S.B. No. 679 1-1 By: Duncan 1-2 1-3 (In the Senate - Filed February 23, 2005; March 2, 2005, read first time and referred to Committee on Jurisprudence; 1-4 April 11, 2005, reported adversely, with favorable Committee 1-5 Substitute by the following vote: Yeas 6, Nays 0; April 11, 2005,

1-6 sent to printer.)

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COMMITTEE SUBSTITUTE FOR S.B. No. 679 1-7 By: Wentworth

1-8 A BILL TO BE ENTITLED 1-9 AN ACT

relating to the procedure for determining the incompetency of a defendant to stand trial.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Article 46B.001, Code of Criminal Procedure, is amended by amending Subdivision (1) and adding Subdivision (9) to read as follows:

"Department" means the [Texas] Department of State (1)<u>Health Services</u> [Mental Health and Mental Retardation].

(9) "Electronic broadcast system" means a two-way electronic communication of image and sound between the defendant and the court and includes secure Internet videoconferencing.

SECTION 2. Article 46B.004, Code of Criminal Procedure, is

amended by amending Subsection (d) and adding Subsection (e) to read as follows:

- (d) If the court determines there is evidence to support a finding of incompetency, the court, except as provided by <u>Subsection (e) and Article 46B.005(d)</u>, shall stay all other proceedings in the case.
- (e) At any time during the proceedings under this chapter after the issue of the defendant's incompetency to stand trial is first raised, the court on the motion of the attorney representing the state may dismiss all charges pending against the defendant, regardless of whether there is any evidence to support a finding of the defendant's incompetency under Subsection (d) or whether the court has made a finding of incompetency under this chapter. If the court dismisses the charges against the defendant, the court may not continue the proceedings under this chapter, except that, if there is evidence to support a finding of the defendant's incompetency under Subsection (d), the court may proceed under Subchapter F. If the court does not elect to proceed under Subchapter F, the court shall discharge the defendant.

 SECTION 3. Articles 46B.005, 46B.007, 46B.008, 46B.009, and

46B.011, Code of Criminal Procedure, are amended to read as follows:

- Art. 46B.005. DETERMINING INCOMPETENCY TO STAND TRIAL. (a) If after an informal inquiry the court determines that evidence exists to support a finding of incompetency, the court shall order an examination under Subchapter B to determine whether
- the defendant is incompetent to stand trial.

 (b) Except as provided by Subsection (c), the court shall hold a <u>trial</u> [hearing] under Subchapter C before determining whether the defendant is incompetent to stand trial.
- A trial [The court is not required [to hold a hearing] (c)
- (1) neither <u>party's counsel</u> [$\frac{party}{}$] requests a [$\frac{jury}{}$] trial on the issue of incompetency;
- (2) neither <u>party's counsel</u> [party] opposes a finding of incompetency; and
- $(3)^{-}$ the court does not, on its own motion, determine
- that a $\frac{\text{trial}}{\text{(d)}}$ [hearing] is necessary to determine incompetency. (d) If the issue of the defendant's incompetency to stand trial is raised after the trial on the merits begins, the court may determine the issue at any time before the sentence is pronounced [sentencing]. If the determination is delayed until after the

return of a verdict, the court shall make the determination as soon as reasonably possible after the return. If a verdict of not guilty is returned, the court may not determine the issue of incompetency.

Art. 46B.007. ADMISSIBILITY OF STATEMENTS AND CERTAIN OTHER EVIDENCE. A statement made by a defendant during an examination or trial [hearing] on the defendant's incompetency, the testimony of an expert based on that statement, and evidence obtained as a result of that statement may not be admitted in evidence against the defendant in any criminal proceeding, other than at:

a trial [hearing] on the defendant's incompetency;

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any proceeding at which the defendant first (2) introduces into evidence a statement, testimony, or evidence described by this section.

Art. 46B.008. RULES OF EVIDENCE. Notwithstanding Rule 101, Texas Rules of Evidence, the Texas Rules of Evidence apply to a trial under Subchapter C or other proceeding [hearing] under this chapter whether the <u>proceeding</u> [hearing] is before a jury or before the court.

