,
24	fix compensation, define duties, grant such individuals
25	appropriate authority to carry out the purposes of the
26	Compact, and establish the Commission's personnel
27	policies and programs relating to conflicts of interest,

forth in the Commission's bylaws.

2	matters;
3	11. Accept and dispose of equipment, supplies, materials and
4	services, and provide for financing of the Commission and
5	payments of its debts and expenses, provided that at all
6	times the Commission shall avoid any appearance of
7	<pre>impropriety and/or conflict of interest;</pre>
8	12. Lease, purchase, accept appropriate gifts or donations
9	of, or otherwise own, hold, improve or use, any property,
10	real, personal or mixed; provided that at all times the
11	Commission shall avoid any appearance of impropriety;
12	13. Sell convey, mortgage, pledge, lease, exchange, abandon,
13	or otherwise dispose of any property real, personal, or
14	<pre>mixed;</pre>
15	14. Establish a budget and make expenditures;
16	15. Borrow money;
17	16. Appoint committees, including standing committees
18	composed of Commissioners, State regulators, State
19	legislators or their representatives, and consumer
20	representatives, and such other interested persons as may
21	be designated in this Compact and the Commission's bylaws;
22	17. Provide and receive information from, and cooperate
23	with, law enforcement agencies;
24	18. Elect a Chair, Vice Chair, Secretary and Treasurer and
25	such other officers of the Commission as provided in the
26	Commission's bylaws;
27	19. Reserve for itself, in addition to those reserved

qualifications of personnel, and other related personnel

1	exclusiv	vely	to th	e Comm	nission	under	the	Compact,	powers
2	that the	е Ехес	utive	Board	may not	exerc	ise;		

- 20. Approve or disapprove a State's participation in the Compact based upon its determination as to whether the State's Compact legislation departs in a material manner from the model Compact language;
- 21. In its discretion, establish a period of time a Compact
 Privilege shall be in effect without renewal.
- 22. As set forth in the Commission Rules, charge a fee to a

 Licensee for the grant of a Compact Privilege in a Remote

 State and thereafter, as may be established by Commission

 Rule, charge the Licensee a Compact Privilege renewal fee

 for each renewal period in which the Licensee exercises or

 intends to exercise the Compact Privilege in that Remote

 State. Nothing herein shall be construed to prevent a

 Remote State from charging a Licensee a fee for a Compact

 Privilege or renewals of a Compact Privilege, or a fee for

 the Jurisprudence Requirement if the Remote State imposes

 such a requirement for the grant of a Compact Privilege;
- 23. Maintain and certify records and information provided to

 a Participating State as the authenticated business

 records of the Commission, and designate a person to do so

 on the Commission's behalf; and
- 24. Perform such other functions as may be necessary or appropriate to achieve the purposes of this Compact.
- 26 D. Meetings of the Commission

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27 1. All meetings of the Commission that are not closed

1	pursuant to this subsection shall be open to the public.
2	Notice of public meetings shall be posted on the
3	Commission's website at least thirty (30) days prior to
4	the public meeting.
5	2. Notwithstanding subsection D.1 of this section, the
6	Commission may convene a public meeting by providing at
7	least twenty-four (24) hours prior notice on the
8	Commission's website, and any other means as provided in
9	the Commission's Rules, for any of the reasons it may
10	dispense with notice of proposed rulemaking under Section
11	9.L.
12	3. The Commission may convene in a closed, non-public meeting
13	or non-public part of a public meeting to receive legal
14	advice or to discuss:
15	a. Non-compliance of a Participating State with its
16	obligations under the Compact;
17	b. The employment, compensation, discipline or other
18	matters, practices or procedures related to specific
19	employees or other matters related to the
20	Commission's internal personnel practices and
21	procedures;
22	c. Current, threatened, or reasonably anticipated
23	<pre>litigation;</pre>
24	d. Negotiation of contracts for the purchase, lease, or
25	sale of goods, services, or real estate;
26	e. Accusing any person of a crime or formally censuring
27	any person;

