By: Goodwin H.B. No. 1537

A BILL TO BE ENTITLED

1	AN ACT
2	relating to the Licensed Professional Counselors Compact;
3	authorizing fees.
4	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
5	SECTION 1. Chapter 503, Occupations Code, is amended by
6	adding Subchapter K to read as follows:
7	SUBCHAPTER K. LICENSED PROFESSIONAL COUNSELORS COMPACT

- Sec. 503.501. LICENSED PROFESSIONAL COUNSELORS COMPACT. 8
- 9 The Licensed Professional Counselors Compact is enacted and entered
- into with all other jurisdictions that legally join in the compact, 10
- 11 which reads as follows:
- 12 LICENSED PROFESSIONAL COUNSELORS COMPACT
- SECTION 1: PURPOSE 13
- 14 The purpose of this Compact is to facilitate interstate practice of
- Licensed Professional Counselors with the goal of improving public 15
- access to Professional Counseling services. The practice of 16
- Professional Counseling occurs in the State where the client is 17
- located at the time of the counseling services. The Compact 18
- preserves the regulatory authority of States to protect public 19
- 20 health and safety through the current system of State licensure.
- 21 This Compact is designed to achieve the following objectives:
- 22 A. Increase public access to Professional Counseling
- 23 services by providing for the mutual recognition of other Member
- 24 State licenses;

- B. Enhance the States' ability to protect the public's
- 2 health and safety;
- 3 C. Encourage the cooperation of Member States in regulating
- 4 multistate practice for Licensed Professional Counselors;
- 5 D. Support spouses of relocating Active Duty Military
- 6 personnel;
- 7 E. Enhance the exchange of licensure, investigative, and
- 8 disciplinary information among Member States;
- 9 F. Allow for the use of Telehealth technology to facilitate
- 10 increased access to Professional Counseling services;
- G. Support the uniformity of Professional Counseling
- 12 licensure requirements throughout the States to promote public
- 13 safety and public health benefits;
- 14 H. Invest all Member States with the authority to hold a
- 15 Licensed Professional Counselor accountable for meeting all State
- 16 practice laws in the State in which the client is located at the
- 17 time care is rendered through the mutual recognition of Member
- 18 State licenses;
- 19 I. Eliminate the necessity for licenses in multiple States;
- 20 and
- J. Provide opportunities for interstate practice by
- 22 Licensed Professional Counselors who meet uniform licensure
- 23 <u>requirements.</u>
- 24 SECTION 2. DEFINITIONS
- 25 As used in this Compact, and except as otherwise provided, the
- 26 following definitions shall apply:
- 27 A. "Active Duty Military" means full-time duty status in the

- 1 active uniformed service of the United States, including members of
- 2 the National Guard and Reserve on active duty orders pursuant to 10
- 3 U.S.C. Chapters 1209 and 1211.
- 4 B. "Adverse Action" means any administrative, civil, equitable or
- 5 criminal action permitted by a State's laws which is imposed by a
- 6 licensing board or other authority against a Licensed Professional
- 7 Counselor, including actions against an individual's license or
- 8 Privilege to Practice such as revocation, suspension, probation,
- 9 monitoring of the licensee, limitation on the licensee's practice,
- 10 or any other Encumbrance on licensure affecting a Licensed
- 11 Professional Counselor's authorization to practice, including
- 12 issuance of a cease and desist action.
- 13 <u>C. "Alternative Program" means a non-disciplinary monitoring or</u>
- 14 practice remediation process approved by a Professional Counseling
- 15 <u>Licensing Board to address Impaired Practitioners.</u>
- 16 D. "Continuing Competence/Education" means a requirement, as a
- 17 condition of license renewal, to provide evidence of participation
- 18 in, and/or completion of, educational and professional activities
- 19 relevant to practice or area of work.
- 20 E. "Counseling Compact Commission" or "Commission" means the
- 21 <u>national administrative body whose membership consists of all</u>
- 22 States that have enacted the Compact.
- 23 F. "Current Significant Investigative Information" means:
- 1. Investigative Information that a Licensing Board, after
- 25 a preliminary inquiry that includes notification and an opportunity
- 26 for the Licensed Professional Counselor to respond, if required by
- 27 State law, has reason to believe is not groundless and, if proved

- 1 true, would indicate more than a minor infraction; or
- 2 2. Investigative Information that indicates that the
- 3 Licensed Professional Counselor represents an immediate threat to
- 4 public health and safety regardless of whether the Licensed
- 5 Professional Counselor has been notified and had an opportunity to
- 6 respond.
- 7 G. "Data System" means a repository of information about
- 8 Licensees, including, but not limited to, continuing education,
- 9 examination, licensure, investigative, Privilege to Practice and
- 10 Adverse Action information.
- 11 <u>H. "Encumbered License" means a license in which an Adverse Action</u>
- 12 restricts the practice of licensed Professional Counseling by the
- 13 Licensee and said Adverse Action has been reported to the National
- 14 Practitioners Data Bank (NPDB).
- 15 I. "Encumbrance" means a revocation or suspension of, or any
- 16 limitation on, the full and unrestricted practice of Licensed
- 17 Professional Counseling by a Licensing Board.
- 18 J. "Executive Committee" means a group of directors elected or
- 19 appointed to act on behalf of, and within the powers granted to them
- 20 by, the Commission.
- 21 K. "Home State" means the Member State that is the Licensee's
- 22 primary State of residence.
- 23 L. "Impaired Practitioner" means an individual who has a
- 24 condition(s) that may impair their ability to practice as a
- 25 <u>Licensed Professional Counselor without some type of intervention</u>
- 26 and may include, but are not limited to, alcohol and drug
- 27 dependence, mental health impairment, and neurological or

- 1 physical impairments.
- 2 M. "Investigative Information" means information, records, and
- 3 documents received or generated by a Professional Counseling
- 4 Licensing Board pursuant to an investigation.
- 5 N. "Jurisprudence Requirement" if required by a Member State,
- 6 means the assessment of an individual's knowledge of the laws and
- 7 Rules governing the practice of Professional Counseling in a State.
- 8 O. "Licensed Professional Counselor" means a counselor licensed
- 9 by a Member State, regardless of the title used by that State, to
- 10 independently assess, diagnose, and treat behavioral health
- 11 conditions.
- 12 P. "Licensee" means an individual who currently holds an
- 13 authorization from the State to practice as a Licensed Professional
- 14 Counselor.
- 15 Q. "Licensing Board" means the agency of a State, or equivalent,
- 16 that is responsible for the licensing and regulation of Licensed
- 17 <u>Professional Counselors.</u>
- 18 R. Member State" means a State that has enacted the Compact.
- 19 S. "Privilege to Practice" means a legal authorization, which is
- 20 equivalent to a license, permitting the practice of Professional
- 21 Counseling in a Remote State.
- 22 <u>T. "Professional Counseling" means the assessment, diagnosis, and</u>
- 23 treatment of behavioral health conditions by a Licensed
- 24 Professional Counselor.
- 25 U. "Remote State" means a Member State other than the Home State,
- 26 where a Licensee is exercising or seeking to exercise the Privilege
- 27 to Practice.