Art. 46B.009. TIME CREDITS. $\underline{\text{(a)}}$ A court sentencing a person convicted of a criminal offense shall credit to the term of A court sentencing a the person's sentence the time the person is confined in a mental health facility, [or] residential care facility, or jail pending trial <u>under Subchapter C</u>.

(b) A defendant may not be committed to a mental hospital or other in-patient or residential facility under this chapter for a cumulative period that exceeds the maximum term provided by law for the offense for which the defendant was to be tried. On expiration of that maximum term, the defendant may be further confined in a mental hospital or other in-patient or residential facility only pursuant to civil commitment proceedings.

Art. 46B.011. APPEALS. Neither the state nor the defendant

entitled to make an interlocutory appeal relating to determination or ruling [of incompetency] under Article 46B.005.

SECTION 4. The heading to Subchapter C, Chapter 46B, Code of

Criminal Procedure, is amended to read as follows:

SUBCHAPTER C. INCOMPETENCY [HEARING AND] TRIAL

SECTION 5. The heading to Article 46B.051, Code of Criminal Procedure, is amended to read as follows:

Art. 46B.051. TRIAL [HEARING] BEFORE JUDGE OR JURY.

SECTION 6. Articles 46B.053 and 46B.054, Code of Criminal Procedure, are amended to read as follows:

Art. 46B.053. PROCEDURE AFTER FINDING OF COMPETENCY. a hearing] the court or jury determines that the defendant is competent to stand trial, the court shall continue the trial on the merits. If a jury determines that the defendant is competent and the trial on the merits is to be held before a jury, the court shall continue the trial with another jury selected for that purpose.

Art. 46B.054. UNCONTESTED INCOMPETENCY. If the court finds [as required by Article 46B.005] that [there is] evidence exists to support a finding of incompetency to stand trial and the court and the counsel for each party agree that the defendant is incompetent to stand trial, the court shall proceed in the same manner as if a jury had been impaneled and had found the defendant incompetent to stand trial.

SECTION 7. The heading to Subchapter D, Chapter 46B, Code of Criminal Procedure, is amended to read as follows:

SUBCHAPTER D. PROCEDURES AFTER [ON] DETERMINATION OF INCOMPETENCY SECTION 8. Subsections (c) and (d), Article 46B.073, Code of Criminal Procedure, are amended to read as follows:

(c) If the defendant is charged with an offense listed in 17.032(a) or the indictment <u>alleges</u> [requests] an affirmative finding under Section $3g(a)(\overline{2)}$, Article 42.12, the court shall enter an order committing the defendant to the maximum security unit of any facility designated by the department, to an agency of the United States operating a mental hospital, or to a Department of Veterans Affairs hospital.

If the defendant is not charged with an offense listed (d) in Article 17.032(a) and the indictment does not allege [request]

an affirmative finding under Section 3g(a)(2), Article 42.12, the court shall enter an order committing the defendant to a mental health facility or residential care facility determined to be appropriate by the local mental health authority or local mental retardation authority.

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SECTION 9. Articles 46B.074, 46B.079, and 46B.080, Code of Criminal Procedure, are amended to read as follows:

Art. 46B.074. COMPETENT TESTIMONY REQUIRED. defendant may be committed to a mental health facility or residential care facility under this subchapter only on competent medical or psychiatric testimony provided by an expert qualified under Article 46B.022.

(b) The court may allow an expert to substitute the expert's report under Article 46B.025 for any testimony by the expert that

may be required under this article.

Art. 46B.079. RETURN TO COMMITTING COURT. <u>(a)</u> A defendant committed under this subchapter shall be returned to the committing court as soon as practicable after the date on which the defendant's term of commitment expires.