1	f. Disclosure of trade secrets or commercial or
2	financial information that is privileged or
3	<pre>confidential;</pre>
4	g. Disclosure of information of a personal nature where
5	disclosure would constitute a clearly unwarranted
6	invasion of personal privacy;
7	h. Disclosure of investigative records compiled for law
8	enforcement purposes;
9	i. Disclosure of information related to any
10	investigative reports prepared by or on behalf of or
11	for use of the Commission or committee charged with
12	the responsibility of investigation or determination
13	of compliance issues pursuant to the Compact;
14	j. Legal advice;
15	k. Matters specifically exempted from disclosure by
16	federal or Participating State law; or
17	1. Other matters as provided by Commission Rule.
18	4. If a meeting, or portion of a meeting, is closed pursuant
19	to subsection D.3 of this section, the presiding officer
20	shall make an announcement that the meeting or portion of
21	the meeting shall be closed and shall reference each
22	relevant exempting provision.
23	5. The Commission shall keep minutes that fully and clearly
24	describe all matters discussed in a meeting and shall
25	provide a full and accurate summary of actions taken. All
26	documents considered in connection with an action shall be
27	identified in such minutes. All minutes and documents of a

- closed meeting shall remain under seal, subject to release
- by a majority vote of the Commission or order of a court of
- 3 competent jurisdiction.
- 4 E. The Commission shall prepare and provide to the Participating
- 5 States an annual report of its activities.
- 6 F. Financing of the Commission

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- 1. The Commission shall pay, or provide for the payment of,

 the reasonable expenses of its establishment,

 organization, and ongoing activities.
- 2. The Commission may accept any and all appropriate sources
 of revenue, donations, and grants of money, equipment,
 supplies, materials, and services.
- 3. The Participating States' annual assessment fees and the 13 14 Licensees' Compact Privilege fees and any applicable 15 renewal fees shall be used to cover the cost of the operations and activities of the Commission and its staff 16 17 and must be in a total amount sufficient to cover its annual budget as approved each year for which revenue is 18 not provided by other sources. The aggregate annual 19 assessment amount for Participating States shall be 20 21 allocated based upon a formula to be determined by 2.2 Commission Rule.
 - 4. The Commission shall not incur obligations of any kind prior to securing the funds adequate to meet the same, nor shall the Commission pledge the credit of any Participating State, except by and with the authority of the Participating State.

1	<u>5.</u>	The Commission shall keep accurate accounts of all
2		receipts and disbursements. The receipts and
3		disbursements of the Commission shall be subject to the
4		financial review and accounting procedures established
5		under its bylaws. All receipts and disbursements of funds
6		handled by the Commission shall be subject to an annual
7		financial review by a certified or licensed public
8		accountant, and the report of the financial review shall
9		be included in and become part of the annual report of the
10		Commission.
11	G. The Ex	kecutive Board
12	1.	The Executive Board shall have the power to act on behalf
13		of the Commission according to the terms of this Compact
14		and Commission Rules.
15	2.	The Commission may remove any member of the Executive
16		Board as provided in the Commission's bylaws.
17	3.	The Executive Board shall meet at least annually.
18		The Executive Board shall have the following duties and
19		responsibilities:
20		a. Recommend to the Commission changes to the
21		Commission's Rules or bylaws, changes to this Compact
22		legislation, fees to be paid by Compact Participating
23		
		States such as annual dues, and any Commission Compact
24		fee charged to Licensees for the Compact Privilege;
25		b. Ensure Compact administration services are

c. Prepare and recommend the budget;

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appropriately provided, contractual or otherwise;

1	d. Maintain financial records on behalf of the
2	<pre>Commission;</pre>
3	e. Monitor Compact compliance of Participating States
4	and provide compliance reports to the Commission;
5	f. Establish additional committees as necessary;
6	g. Exercise the powers and duties of the Commission
7	during the interim between Commission meetings,
8	except for issuing proposed rulemaking or adopting
9	Commission Rules or bylaws, or exercising any other
10	powers and duties exclusively reserved to the
11	Commission by the Commission's Rules; and
12	h. Other duties as provided in the Commission's Rules or
13	bylaws.
14	5. All meeting of the Executive Board at which it votes or
15	plans to vote on matters in exercising the powers and
16	duties of the Commission shall be open to the public and
17	public notice of such meetings shall be given as public
18	meetings of the Commission are given.
19	6. The Executive Board may convene in a closed, non-public
20	meeting for the same reasons that the Commission may
21	convene in a non-public meeting as set forth in Section 7.D
22	3 and shall announce the closed meeting as the Commission
23	is required to under Section 7.D.4 and keep minutes of the
24	closed meeting as the Commission is required to under
25	Section 7.D.5.
26	H. Qualified Immunity, Defense, and Indemnification
27	1. The Commissioners, officers, employees and

representatives of the Commission shall be immune from suit and liability, either personally or in their official capacity, for any claim for damage to or loss of property or personal injury or other civil liability caused by or arising out of any actual or alleged act, error or omission that occurred, or that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties or responsibilities; provided that nothing in this paragraph shall be construed to protect any such person from suit and/or liability for any damage, loss, injury, or liability caused by the intentional or willful or wanton misconduct of that person. The procurement of insurance of any type by the Commission shall not in any way compromise or limit the immunity granted hereunder.