- 1 V. "Rule" means a regulation promulgated by the Commission that
- 2 has the force of law.
- 3 W. "Single State License" means a Licensed Professional Counselor
- 4 license issued by a Member State that authorizes practice only
- 5 within the issuing State and does not include a Privilege to
- 6 Practice in any other Member State.
- 7 X. "State" means any state, commonwealth, district, or territory
- 8 of the United States of America that regulates the practice of
- 9 Professional Counseling.
- 10 Y. "Telehealth" means the application of telecommunication
- 11 technology to deliver Professional Counseling services remotely to
- 12 assess, diagnose, and treat behavioral health conditions.
- 13 <u>Z. "Unencumbered License" means a license that authorizes a</u>
- 14 Licensed Professional Counselor to engage in the full and
- 15 <u>unrestricted practice of Professional Counseling.</u>
- 16 <u>SECTION 3. STATE PARTICIPATION IN THE COMPACT</u>
- 17 A. To Participate in the Compact, a State must currently:
- 18 1. License and regulate Licensed Professional Counselors;
- 19 2. Require Licensees to pass a nationally recognized exam
- 20 approved by the Commission;
- 3. Require Licensees to have a 60 semester-hour (or 90
- 22 quarter-hour) master's degree in counseling or 60 semester-hours
- 23 (or 90 quarter-hours) of graduate course work including the
- 24 following topic areas:
- 25 a. Professional Counseling Orientation and Ethical
- 26 Practice;
- 27 b. Social and Cultural Diversity;

- 1 <u>c. Human Growth and Development;</u>
- d. Career Development;
- 3 <u>e. Counseling and Helping Relationships;</u>
- f. Group Counseling and Group Work;
- 5 g. Diagnosis and Treatment; Assessment and Testing;
- 6 h. Research and Program Evaluation; and
- 7 <u>i. Other areas as determined by the Commission.</u>
- 8 <u>4. Require Licensees to complete a supervised postgraduate</u>
- 9 professional experience as defined by the Commission;
- 10 <u>5. Have a mechanism in place for receiving and investigating</u>
- 11 <u>complaints about Licensees.</u>
- 12 B. A Member State shall:
- 1. Participate fully in the Commission's Data System,
- 14 including using the Commission's unique identifier as defined in
- 15 Rules;
- 2. Notify the Commission, in compliance with the terms of
- 17 the Compact and Rules, of any Adverse Action or the availability of
- 18 Investigative Information regarding a Licensee;
- 19 3. Implement or utilize procedures for considering the
- 20 criminal history records of applicants for an initial Privilege to
- 21 Practice. These procedures shall include the submission of
- 22 fingerprints or other <u>biometric-based information</u> by applicants
- 23 for the purpose of obtaining an applicant's criminal history record
- 24 information from the Federal Bureau of Investigation and the agency
- 25 responsible for retaining that State's criminal records;
- 26 <u>a. A member state must fully implement a criminal</u>
- 27 background check requirement, within a time frame established by

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- 1 rule, by receiving the results of the Federal Bureau of
- 2 Investigation record search and shall use the results in making
- 3 licensure decisions.
- b. Communication between a Member State, the
- 5 Commission and among Member States regarding the verification of
- 6 eligibility for licensure through the Compact shall not include any
- 7 <u>information received from the Federal Bureau of Investigation</u>
- 8 relating to a federal criminal records check performed by a Member
- 9 State under Public Law 92-544.
- 10 4. Comply with the Rules of the Commission;
- 11 <u>5. Require an applicant to obtain or retain a license in the</u>
- 12 Home State and meet the Home State's qualifications for licensure
- 13 or renewal of licensure, as well as all other applicable State laws;
- 14 6. Grant the Privilege to Practice to a Licensee holding a
- 15 valid Unencumbered License in another Member State in accordance
- 16 with the terms of the Compact and Rules; and
- 7. Provide for the attendance of the State's commissioner to
- 18 the <u>Counseling Compact Commission meetings.</u>
- 19 C. Member States may charge a fee for granting the Privilege to
- 20 Practice.
- 21 D. Individuals not residing in a Member State shall continue to be
- 22 able to apply for a Member State's Single State License as provided
- 23 under the laws of each Member State. However, the Single State
- 24 License granted to these individuals shall not be recognized as
- 25 granting a Privilege to Practice Professional Counseling in any
- 26 other Member State.
- 27 E. Nothing in this Compact shall affect the requirements

- 1 established by a Member State for the issuance of a Single State
- 2 License.
- 3 F. A license issued to a Licensed Professional Counselor by a Home
- 4 State to a resident in that State shall be recognized by each Member
- 5 State as authorizing a Licensed Professional Counselor to practice
- 6 Professional Counseling, under a Privilege to Practice, in each
- 7 Member State.
- 8 SECTION 4. PRIVILEGE TO PRACTICE
- 9 A. To exercise the Privilege to Practice under the terms and
- 10 provisions of the Compact, the Licensee shall:
- 11 <u>1. Hold a license in the Home State;</u>
- 12 2. Have a valid United States Social Security Number or
- 13 National Practitioner Identifier;
- 3. Be eligible for a Privilege to Practice in any Member
- 15 State in accordance with Section 4(D), (G) and (H);
- 16 <u>4. Have not had any Encumbrance or restriction against any</u>
- 17 license or Privilege to Practice within the previous two (2) years;
- 18 5. Notify the Commission that the Licensee is seeking the
- 19 Privilege to Practice within a Remote State(s);
- 20 <u>6. Pay any applicable fees, including any State fee, for the</u>
- 21 Privilege to Practice;
- 7. Meet any Continuing Competence/Education requirements
- 23 established by the Home State;
- 8. Meet any Jurisprudence Requirements established by the
- 25 Remote State(s) in which the Licensee is seeking a Privilege to
- 26 Practice; and
- 27 9. Report to the Commission any Adverse Action,