- (b) A defendant committed under this subchapter whose term of commitment has not yet expired shall be returned to the committing court as soon as practicable after the 15th day following the date on which the parties receive service on any report filed under Article 46B.080(b) regarding the defendant's ability to attain competency, except that, if a party objects to the findings of the report and the issue is set for a hearing under Article 46B.084, the defendant may not be returned to the committing court earlier than 72 hours before the date the hearing is scheduled [the earliest of the following dates:
- (1) the date on which the facility determines that the defendant has attained competency;
- the date on which the facility determines that the defendant will not attain competency in the foreseeable future;
- [(3) the date on which the term of commitment expires].
- Art. 46B.080. NOTICE TO COMMITTING COURT. (a) The head of a facility to which a defendant has been committed under this subchapter, not later than the 14th day before the date on which a commitment order is to expire, shall notify the committing court that the term of the commitment is about to expire.
- (b) The head of the facility to which a defendant has been committed under this subchapter shall promptly notify the committing court when the head of the facility is of the opinion that:
- (1) the defendant has attained competency to stand trial; or
- (2) the defendant will not attain competency in the foreseeable future.
- (c) When the head of the facility gives notice to the court under Subsection (b), the head of the facility also shall file a final report with the court stating the reason for the proposed discharge and including a list of the types and dosages of medications with which the defendant was treated for mental illness while in the facility. The court shall provide copies of the report to the defense counsel and the attorney representing the state.
- (d) If the head of the facility to which the defendant has been committed notifies the court that the commitment order is about to expire, the notice may contain a request for an extension of the commitment order for a period of $60~\mathrm{days}$ and an explanation for the basis of the request.

SECTION 10. Subsection (a), Article 46B.081, Code

SECTION 11. Article 46B.083, Code of Criminal Procedure, is amended to read as follows:

Art. 46B.083. SUPPORTING COMMITMENT INFORMATION PROVIDED

[REPORT] BY FACILITY HEAD. (a) [When the head of a facility to which the defendant is committed discharges the defendant and the defendant is returned to the committing court, the head of the facility shall file a final report with the court stating the reason for the discharge under Article 46B.080. The court shall furnish copies of the report to the defense counsel and the prosecuting

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[(b)] If the head of the facility believes that the defendant is a person with mental illness and meets the criteria for court-ordered inpatient mental health services under Subtitle C, Title 7, Health and Safety Code, the head of the facility shall have submitted to the court a certificate of medical examination for mental illness. [The head of the facility shall include in the final report a list of the types and dosages of medications with which the defendant was treated for mental illness while in the facility.

(b) [(c)] If the head of the facility is of the opinion that the defendant is a person with mental retardation, the head of the facility shall have submitted to the court an affidavit stating the conclusions reached as a result of the examination.

SECTION 12. Article 46B.084, Code of Criminal Procedure, is amended by amending Subsections (a) and (c) and adding Subsection (b−1) to read as follows:

- (a) On the return of a defendant to the committing court, the court shall make a determination with regard to the defendant's competency to stand trial. The court may make the determination based solely on the report filed under Article 46B.080(c) [46B.080(b)], unless any party objects in writing or in open court to the findings of the report not later than the 15th day after the date on which the report is served on the parties.

 (b-1) If the parties agree and if the hearing is before the
- court, the hearing may be conducted by means of an electronic broadcast system. Notwithstanding any other provision of this chapter, the defendant is not required to be returned to the committing court with respect to any hearing that is conducted under this article as described by this subsection.

 (c) The hearing shall be held within 30 days following the
- date of objection unless continued for good cause for a period not to exceed 30 days.

SECTION 13. Article 46B.085, Code of Criminal Procedure, is amended to read as follows:

Art. 46B.085. SUBSEQUENT COMMITMENTS AND EXTENSIONS [RECOMMITMENT] PROHIBITED. (a) The court may order only one commitment and one extension under this subchapter [A defendant committed under this subchapter may not be recommitted under this subchapter] in connection with the same offense.

(b) After a commitment and an extension are ordered as described by Subsection (a), any subsequent court orders for treatment must be issued under Subchapter E or F.

SECTION 14. The heading to Subchapter E, Chapter 46B, Code

of Criminal Procedure, is amended to read as follows:

SUBCHAPTER E. CIVIL [EXTENDED] COMMITMENT: CHARGES PENDING SECTION 15. The heading to Article 46B.102, Code

Criminal Procedure, is amended to read as follows:

Art. 46B.102. <u>CIVIL</u> COMMITMENT HEARING: MENTAL ILLNESS. SECTION 16. The heading to Article 46B.103, Code of Criminal Procedure, is amended to read as follows:

Art. 46B.103. CIVIL COMMITMENT **HEARING:** MENTAL RETARDATION.