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2. The Commission shall defend any Commissioner, officer, employee, or representative of the Commission in any civil action seeking to impose liability arising out of any actual or alleged act, error, or omission that occurred within the scope of Commission employment, duties, or responsibilities, or, as determined by the Commission, that the person against whom the claim is made had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that nothing herein shall be construed to prohibit that person from retaining his or her own counsel, and provided further, that the actual or alleged

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- 3. The Commission shall indemnify and hold harmless any Commissioner, officer, employee, or representative of the Commission for the amount of any settlement or judgment obtained against that person arising out of any actual or alleged act, error or omission that occurred within the scope of Commission employment, duties, or responsibilities, or that such person had a reasonable basis for believing occurred within the scope of Commission employment, duties, or responsibilities, provided that the actual or alleged act, error, or omission did not result from the intentional or willful or wanton misconduct of that person.
- 4. Venue is proper and judicial proceedings by or against the Commission shall be brought solely and exclusively in a court of competent jurisdiction where the principal office of the Commission is located. The Commission may waive venue and jurisdictional defenses in any proceedings as authorized by Commission Rules.
- 5. Nothing herein shall be construed as a limitation on the liability of any Licensee for professional malpractice or misconduct, which shall be governed solely by any other applicable State laws.
- 6. Nothing herein shall be construed to designate the venue or jurisdiction to bring actions for alleged acts of malpractice, professional misconduct, negligence, or

1	other such civil action pertaining to the practice of
2	dentistry or dental hygiene. All such matters shall be
3	determined exclusively by State law other than this
Δ	Compact

- 7. Nothing in this Compact shall be interpreted to waive or otherwise abrogate a Participating State's state action immunity or state action affirmative defense with respect to antitrust claims under the Sherman Act, Clayton Act, or any other state or federal antitrust or anticompetitive law or regulation.
- 8. Nothing in this Compact shall be construed to be a waiver
 of sovereign immunity by the Participating States or by
 the Commission.
- 14 SECTION 8. DATA SYSTEM

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- 15 A. The Commission shall provide for the development, maintenance,
- 16 operation, and utilization of a coordinated database and
- 17 reporting system containing licensure, Adverse Action,
- 18 Alternative Program and the reporting of the existence of
- 19 Significant Investigative Information, on all Licensees in
- 20 Participating States.
- 21 B. Notwithstanding any other provision of State law to the
- 22 <u>contrary</u>, a Participating State shall submit a uniform data set
- 23 to the Data System on all individuals to whom this Compact is
- 24 <u>applicable as required by the Rules of the Commission, including:</u>
- Identifying information;
- 26 2. Licensure data;
- 27 3. Adverse Actions against a license or Compact Privilege and

Τ.	information related thereto;
2	4. Alternative Program participation, the beginning and
3	ending dates of such participation, and other information
4	related to such participation not made confidential under
5	Participating State law;
6	5. Any denial of an application for licensure, and the
7	reason(s) for such denial (excluding the reporting of any
8	Criminal history record information where prohibited by
9	<pre>law); and</pre>
10	6. The presence of Significant Investigative Information;
11	and
12	7. Other information that may facilitate the administration
13	of this Compact, as determined by the Rules of the
14	Commission.
15	C. Significant Investigative Information pertaining to a Licensee
16	in any Participating State will only be available to other
17	Participating States.
18	D. It is the responsibility of each Participating State to report
19	any Adverse Action it takes against a license or Compact
20	Privilege, including upon an applicant for a license, and to
21	monitor the database to determine whether Adverse Action has been
22	taken against a Licensee or license applicant. Adverse Action
23	information pertaining to a Licensee in any Participating State
24	will be available to any other Participating State. Participating
25	States may obtain from the Data System information of any Adverse
26	Action taken against a Licensee or an individual applying for a
27	license.