- 1 Encumbrance, or restriction on license taken by any non-Member
- 2 State within 30 days from the date the action is taken.
- 3 B. The Privilege to Practice is valid until the expiration date of
- 4 the Home State license. The Licensee must comply with the
- 5 requirements of Section 4(A) to maintain the Privilege to Practice
- 6 in the Remote State.
- 7 C. A Licensee providing Professional Counseling in a Remote State
- 8 under the Privilege to Practice shall adhere to the laws and
- 9 regulations of the Remote State.
- 10 D. A Licensee providing Professional Counseling services in a
- 11 Remote State is subject to that State's regulatory authority. A
- 12 Remote State may, in accordance with due process and that State's
- 13 laws, remove a Licensee's Privilege to Practice in the Remote State
- 14 for a specific period of time, impose fines, and/or take any other
- 15 necessary actions to protect the health and safety of its citizens.
- 16 The Licensee may be ineligible for a Privilege to Practice in any
- 17 Member State until the specific time for removal has passed and all
- 18 fines are paid.
- 19 E. If a Home State license is encumbered, the Licensee shall lose
- 20 the Privilege to Practice in any Remote State until the following
- 21 <u>occur:</u>
- 22 <u>1. The Home State license is no longer encumbered; and</u>
- 2. Have not had any Encumbrance or restriction against any
- 24 license or Privilege to Practice within the previous two (2) years.
- 25 F. Once an Encumbered License in the Home State is restored to good
- 26 standing, the Licensee must meet the requirements of Section 4(A)
- 27 to obtain a Privilege to Practice in any Remote State.

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- 1 G. If a Licensee's Privilege to Practice in any Remote State is
- 2 removed, the individual may lose the Privilege to Practice in all
- 3 <u>other Remote States until the following occur:</u>
- 4 <u>1. The specific period of time for which the Privilege to</u>
- 5 Practice was removed has ended;
- 6 <u>2. All fines have been paid; and</u>
- 7 3. Have not had any Encumbrance or restriction against any
- 8 license or Privilege to Practice within the previous two (2) years.
- 9 H. Once the requirements of Section 4(G) have been met, the
- 10 Licensee must meet the requirements in Section 4(A) to obtain a
- 11 Privilege to Practice in a Remote State.
- 12 SECTION 5: OBTAINING A NEW HOME STATE LICENSE BASED ON A PRIVILEGE
- 13 TO PRACTICE
- 14 A. A Licensed Professional Counselor may hold a Home State
- 15 license, which allows for a Privilege to Practice in other Member
- 16 States, in only one Member State at a time.
- 17 B. If a Licensed Professional Counselor changes primary State of
- 18 residence by moving between two Member States:
- 1. The Licensed Professional Counselor shall file an
- 20 application for obtaining a new Home State license based on a
- 21 Privilege to Practice, pay all applicable fees, and notify the
- 22 current and new Home State in accordance with applicable Rules
- 23 adopted by the Commission.
- 24 2. Upon receipt of an application for obtaining a new Home
- 25 State license by virtue of a Privilege to Practice, the new Home
- 26 State shall verify that the Licensed Professional Counselor meets
- 27 the pertinent criteria outlined in Section 4 via the Data System,

- 1 without need for primary source verification except for:
- a. a Federal Bureau of Investigation fingerprint based
- 3 criminal background check if not previously performed or updated
- 4 pursuant to applicable rules adopted by the Commission in
- 5 accordance with Public Law 92-544;
- 6 b. other criminal background check as required by the
- 7 new Home State; and
- 8 <u>c. completion of any requisite Jurisprudence</u>
- 9 Requirements of the new Home State.
- 10 <u>3. The former Home State shall convert the former Home State</u>
- 11 license into a Privilege to Practice once the new Home State has
- 12 activated the new Home State license in accordance with applicable
- 13 Rules adopted by the Commission.
- 4. Notwithstanding any other provision of this Compact, if
- 15 the Licensed Professional Counselor cannot meet the criteria in
- 16 Section 4, the new Home State may apply its requirements for issuing
- 17 <u>a new Single State License.</u>
- 18 5. The Licensed Professional Counselor shall pay all
- 19 applicable fees to the new Home State in order to be issued a new
- 20 Home State license.
- 21 C. If a Licensed Professional Counselor changes Primary State of
- 22 Residence by moving from a Member State to a non-Member State, or
- 23 <u>from a non-Member State to a Member State, the State criteria shall</u>
- 24 apply for issuance of a Single State License in the new State.
- 25 D. Nothing in this Compact shall interfere with a Licensee's
- 26 ability to hold a Single State License in multiple States, however
- 27 for the purposes of this Compact, a Licensee shall have only one

- 1 Home State license.
- 2 E. Nothing in this Compact shall affect the requirements
- 3 <u>established by a Member State for the issuance of a Single State</u>
- 4 License.
- 5 SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES
- 6 Active Duty Military personnel, or their spouse, shall designate a
- 7 Home State where the individual has a current license in good
- 8 standing. The individual may retain the Home State designation
- 9 during the period the service member is on active duty. Subsequent
- 10 to designating a Home State, the individual shall only change their
- 11 Home State through application for licensure in the new State, or
- 12 through the process outlined in Section 5.
- 13 <u>SECTION 7. COMPACT PRIVILEGE TO PRACTICE TELEHEALTH</u>
- 14 A. Member States shall recognize the right of a Licensed
- 15 Professional Counselor, licensed by a Home State in accordance with
- 16 <u>Section 3 and under Rules promulgated by the Commission, to</u>
- 17 practice Professional Counseling in any Member State via Telehealth
- 18 under a Privilege to Practice as provided in the Compact and Rules
- 19 promulgated by the Commission.
- 20 B. A Licensee providing Professional Counseling services in a
- 21 Remote State under the Privilege to Practice shall adhere to the
- 22 laws and regulations of the Remote State.
- 23 <u>SECTION 8. ADVERSE ACTIONS</u>
- 24 A. In addition to the other powers conferred by State law, a Remote
- 25 State shall have the authority, in accordance with existing State
- 26 due process law, to:
- 27 1. Take Adverse Action against a Licensed Professional