SECTION 17. Article 46B.104, Code of Criminal Procedure, is amended to read as follows:

Art. 46B.104. CIVIL [EXTENDED] COMMITMENT PLACEMENT: FINDING OF VIOLENCE. A defendant committed to a facility as a result of proceedings initiated under this chapter shall be committed to the maximum security unit of any facility designated by the department if:

- (1) the defendant is charged with an offense listed in Article 17.032(a); or
 - (2) the indictment charging the offense alleges

[requests] an affirmative finding under Section 3g(a)(2), Article 42.12.

46B.105, SECTION 18. The heading to Article Criminal Procedure, is amended to read as follows:

 $\underline{\mathsf{C}}\mathsf{IVIL}$ Art. 46B.105. TRANSFER FOLLOWING [EXTENDED] COMMITMENT PLACEMENT.

SECTION 19. The heading to Article 46B.106, Code of Criminal Procedure, is amended to read as follows:

CIVIL [EXTENDED] COMMITMENT PLACEMENT: Art. 46B.106. NO FINDING OF VIOLENCE.

SECTION 20. The heading to Article 46B.107, Criminal Procedure, is amended to read as follows: of

Art. 46B.107. RELEASE OF DEFENDANT AFTER CIVIL COMMITMENT.

SECTION 21. Subsection (d), Article 46B.107, Code of Criminal Procedure, is amended to read as follows:

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(d) The court may, on motion of the attorney representing the state or on its own motion, hold a hearing to determine whether release is appropriate under the applicable criteria in Subtitle C or D, Title 7, Health and Safety Code. The court may conduct the hearing at the facility or by means of an electronic broadcast system.

SECTION 22. Subsection (b), Article 46B.108, Code of Criminal Procedure, is amended to read as follows:

(b) An inquiry into restoration of competency under this subchapter may be made at the request of the head of the mental health facility or residential care facility to which the defendant has been committed, the defendant, the defendant's attorney, or the attorney representing the state, or may be made on the court's own motion.

Article 46B.110, Code of Criminal Procedure, is SECTION 23. amended to read as follows:

Art. 46B.110. MOTION BY DEFENDANT, DEFENDANT'S ATTORNEY, OR ATTORNEY REPRESENTING STATE. (a) The defendant, the defendant's attorney, or the attorney representing the state may move that the court determine that the defendant has been restored to competency.

(b) A motion for a determination of competency may be accompanied by affidavits supporting the moving party's assertion that the defendant is competent.

SECTION 24. Subsection (c), Article 46 Criminal Procedure, is amended to read as follows: Article 46B.113, Code

(c) If a court holds a hearing under this article, on the request of the counsel for either party or the motion of the court, a jury shall make the competency determination. If the competency determination will be made by the court rather than a jury, the court may conduct the hearing at the facility or by means of an electronic broadcast system.

SECTION 25. Article 46B.114, Code of Criminal Procedure, is

amended to read as follows:

Art. 46B.114. TRANSPORTATION OF DEFENDANT TO COURT. If the hearing is not conducted at the facility to which the defendant has been committed under this chapter or conducted by means of an electronic broadcast system as permitted by this subchapter, an [An] order setting a hearing to determine whether the defendant has been restored to competency shall direct that, as soon as practicable but not earlier than 72 hours before the date the hearing is scheduled, the defendant be placed in the custody of the sheriff of the county in which the committing court is located or the sheriff's designee for transportation to the court. The sheriff or the sheriff's designee may not take custody of defendant under this article until 72 hours before the date hearing is scheduled. the

SECTION 26. Article 46B.115, Code of Criminal Procedure, is amended by adding Subsection (c) to read as follows:

(c) If the competency determination will be made by the court, the court may conduct the hearing at the facility to which the defendant has been committed under this chapter or may conduct the hearing by means of an electronic broadcast system.