- S.B. No. 1978
- 1 E. Participating States contributing information to the Data
- 2 System may, in accordance with a State or federal law so
- 3 requiring, designate information that may not be shared with the
- 4 public without the express permission of the contributing State.
- 5 Notwithstanding any such designation, such information shall be
- 6 reported to the Commission through the Data System.
- 7 F. Any information submitted to the Data System that is
- 8 subsequently expunded Pursuant to federal law or the laws of the
- 9 Participating State contributing the information shall be
- 10 removed from the Data System upon reporting of such by the
- 11 Participating State to the Commission.
- 12 G. The records and information provided to a Participating State
- 13 pursuant to this Compact or through the Data System, when
- certified by the Commission or an agent thereof, shall constitute
- the authenticated business records of the Commission, and shall
- be entitled to any associated hearsay exception in any relevant
- 17 judicial, quasi-judicial or administrative proceedings in a
- 18 Participating State.
- 19 SECTION 9. RULEMAKING
- 20 A. The Commission shall exercise its rulemaking powers pursuant to
- 21 the criteria set forth in this section and the Rules adopted
- thereunder. Commission Rules shall become binding as of the date
- 23 <u>specified in its adoption of each Rule.</u>
- 24 B. No Rule of the Commission shall conflict with the laws of a
- 25 Participating State that establishes the Scope of Practice of a
- 26 Licensee in that Participating State.
- 27 C. The Commission shall promulgate reasonable Rules in order to

- 1 effectively and efficiently achieve the purposes of the Compact.
- 2 Notwithstanding the foregoing, in the event the Commission
- 3 exercises its rulemaking authority in a manner that is beyond the
- 4 scope of the purposes of the Compact, or the powers granted
- 5 hereunder, or based upon another applicable standard of review,
- 6 as determined by a court of competent jurisdiction, the Rules to
- 7 which the judicial determination applies shall be invalid and
- 8 have no force and effect.
- 9 D. If a majority of the legislatures of the Participating States
- 10 <u>rejects a Commission Rule, by enactment of a statute or</u>
- 11 resolution in the same manner used to adopt the Compact within
- four (4) years of the date of adoption of the Rule, then such Rule
- shall have no further force and effect in any Participating State
- or to any State applying to participate in the Compact.
- 15 <u>E. Commission Rules shall be adopted at a regular or special</u>
- meeting of the Commission.
- 17 F. Prior to promulgation and adoption of a final Rule or Rules by
- the Commission, and at least thirty (30) days in advance of the
- 19 meeting at which the Rule will be considered and voted upon, the
- 20 Commission shall place a Notice of Proposed Rulemaking on the
- 21 website of the Commission or other publicly accessible platform
- 22 and provide written Notice of Proposed Rulemaking to the State
- 23 <u>Licensing Authority of each Participating State;</u>
- 24 G. The Notice of Proposed Rulemaking shall include:
- 25 1. The time, date and location of a public hearing on the
- proposed rule and the proposed time, date, and location of
- the meeting in which the proposed Rule will be considered

Τ	and voted upon;
2	2. The text of the proposed Rule and the reason for the
3	proposed Rule;
4	3. A request for comments on the proposed Rule from any
5	interested person and the date by which written comments
6	must be received; and
7	4. The manner in which interested persons may submit notice
8	to the Commission of their intention to attend the public
9	hearing or provide any written comments.
10	H. Prior to adoption of a proposed Rule, the Commission shall allow
11	persons to submit written data, facts, opinions, and arguments,
12	which shall be made available to the public.
13	I. If the hearing is to be held via electronic means, the Commission
14	shall publish in the Notice of Proposed Rulemaking the mechanism
15	for access to the electronic hearing.
16	1. All persons wishing to be heard at the hearing shall as
17	directed in the notice of the public hearing, not less than
18	five (5) business days before the scheduled date of the
19	hearing, notify the Commission of their desire to appear
20	and testify at the hearing.
21	2. Hearings shall be conducted in a manner providing each
22	person who wishes to comment a fair and reasonable
23	opportunity to comment orally or in writing.
24	3. All hearings will be recorded. A copy of the recording and
25	the written Comments, data, facts, opinions, and arguments
26	received in response to the proposed rulemaking will be

made available to a person upon request.

1	4. Nothing in this section shall be construed as requiring a
2	separate hearing on each Rule. Rules may be grouped for the
3	convenience of the Commission at hearings required by this
4	section.