- 1 Counselor's Privilege to Practice within that Member State, and
- 2 2. Issue subpoenas for both hearings and investigations
- 3 that require the attendance and testimony of witnesses as well as
- 4 the production of evidence. Subpoenas issued by a Licensing Board
- 5 in a Member State for the attendance and testimony of witnesses or
- 6 the production of evidence from another Member State shall be
- 7 enforced in the latter State by any court of competent
- 8 jurisdiction, according to the practice and procedure of that court
- 9 applicable to subpoenas issued in proceedings pending before it.
- 10 The issuing authority shall pay any witness fees, travel expenses,
- 11 mileage, and other fees required by the service statutes of the
- 12 State in which the witnesses or evidence are located.
- 3. Only the Home State shall have the power to take Adverse
- 14 Action against a Licensed Professional Counselor's license issued
- 15 by the Home State.
- 16 B. For purposes of taking Adverse Action, the Home State shall
- 17 give the same priority and effect to reported conduct received from
- 18 a Member State as it would if the conduct had occurred within the
- 19 Home State. In so doing, the Home State shall apply its own State
- 20 laws to determine appropriate action.
- 21 C. The Home State shall complete any pending investigations of a
- 22 Licensed Professional Counselor who changes primary State of
- 23 residence during the course of the investigations. The Home State
- 24 shall also have the authority to take appropriate action(s) and
- 25 shall promptly report the conclusions of the investigations to the
- 26 administrator of the Data System. The administrator of the
- 27 coordinated licensure information system shall promptly notify the

- 1 <u>new Home State of any Adverse Actions.</u>
- 2 D. A Member State, if otherwise permitted by State law, may
- 3 recover from the affected Licensed Professional Counselor the costs
- 4 of investigations and dispositions of cases resulting from any
- 5 Adverse Action taken against that Licensed Professional Counselor.
- 6 E. A Member State may take Adverse Action based on the factual
- 7 findings of the Remote State, provided that the Member State
- 8 follows its own procedures for taking the Adverse Action.
- 9 F. Joint Investigations:
- 1. In addition to the authority granted to a Member State by
- 11 its respective Professional Counseling practice act or other
- 12 applicable State law, any Member State may participate with other
- 13 Member States in joint investigations of Licensees.
- 14 2. Member States shall share any investigative, litigation,
- 15 or compliance materials in furtherance of any joint or individual
- 16 <u>investigation initiated under the Compact.</u>
- 17 G. If Adverse Action is taken by the Home State against the license
- 18 of a Licensed Professional Counselor, the Licensed Professional
- 19 Counselor's Privilege to Practice in all other Member States shall
- 20 be deactivated until all Encumbrances have been removed from the
- 21 State license. All Home State disciplinary orders that impose
- 22 Adverse Action against the license of a Licensed Professional
- 23 Counselor shall include a Statement that the Licensed Professional
- 24 Counselor's Privilege to Practice is deactivated in all Member
- 25 States during the pendency of the order.
- 26 H. If a Member State takes Adverse Action, it shall promptly
- 27 notify the administrator of the Data System. The administrator of

- 1 the Data System shall promptly notify the Home State of any Adverse
- 2 Actions by Remote States.
- 3 <u>I. Nothing in this Compact shall override a Member State's</u>
- 4 decision that participation in an Alternative Program may be used
- 5 in lieu of Adverse Action.
- 6 SECTION 9. ESTABLISHMENT OF COUNSELING COMPACT COMMISSION
- 7 A. The Compact Member States hereby create and establish a joint
- 8 public agency known as the Counseling Compact Commission:
- 9 1. The Commission is an instrumentality of the Compact
- 10 States.
- 2. Venue is proper and judicial proceedings by or against
- 12 the Commission shall be brought solely and exclusively in a court of
- 13 competent jurisdiction where the principal office of the Commission
- 14 is located. The Commission may waive venue and jurisdictional
- 15 defenses to the extent it adopts or consents to participate in
- 16 alternative dispute resolution proceedings.
- 17 3. Nothing in this Compact shall be construed to be a waiver
- 18 of sovereign immunity.
- 19 B. Membership, Voting, and Meetings
- 1. Each Member State shall have and be limited to one (1)
- 21 <u>delegate selected by that Member State's Licensing Board.</u>
- 22 2. The delegate shall be either:
- 23 <u>a. A current member of the Licensing Board at the time</u>
- 24 of appointment, who is a Licensed Professional Counselor or public
- 25 member; or
- b. An administrator of the Licensing Board.
- 27 3. Any delegate may be removed or suspended from office as

- 1 provided by the law of the State from which the delegate is
- 2 appointed.
- 3 4. The Member State Licensing Board shall fill any vacancy
- 4 occurring on the Commission within 60 days.
- 5 Each delegate shall be entitled to one (1) vote with
- 6 regard to the promulgation of Rules and creation of bylaws and shall
- 7 otherwise have an opportunity to participate in the business and
- 8 affairs of the Commission.
- 9 6. A delegate shall vote in person or by such other means as
- 10 provided in the bylaws. The bylaws may provide for delegates'
- 11 participation in meetings by telephone or other means of
- 12 communication.
- 7. The Commission shall meet at least once during each
- 14 calendar year. Additional meetings shall be held as set forth in
- 15 the bylaws.
- 16 <u>8. The Commission shall by Rule establish a term of office</u>
- 17 for delegates and may by Rule establish term limits.
- 18 C. The Commission shall have the following powers and duties:
- 1. Establish the fiscal year of the Commission;
- 20 2. Establish bylaws;
- 21 <u>3. Maintain its financial records in accordance with the</u>
- 22 <u>bylaws;</u>
- 23 <u>4. Meet and take such actions as are consistent with the</u>
- 24 provisions of this Compact and the bylaws;
- 25 <u>5. Promulgate Rules which shall be binding to the extent and</u>
- 26 in the manner provided for in the Compact;
- 27 6. Bring and prosecute legal proceedings or actions in the

- 1 name of the Commission, provided that the standing of any State
- 2 Licensing Board to sue or be sued under applicable law shall not be
- 3 affected;
- 4 7. Purchase and maintain insurance and bonds;
- 8. Borrow, accept, or contract for services of personnel,
- 6 including, but not limited to, employees of a Member State;
- 7 <u>9. Hire employees, elect or appoint officers, fix</u>
- 8 compensation, define duties, grant such individuals appropriate
- 9 authority to carry out the purposes of the Compact, and establish
- 10 the Commission's personnel policies and programs relating to
- 11 conflicts of interest, qualifications of personnel, and other
- 12 related personnel matters;
- 13 10. Accept any and all appropriate donations and grants of
- 14 money, equipment, supplies, materials, and services, and to
- 15 receive, utilize, and dispose of the same; provided that at all
- 16 times the Commission shall avoid any appearance of impropriety
- 17 and/or conflict of interest;
- 18 11. Lease, purchase, accept appropriate gifts or donations
- 19 of, or otherwise to own, hold, improve or use, any property, real,
- 20 personal or mixed; provided that at all times the Commission shall
- 21 avoid any appearance of impropriety;
- 22 12. Sell convey, mortgage, pledge, lease, exchange,
- 23 abandon, or otherwise dispose of any property real, personal, or
- 24 mixed;
- 25 <u>13. Establish a budget and make expenditures;</u>
- 26 14. Borrow money;
- 27 15. Appoint committees, including standing committees

- 1 composed of members, State regulators, State legislators or their
- 2 representatives, and consumer representatives, and such other
- 3 interested persons as may be designated in this Compact and the
- 4 bylaws;
- 5 16. Provide and receive information from, and cooperate
- 6 with, law enforcement agencies;
- 7 <u>17. Establish and elect an Executive Committee; and</u>
- 8 <u>18. Perform such other functions as may be necessary or</u>
- 9 appropriate to achieve the purposes of this Compact consistent with
- 10 the State regulation of Professional Counseling licensure and
- 11 practice.
- 12 D. The Executive Committee
- 1. The Executive Committee shall have the power to act on
- 14 behalf of the Commission according to the terms of this Compact.
- 15 <u>2. The Executive Committee shall be composed of up to eleven</u>
- 16 <u>(11) members:</u>
- 17 a. Seven voting members who are elected by the
- 18 Commission from the current membership of the Commission; and
- b. Up to four (4) ex-officio, nonvoting members from
- 20 four (4) recognized national professional counselor organizations.
- 21 <u>c. The ex-officio members will be selected by their</u>
- 22 respective organizations.
- 3. The Commission may remove any member of the Executive
- 24 Committee as provided in bylaws.
- 25 4. The Executive Committee shall meet at least annually.
- 26 5. The Executive Committee shall have the following duties
- 27 and responsibilities:

- 1 <u>a. Recommend to the entire Commission changes to the</u>
- 2 Rules or bylaws, changes to this Compact legislation, fees paid by
- 3 Compact Member States such as annual dues, and any Commission
- 4 Compact fee charged to Licensees for the Privilege to Practice;
- 5 b. Ensure Compact administration services are
- 6 appropriately provided, contractual or otherwise;
- 7 <u>c. Prepare and recommend the budget;</u>
- 8 d. Maintain financial records on behalf of the
- 9 Commission;
- 10 <u>e. Monitor Compact compliance of Member States and</u>
- 11 provide compliance reports to the Commission;
- f. Establish additional committees as necessary; and
- g. Other duties as provided in Rules or bylaws.
- 14 E. Meetings of the Commission
- 1. All meetings shall be open to the public, and public
- 16 notice of meetings shall be given in the same manner as required
- 17 under the Rulemaking provisions in Section 11.
- 18 2. The Commission or the Executive Committee or other
- 19 committees of the Commission may convene in a closed, non-public
- 20 meeting if the Commission or Executive Committee or other
- 21 <u>committees of the Commission must discuss:</u>
- 22 a. Non-compliance of a Member State with its
- 23 <u>obligations under the Compact;</u>
- b. The employment, compensation, discipline or other
- 25 matters, practices or procedures related to specific employees or
- 26 other matters related to the Commission's internal personnel
- 27 practices and procedures;

- 1 c. Current, threatened, or reasonably anticipated
- 2 litigation;
- d. Negotiation of contracts for the purchase, lease,
- 4 or sale of goods, services, or real estate;
- e. Accusing any person of a crime or formally
- 6 censuring any person;
- 7 <u>f. Disclosure of trade secrets or commercial or</u>
- 8 financial information that is privileged or confidential;
- 9 g. Disclosure of information of a personal nature
- 10 where disclosure would constitute a clearly unwarranted invasion of
- 11 personal privacy;
- 12 h. Disclosure of investigative records compiled for
- 13 law enforcement purposes;
- i. Disclosure of information related to any
- 15 <u>investigative reports prepared by or on behalf of or for use</u> of the
- 16 Commission or other committee charged with responsibility of
- 17 investigation or determination of compliance issues pursuant to the
- 18 Compact; or
- j. Matters specifically exempted from disclosure by
- 20 federal or Member State statute.
- 21 <u>3. If a meeting, or portion of a meeting, is closed pursuant</u>
- 22 to this provision, the Commission's legal counsel or designee shall
- 23 certify that the meeting may be closed and shall reference each
- 24 relevant exempting provision.
- 25 <u>4. The Commission shall keep minutes that fully and clearly</u>
- 26 describe all matters discussed in a meeting and shall provide a full
- 27 and accurate summary of actions taken, and the reasons therefore,