- J. Following the public hearing the Commission shall consider all
 written and oral comments received.
- 7 K. The Commission shall, by majority vote of all Commissioners,
 8 take final action on the proposed Commission Rule and shall
 9 determine the effective date of the Rule, if adopted, based on the
 10 rulemaking record and the full text of the Rule.
- 11 <u>1. If adopted, the Rule shall be posted on the Commission's</u>
 12 website.

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- 2. The Commission may adopt changes to the proposed Rule provided the changes do not enlarge the original purpose of the proposed Rule.
- 3. The Commission shall provide on its website an explanation of the reasons for substantive changes made to the proposed Rule as well as reasons for substantive changes not made that were recommended by commenters.
- 20 4. The Commission shall determine a reasonable effective
 21 date for the Rule. Except for an emergency as provided in
 22 subsection L, the effective date of the Rule shall be no
 23 sooner than thirty (30) days after issuing the notice that
 24 it adopted the Rule.
- L. Upon a determination that an emergency exists, the Commission
 may consider and adopt an emergency Rule with twenty-four (24)
 hours prior notice, without the opportunity for comment, or

- 1 hearing, provided that the usual rulemaking procedures provided
- 2 in the Compact and in this section shall be retroactively applied
- 3 to the Rule as soon as reasonably possible, in no event later than
- 4 ninety (90) days after the effective date of the Rule. For the
- 5 purposes of this provision, an emergency Rule is one that must be
- 6 adopted immediately in order to:
- 7 <u>1. Meet an imminent threat to public health, safety, or</u> 8 welfare;
- 9 <u>2. Prevent a loss of Commission or Participating State funds;</u>
- 3. Meet a deadline for the promulgation of a Rule that is
 established by federal law or Rule; or
- 12 4. Protect public health and safety.
- 13 M. The Commission or an authorized committee of the Commission may
- 14 direct revisions to a previously adopted Rule for purposes of
- correcting typographical errors, errors in format, errors in
- 16 <u>consistency</u>, or grammatical errors. Public notice of any
- revisions shall be posted on the website of the Commission. The
- 18 revision shall be subject to challenge by any person for a period
- of thirty (30) days after posting. The revision may be challenged
- only on grounds that the revision results in a material change to
- 21 <u>a Rule. A challenge shall be made to the Commission as set forth</u>
- in the notice of revisions and delivered to the Commission prior
- 23 to the end of the notice period. If no challenge is made, the
- 24 revision will take effect without further action. If the revision
- 25 is challenged, the revision may not take effect without the
- approval of the Commission.
- 27 SECTION 10. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

A. Oversight

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- 2 1. The executive and judicial branches of State government in 3 each Participating State shall enforce this Compact and 4 take all actions necessary and appropriate to implement 5 the Compact.
- 2. The Commission shall be entitled to receive service of 6 process in any such proceeding regarding the enforcement 7 8 or interpretation of the Compact or the Commission's Rules and shall have standing to intervene in such a proceeding 9 for all purposes. Failure to provide the Commission with 10 service of process shall render a judgment or order in such 11 12 proceeding void as to the Commission, this Compact, or 13 promulgated Rules.

14 B. Default, Technical Assistance, and Termination

- 1. If the Commission determines that a Participating State 15 has defaulted in the performance of its obligations or 16 responsibilities under this Compact or the promulgated 17 Rules, the Commission shall provide written notice to the 18 19 defaulting State and other Participating States. The notice shall describe the default, the proposed means of 20 curing the default and any other action that the 21 Commission may take, and shall offer remedial training and 2.2 specific technical assistance regarding the default. 23
 - 2. If a State in default fails to cure the default, the defaulting State may be terminated from the Compact upon an affirmative vote of a majority of the Commissioners of the Participating States, and all rights, privileges and

benefits conferred by this Compact upon such State may be terminated on the effective date of termination. A cure of the default does not relieve the offending State of obligations or liabilities incurred during the period of default.

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- 3. Termination of participation in the Compact shall be imposed only after all other means of securing compliance have been exhausted. Notice of intent to suspend or terminate shall be given by the Commission to the governor and the majority and minority leaders of the defaulting State's legislature, and to the State Licensing Authority of each of the Participating States.
- 4. A State that has been terminated is responsible for all assessments, obligations, and liabilities incurred through the effective date of termination, including obligations that extend beyond the effective date of termination.
- 5. The Commission shall not bear any costs related to a State
 that is found to be in default or that has been terminated
 from the Compact, unless agreed upon in writing between
 the Commission and the defaulting State.
- 6. The defaulting State may appeal its termination from the Compact by the Commission by petitioning the U.S. District Court for the District of Columbia or the federal district where the Commission has its principal offices. The prevailing party shall be awarded all costs of such litigation, including reasonable attorney's fees.