- 1 including a description of the views expressed. All documents
- 2 considered in connection with an action shall be identified in such
- 3 minutes. All minutes and documents of a closed meeting shall remain
- 4 under seal, subject to release by a majority vote of the Commission
- 5 or order of a court of competent jurisdiction.
- 6 F. Financing of the Commission
- 7 <u>1. The Commission shall pay, or provide for the payment of,</u>
- 8 the reasonable expenses of its establishment, organization, and
- 9 ongoing activities.
- 10 <u>2. The Commission may accept any and all appropriate revenue</u>
- 11 sources, donations, and grants of money, equipment, supplies,
- 12 materials, and services.
- 3. The Commission may levy on and collect an annual
- 14 assessment from each Member State or impose fees on other parties to
- 15 cover the cost of the operations and activities of the Commission
- 16 and its staff, which must be in a total amount sufficient to cover
- 17 its annual budget as approved each year for which revenue is not
- 18 provided by other sources. The aggregate annual assessment amount
- 19 shall be allocated based upon a formula to be determined by the
- 20 Commission, which shall promulgate a Rule binding upon all Member
- 21 <u>States.</u>
- 22 <u>4. The Commission shall not incur obligations of any kind</u>
- 23 prior to securing the funds adequate to meet the same; nor shall the
- 24 Commission pledge the credit of any of the Member States, except by
- 25 and with the authority of the Member State.
- 26 5. The Commission shall keep accurate accounts of all
- 27 receipts and disbursements. The receipts and disbursements of the

- 1 Commission shall be subject to the audit and accounting procedures
- 2 established under its bylaws. However, all receipts and
- 3 disbursements of funds handled by the Commission shall be audited
- 4 yearly by a certified or licensed public accountant, and the report
- 5 of the audit shall be included in and become part of the annual
- 6 report of the Commission.
- 7 G. Qualified Immunity, Defense, and Indemnification
- 8 <u>1. The members, officers, executive director, employees and</u>
- 9 representatives of the Commission shall be immune from suit and
- 10 liability, either personally or in their official capacity, for any
- 11 claim for damage to or loss of property or personal injury or other
- 12 civil liability caused by or arising out of any actual or alleged
- 13 act, error or omission that occurred, or that the person against
- 14 whom the claim is made had a reasonable basis for believing occurred
- 15 within the scope of Commission employment, duties or
- 16 responsibilities; provided that nothing in this paragraph shall be
- 17 construed to protect any such person from suit and/or liability for
- 18 any damage, loss, injury, or liability caused by the intentional or
- 19 willful or wanton misconduct of that person.
- 20 <u>2. The Commission shall defend any member, officer,</u>
- 21 <u>executive director</u>, employee or representative of the Commission in
- 22 any civil action seeking to impose liability arising out of any
- 23 actual or alleged act, error, or omission that occurred within the
- 24 scope of Commission employment, duties, or responsibilities, or
- 25 that the person against whom the claim is made had a reasonable
- 26 basis for believing occurred within the scope of Commission
- 27 employment, duties, or responsibilities; provided that nothing

- 1 herein shall be construed to prohibit that person from retaining
- 2 his or her own counsel; and provided further, that the actual or
- 3 alleged act, error, or omission did not result from that person's
- 4 intentional or willful or wanton misconduct.
- 5 3. The Commission shall indemnify and hold harmless any
- 6 member, officer, executive director, employee, or representative
- 7 of the Commission for the amount of any settlement or judgment
- 8 obtained against that person arising out of any actual or alleged
- 9 act, error, or omission that occurred within the scope of
- 10 Commission employment, duties, or responsibilities, or that such
- 11 person had a reasonable basis for believing occurred within the
- 12 scope of Commission employment, duties, or responsibilities,
- 13 provided that the actual or alleged act, error, or omission did not
- 14 result from the intentional or willful or wanton misconduct of that
- 15 person.
- 16 <u>SECTION 10.</u> DATA SYSTEM
- 17 A. The Commission shall provide for the development, maintenance,
- 18 operation, and utilization of a coordinated database and reporting
- 19 system containing licensure, Adverse Action, and Investigative
- 20 Information on all licensed individuals in Member States.
- 21 B. Notwithstanding any other provision of State law to the
- 22 contrary, a Member State shall submit a uniform data set to the Data
- 23 System on all individuals to whom this Compact is applicable as
- 24 required by the Rules of the Commission, including:
- 25 1. Identifying information;
- 26 2. Licensure data;
- 27 3. Adverse Actions against a license or Privilege to

- 1 Practice;
- 2 4. Non-confidential information related to Alternative
- 3 Program participation;
- 4 5. Any denial of application for licensure, and the
- 5 reason(s) for such denial;
- 6. Current Significant Investigative Information; and
- 7. Other information that may facilitate the administration
- 8 of this Compact, as determined by the Rules of the Commission.
- 9 C. Investigative Information pertaining to a Licensee in any
- 10 Member State will only be available to other Member States.
- 11 D. The Commission shall promptly notify all Member States of any
- 12 Adverse Action taken against a Licensee or an individual applying
- 13 for a license. Adverse Action information pertaining to a Licensee
- 14 in any Member State will be available to any other Member State.
- 15 E. Member States contributing information to the Data System may
- 16 designate information that may not be shared with the public
- 17 without the express permission of the contributing State.
- 18 F. Any information submitted to the Data System that is
- 19 subsequently required to be expunded by the laws of the Member State
- 20 contributing the information shall be removed from the Data System.
- 21 <u>SECTION 11. RULEMAKING</u>
- 22 A. The Commission shall promulgate reasonable Rules in order to
- 23 effectively and efficiently achieve the purpose of the Compact.
- 24 Notwithstanding the foregoing, in the event the Commission
- 25 exercises its Rulemaking authority in a manner that is beyond the
- 26 scope of the purposes of the Compact, or the powers granted
- 27 hereunder, then such an action by the Commission shall be invalid

- 1 and have no force or effect.
- 2 B. The Commission shall exercise its Rulemaking powers pursuant to
- 3 the criteria set forth in this Section and the Rules adopted
- 4 thereunder. Rules and amendments shall become binding as of the
- 5 date specified in each Rule or amendment.
- 6 C. If a majority of the legislatures of the Member States rejects a
- 7 Rule, by enactment of a statute or resolution in the same manner
- 8 used to adopt the Compact within four (4) years of the date of
- 9 adoption of the Rule, then such Rule shall have no further force and
- 10 effect in any Member State.
- 11 D. Rules or amendments to the Rules shall be adopted at a regular
- 12 or special meeting of the Commission.
- 13 E. Prior to promulgation and adoption of a final Rule or Rules by
- 14 the Commission, and at least thirty (30) days in advance of the
- 15 meeting at which the Rule will be considered and voted upon, the
- 16 Commission shall file a Notice of Proposed Rulemaking:
- 1. On the website of the Commission or other publicly
- 18 accessible platform; and
- 19 2. On the website of each Member State Professional
- 20 Counseling Licensing Board or other publicly accessible platform or
- 21 the publication in which each State would otherwise publish
- 22 proposed Rules.
- 23 F. The Notice of Proposed Rulemaking shall include:
- 1. The proposed time, date, and location of the meeting in
- 25 which the Rule will be considered and voted upon;
- 2. The text of the proposed Rule or amendment and the reason
- 27 for the proposed Rule;

- 1 3. A request for comments on the proposed Rule from any
- 2 interested person; and
- 3 4. The manner in which interested persons may submit notice
- 4 to the Commission of their intention to attend the public hearing
- 5 and any written comments.
- 6 G. Prior to adoption of a proposed Rule, the Commission shall
- 7 allow persons to submit written data, facts, opinions, and
- 8 arguments, which shall be made available to the public.
- 9 H. The Commission shall grant an opportunity for a public hearing
- 10 before it adopts a Rule or amendment if a hearing is requested by:
- 11 <u>1. At least twenty-five (25) persons;</u>
- 12 2. A State or federal governmental subdivision or agency; or
- 3. An association having at least twenty-five (25) members.
- 14 I. If a hearing is held on the proposed Rule or amendment, the
- 15 Commission shall publish the place, time, and date of the scheduled
- 16 public hearing. If the hearing is held via electronic means, the
- 17 Commission shall publish the mechanism for access to the electronic
- 18 hearing.
- 1. All persons wishing to be heard at the hearing shall
- 20 notify the executive director of the Commission or other designated
- 21 member in writing of their desire to appear and testify at the
- 22 hearing not less than five (5) business days before the scheduled
- 23 <u>date of the hearing.</u>
- 24 2. Hearings shall be conducted in a manner providing each
- 25 person who wishes to comment a fair and reasonable opportunity to
- 26 comment orally or in writing.
- 27 3. All hearings will be recorded. A copy of the recording

- 1 will be made available on request.
- 2 4. Nothing in this section shall be construed as requiring a
- 3 separate hearing on each Rule. Rules may be grouped for the
- 4 convenience of the Commission at hearings required by this section.
- 5 J. Following the scheduled hearing date, or by the close of
- 6 business on the scheduled hearing date if the hearing was not held,
- 7 the Commission shall consider all written and oral comments
- 8 received.
- 9 K. If no written notice of intent to attend the public hearing by
- 10 <u>interested parties is received</u>, the Commission may proceed with
- 11 promulgation of the proposed Rule without a public hearing.
- 12 L. The Commission shall, by majority vote of all members, take
- 13 final action on the proposed Rule and shall determine the effective
- 14 date of the Rule, if any, based on the Rulemaking record and the
- 15 <u>full text of the Rule.</u>
- 16 M. Upon determination that an emergency exists, the Commission may
- 17 consider and adopt an emergency Rule without prior notice,
- 18 opportunity for comment, or hearing, provided that the usual
- 19 Rulemaking procedures provided in the Compact and in this section
- 20 shall be retroactively applied to the Rule as soon as reasonably
- 21 possible, in no event later than ninety (90) days after the
- 22 effective date of the Rule. For the purposes of this provision, an
- 23 emergency Rule is one that must be adopted immediately in order to:
- 1. Meet an imminent threat to public health, safety, or
- 25 welfare;
- Prevent a loss of Commission or Member State funds;
- 3. Meet a deadline for the promulgation of an administrative

- 1 Rule that is established by federal law or Rule; or
- Protect public health and safety.
- 3 N. The Commission or an authorized committee of the Commission may
- 4 direct revisions to a previously adopted Rule or amendment for
- 5 purposes of correcting typographical errors, errors in format,
- 6 errors in consistency, or grammatical errors. Public notice of any
- 7 revisions shall be posted on the website of the Commission. The
- 8 revision shall be subject to challenge by any person for a period of
- 9 thirty (30) days after posting. The revision may be challenged only
- 10 on grounds that the revision results in a material change to a Rule.
- 11 A challenge shall be made in writing and delivered to the chair of
- 12 the Commission prior to the end of the notice period. If no
- 13 challenge is made, the revision will take effect without further
- 14 action. If the revision is challenged, the revision may not take
- 15 <u>effect without the approval of the Commission.</u>
- 16 SECTION 12. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT
- 17 A. Oversight
- 1. The executive, legislative, and judicial branches of
- 19 State government in each Member State shall enforce this Compact
- 20 and take all actions necessary and appropriate to effectuate the
- 21 Compact's purposes and intent. The provisions of this Compact and
- 22 the Rules promulgated hereunder shall have standing as statutory
- 23 law.
- 24 2. All courts shall take judicial notice of the Compact and
- 25 the Rules in any judicial or administrative proceeding in a Member
- 26 State pertaining to the subject matter of this Compact which may
- 27 affect the powers, responsibilities, or actions of the Commission.

- 1 3. The Commission shall be entitled to receive service of
- 2 process in any such proceeding and shall have standing to intervene
- 3 <u>in such a proceeding for all purposes</u>. Failure to provide service
- 4 of process to the Commission shall render a judgment or order void
- 5 as to the Commission, this Compact, or promulgated Rules.
- 6 B. Default, Technical Assistance, and Termination
- 7 <u>1. If the Commission determines that a Member State has</u>
- 8 defaulted in the performance of its obligations or responsibilities
- 9 under this Compact or the promulgated Rules, the Commission shall:
- 10 <u>a. Provide written notice to the defaulting State and</u>
- other Member States of the nature of the default, the proposed means
- 12 of curing the default and/or any other action to be taken by the
- 13 Commission; and
- b. Provide remedial training and specific technical
- 15 <u>assistance regarding the default.</u>
- 16 C. If a State in default fails to cure the default, the defaulting
- 17 State may be terminated from the Compact upon an affirmative vote of
- 18 a majority of the Member States, and all rights, privileges and
- 19 benefits conferred by this Compact may be terminated on the
- 20 effective date of termination. A cure of the default does not
- 21 relieve the offending State of obligations or liabilities incurred
- 22 during the period of default.
- 23 D. Termination of membership in the Compact shall be imposed only
- 24 after all other means of securing compliance have been exhausted.
- 25 Notice of intent to suspend or terminate shall be given by the
- 26 Commission to the governor, the majority and minority leaders of
- 27 the defaulting State's legislature, and each of the Member States.