1	7. If a State has been terminated from participation in the
2	Compact, the State shall immediately provide notice to all
3	Licensees within that State of such termination:
4	a. Licensees who have been granted a Compact Privilege
5	in that State shall retain the Compact Privilege for
6	one hundred eighty (180) days following the effective
7	date of such termination.
8	b. Licensees who are licensed in that State who have
9	been granted a Compact Privilege in a Participating
10	State shall retain the Compact Privilege for one
11	hundred eighty (180) days unless the Licensee also has
12	a license in a Participating State or obtains a
13	license in a Participating State before the one
14	hundred eighty (180)-day period ends, in which case
15	the Compact Privilege shall continue.
16	C. Dispute Resolution
17	1. Upon request by a Participating State, the Commission
18	shall attempt to resolve disputes related to the Compact
19	that arise among Participating States and between
20	Participating and non-Participating States.
21	2. The Commission shall promulgate a Rule providing for both
22	mediation and binding dispute resolution for disputes as
23	appropriate.
24	D. Enforcement
25	1. The Commission, in the reasonable exercise of its
26	discretion, shall enforce the provisions and Rules of this
27	Compact.

- 1 2. If compliance is not secured after all means to secure 2 compliance have been exhausted, by majority vote, the Commission may initiate legal action in the United States 3 District Court for the District of Columbia, or the 4 federal district where the Commission has its principal 5 offices, against a Participating State in default to 6 enforce compliance with the provisions of the Compact and 7 its promulgated Rules and bylaws. The relief sought may 8 include both injunctive relief and damages. In the event 9 judicial enforcement is necessary, the prevailing party 10 shall be awarded all costs of such litigation, including 11 reasonable attorney's fees. 12
- 3. The remedies herein shall not be the exclusive remedies of the Commission. The Commission may pursue any other remedies available under applicable federal or State law.

16 E. Legal Action Against the Commission

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- 17 1. A Participating State may initiate legal action against the Commission in the U.S. District Court for the District 18 19 of Columbia or the federal district where the Commission has its principal offices to enforce compliance with the 20 21 provisions of the Compact and its Rules. The relief sought may include both injunctive relief and damages. In the 2.2 23 event judicial enforcement is necessary, the prevailing 24 party shall be awarded all costs of such litigation, including reasonable attorney's fees. 25
 - 2. No person other than a Participating State shall enforce this compact against the Commission.

- 1 SECTION 11. EFFECTIVE DATE, WITHDRAWAL, AND AMENDMENT
- 2 A. The Compact shall come into effect on the date on which the
- 3 Compact statute is enacted into law in the tenth Participating
- 4 State.

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- 1. On or after the effective date of the Compact, the
 Commission shall convene and review the enactment of each
 of the first ten Participating States ("Charter
 Participating States") to determine if the statute enacted
 by each such Charter Participating State is materially
 different than the Model Compact.
 - a. A Charter Participating State whose enactment is found to be materially different from the Model Compact shall be entitled to the default process set forth in Section 10.B.
 - b. If any Participating State later withdraws from the Compact or its participation is terminated, the Commission shall remain in existence and the Compact shall remain in effect even if the number of Participating States should be less than ten. Participating States enacting the Compact subsequent to the ten initial Charter Participating States shall be subject to the process set forth in Section 7.C.20 to determine if their enactments are materially different from the Model Compact and whether they qualify for participation in the Compact.
 - 2. Participating States enacting the Compact subsequent to the ten initial Charter Participating States shall be

subject to the process set forth in Section 7.C.20 to

determine if their enactments are materially different

from the Model Compact and whether they qualify for

participation in the Compact.

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- 3. All actions taken for the benefit of the Commission or in furtherance of the purposes of the administration of the Compact prior to the effective date of the Compact or the Commission coming into existence shall be considered to be actions of the Commission unless specifically repudiated by the Commission.
- B. Any State that joins the Compact subsequent to the Commission's shall be subject to the Commission's Rules and bylaws as they exist on the date on which the Compact becomes law in that State.

 Any Rule or bylaw that has been previously adopted by the Commission shall have the full force and effect of law on the day the Compact becomes law in that State.
- 17 <u>C. Any Participating State may withdraw from this Compact by</u>
 18 <u>enacting a statute repealing the same.</u>
- 19 1. A Participating State's withdrawal shall not take effect until one hundred eighty (180) days after enactment of the 20 21 repealing statute. During this one hundred eighty (180) day- period, all Compact Privileges that were in effect in 2.2 the withdrawing State and were granted to Licensees 23 24 licensed in the withdrawing State shall remain in effect. If any Licensee licensed in the withdrawing State is also 25 26 licensed in another Participating State or obtains a 27 license in another Participating State within the one

hundred eighty (180) days, the Licensee's Compact
Privileges in other Participating States shall not be
affected by the passage of the 180 days.

- 2. Withdrawal shall not affect the continuing requirement of the State Licensing Authority of the withdrawing State to comply with the investigative, Alternative Program and Adverse Action reporting requirements of the Compact prior to the effective date of withdrawal.
- 9 3. Upon the enactment of a statute withdrawing from this

 10 compact, a State shall immediately provide notice of such

 11 withdrawal to all Licensees within that State. Such

 12 withdrawing State shall continue to recognize all licenses

 13 granted pursuant to this compact for a minimum of one

 14 hundred eighty (180) days after the date of such notice of

 15 withdrawal.
- D. Nothing contained in this Compact shall be construed to
 invalidate or prevent any State licensure agreement or other

 cooperative arrangement between Participating States and between

 a Participating and non-Participating State that does not
- 20 conflict with the provisions of this Compact.
- 21 E. This Compact may be amended by the Participating States. No
- amendment to this Compact shall become effective and binding upon
- 23 any Participating State until it is enacted materially in the
- 24 same manner into the laws of all Participating States as
- determined by the Commission.

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- 26 SECTION 12. CONSTRUCTION AND SEVERABILITY
- 27 A. This Compact and the Commission's rulemaking authority shall be

- 1 liberally construed so as to effectuate the purposes, and the
- 2 implementation and administration of the Compact. Provisions of
- 3 the Compact expressly authorizing or requiring the promulgation
- 4 of Rules shall not be construed to limit the Commission's
- 5 rulemaking authority solely for those purposes.
- 6 B. The provisions of this Compact shall be severable and if any
- 7 phrase, clause, sentence or provision of this Compact is held by a
- 8 court of competent jurisdiction to be contrary to the
- 9 constitution of any Participating State, a State seeking
- 10 participation in the Compact, or of the United States, or the
- 11 applicability thereof to any government, agency, person or
- 12 circumstance is held to be unconstitutional by a court of
- 13 competent jurisdiction, the validity of the remainder of this
- 14 Compact and the applicability thereof to any other government,
- agency, person or circumstance shall not be affected thereby.
- 16 C. Notwithstanding subsection B or this section, the Commission may
- deny a State's participation in the Compact or, in accordance with
- 18 the requirements of Section10.B, terminate a Participating
- 19 State's participation in the Compact, if it determines that a
- 20 constitutional requirement of a Participating State is, or would
- 21 be with respect to a State seeking to participate in the Compact,
- 22 a material departure <u>from the Compact</u>. <u>Otherwise</u>, <u>if this Compact</u>
- 23 shall be held to be contrary to the constitution of any
- 24 Participating State, the Compact shall remain in full force and
- 25 effect as to the remaining Participating States and in full force
- 26 and effect as to the Participating State affected as to all
- 27 severable matters.

- 1 SECTION 13. BINDING EFFECT OF COMPACT AND OTHER LAWS
- 2 A. Nothing herein shall prevent the enforcement of any other law of
- 3 a Participating State that is not inconsistent with the Compact.
- 4 B. Any laws of a Participating State in conflict with the Compact
- 5 <u>are superseded to the extent of the conflict.</u>
- 6 C. All agreements between the Commission and the Participating
- 7 States are binding in accordance with their terms.
- 8 Sec. 268.002. ADMINISTRATION OF COMPACT. The board is the
- 9 Dentist and Dental Hygienist Compact administrator for this state.
- Sec. 268.003. RULES. The board may adopt rules necessary to
- 11 <u>implement this chapter</u>.
- 12 SECTION 2. This Act takes effect September 1, 2023.