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- 1 E. A State that has been terminated is responsible for all
- 2 assessments, obligations, and liabilities incurred through the
- 3 effective date of termination, including obligations that extend
- 4 beyond the effective date of termination.
- 5 F. The Commission shall not bear any costs related to a State that
- 6 is found to be in default or that has been terminated from the
- 7 Compact, unless agreed upon in writing between the Commission and
- 8 the defaulting State.
- 9 G. The defaulting State may appeal the action of the Commission by
- 10 petitioning the U.S. District Court for the District of Columbia or
- 11 the federal district where the Commission has its principal
- 12 offices. The prevailing member shall be awarded all costs of such
- 13 <u>litigation</u>, including reasonable attorney's fees.
- 14 H. Dispute Resolution
- 1. Upon request by a Member State, the Commission shall
- 16 <u>attempt to resolve disputes related to the Compact that arise among</u>
- 17 Member States and between member and non-Member States.
- 18 2. The Commission shall promulgate a Rule providing for both
- 19 mediation and binding dispute resolution for disputes as
- 20 <u>appropriate</u>.
- 21 <u>I. Enforcement</u>
- 22 1. The Commission, in the reasonable exercise of its
- 23 discretion, shall enforce the provisions and Rules of this Compact.
- 24 2. By majority vote, the Commission may initiate legal
- 25 action in the United States District Court for the District of
- 26 Columbia or the federal district where the Commission has its
- 27 principal offices against a Member State in default to enforce

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- 1 compliance with the provisions of the Compact and its promulgated
- 2 Rules and bylaws. The relief sought may include both injunctive
- 3 relief and damages. In the event judicial enforcement is
- 4 necessary, the prevailing member shall be awarded all costs of such
- 5 litigation, including reasonable attorney's fees.
- 6 3. The remedies herein shall not be the exclusive remedies
- 7 of the Commission. The Commission may pursue any other remedies
- 8 available under federal or State law.
- 9 SECTION 13. DATE OF IMPLEMENTATION OF THE COUNSELING COMPACT
- 10 COMMISSION AND ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT
- 11 A. The Compact shall come into effect on the date on which the
- 12 Compact statute is enacted into law in the tenth Member State. The
- 13 provisions, which become effective at that time, shall be limited
- 14 to the powers granted to the Commission relating to assembly and the
- 15 promulgation of Rules. Thereafter, the Commission shall meet and
- 16 exercise Rulemaking powers necessary to the implementation and
- 17 administration of the Compact.
- 18 B. Any State that joins the Compact subsequent to the Commission's
- 19 initial adoption of the Rules shall be subject to the Rules as they
- 20 exist on the date on which the Compact becomes law in that State.
- 21 Any Rule that has been previously adopted by the Commission shall
- 22 have the full force and effect of law on the day the Compact becomes
- 23 <u>law in that State.</u>
- 24 C. Any Member State may withdraw from this Compact by enacting a
- 25 statute repealing the same.
- 1. A Member State's withdrawal shall not take effect until
- 27 six (6) months after enactment of the repealing statute.

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- 1 2. Withdrawal shall not affect the continuing requirement
- 2 of the withdrawing State's Professional Counseling Licensing Board
- 3 to comply with the investigative and Adverse Action reporting
- 4 requirements of this act prior to the effective date of withdrawal.
- 5 D. Nothing contained in this Compact shall be construed to
- 6 invalidate or prevent any Professional Counseling licensure
- 7 agreement or other cooperative arrangement between a Member State
- 8 and a non-Member State that does not conflict with the provisions of
- 9 this Compact.
- 10 E. This Compact may be amended by the Member States. No amendment
- 11 to this Compact shall become effective and binding upon any Member
- 12 State until it is enacted into the laws of all Member States.
- 13 <u>SECTION</u> 14. CONSTRUCTION AND SEVERABILITY
- 14 This Compact shall be liberally construed so as to effectuate the
- 15 purposes thereof. The provisions of this Compact shall be
- 16 <u>severable and if any phrase, clause, sentence or provision of this</u>
- 17 Compact is declared to be contrary to the constitution of any Member
- 18 State or of the United States or the applicability thereof to any
- 19 government, agency, person or circumstance is held invalid, the
- 20 validity of the remainder of this Compact and the applicability
- 21 thereof to any government, agency, person or circumstance shall not
- 22 be affected thereby. If this Compact shall be held contrary to the
- 23 constitution of any Member State, the Compact shall remain in full
- 24 force and effect as to the remaining Member States and in full force
- 25 and effect as to the Member State affected as to all severable
- 26 matters.
- 27 SECTION 15. BINDING EFFECT OF COMPACT AND OTHER LAWS

- 1 A. A Licensee providing Professional Counseling services in a
- 2 Remote State under the Privilege to Practice shall adhere to the
- 3 laws and regulations, including scope of practice, of the Remote
- 4 State.
- 5 B. Nothing herein prevents the enforcement of any other law of a
- 6 Memb<u>er State that is not inconsistent with the Compact.</u>
- 7 C. Any laws in a Member State in conflict with the Compact are
- 8 superseded to the extent of the conflict.
- 9 D. Any lawful actions of the Commission, including all Rules and
- 10 bylaws properly promulgated by the Commission, are binding upon the
- 11 Member States.
- 12 E. All permissible agreements between the Commission and the
- 13 Member States are binding in accordance with their terms.
- 14 F. In the event any provision of the Compact exceeds the
- 15 constitutional limits imposed on the legislature of any Member
- 16 State, the provision shall be ineffective to the extent of the
- 17 conflict with the constitutional provision in question in that
- 18 Member State.
- 19 Sec. 503.502. ADMINISTRATION OF COMPACT. The executive
- 20 council is the Licensed Professional Counselors Compact
- 21 <u>administrator for this state.</u>
- Sec. 503.503. RULES. The executive council may adopt rules
- 23 <u>necessary to implement this subchapter.</u>
- SECTION 2. This Act takes effect September 1, 2025.