SECTION 27. Subsection (a), Article 46B.117, Criminal Procedure, is amended to read as follows:

If a defendant under order of commitment to a facility is found to not have been restored to competency to stand trial, the court shall remand the defendant pursuant to that order of commitment, and, if applicable, order the defendant placed in the custody of the sheriff or the sheriff's designee for transportation back to the facility.

SECTION 28. The heading to Subchapter F, Chapter 46B, Code

of Criminal Procedure, is amended to read as follows: SUBCHAPTER F. CIVIL [EXTENDED] COMMITMENT: CHARGES DISMISSED

SECTION 29. The heading to Article 46B.151, Code Criminal Procedure, is amended to read as follows: of

Art. 46B.151. COURT DETERMINATION RELATED CIVIL COMMITMENT.

of SECTION 30. Subsection (a), Article 46B.151, Code Criminal Procedure, is amended to read as follows:

(a) If a court is required by Article 46B.084(f) or permitted by Article 46B.004(e) to proceed under this subchapter, the court shall determine whether there is evidence to support a finding that the defendant is either a person with mental illness or a person with mental retardation.

SECTION 31. Article 46B.171, Code of Criminal Procedure, is

amended to read as follows:

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- Art. 46B.171. TRANSCRIPTS AND OTHER RECORDS [+DOCUMENTATION]. (a) The court shall order that:

 (1) a transcript of all medical testimony received in both the criminal proceedings and the civil commitment proceedings under Subchapter E or F be prepared as soon as possible by the court reporters; and
- copies of documents listed in Article 46B.076 (2) to the mental health facility accompany the defendant residential care facility.
- (b) On the request of the defendant or the defendant's attorney, a mental health facility or a residential care facility shall provide to the defendant or the attorney copies of the facility's records regarding the defendant.

 SECTION 32. Section 614.0032, Health and Safety Code, is

amended to read as follows:

Sec. 614.0032. SPECIAL DUTIES RELATED TO MEDICALLY RECOMMENDED SUPERVISION; DETERMINATIONS REGARDING COMPETENCY OR FITNESS TO PROCEED [COMPETENCY DETERMINATION]. (a) The office shall perform duties imposed on the office by Section 508.146, Government Code.

(b) The office, with the special assistance of committee members appointed under Section 614.002(b)(1), shall:

(1) review examinations to determine the competency of defendants in criminal cases to stand trial and examinations determine the fitness of children to proceed with respect to adjudications of delinquent conduct or conduct indicating a need for supervision; and

(2) periodically [shall] report to the legislature and of criminal appeals findings made as a result of the review described by Subdivision (1) (to the legislature and the

court of criminal appeals].

(c) A district or juvenile court shall submit to the office on a monthly basis all reports based on examinations described by Subsection (b).

SECTION 33. (a) The change in law made by this Act in amending Articles 46B.001, 46B.107, 46B.113, 46B.114, 46B.115, and 46B.117, Code of Criminal Procedure, applies only to a competency hearing requested by the motion of a party or held on the motion of the court on or after the effective date of this Act. A competency hearing requested by the motion of a party or held on the motion of the court before the effective date of this Act is covered by the law in effect when the motion for a hearing was made by a party or by the court, as applicable, and the former law is continued in effect for that purpose.

The change in law made by this Act in amending Articles (b) 46B.004, 46B.011, and 46B.074 and Subsection (c), Article 46B.084, Article 46B.085, and Subsection (a), Article 46B.151, Code of

C.S.S.B. No. 679 Criminal Procedure, applies only to a defendant charged with an offense committed on or after the effective date of this Act. A defendant charged with an offense committed before the effective date of this Act is covered by the law in effect when the offense was committed, and the former law is continued in effect for that purpose. For purposes of this subsection, an offense was committed before the effective date of this Act if any element of the offense was committed before that date.

(c) The change in law made by this Act in amending Articles 46B.009, 46B.080, 46B.083, and 46B.171, Code of Criminal Procedure, and adding Subsection (b-1), Article 46B.084, Code of Criminal Procedure, applies to a defendant charged with an offense committed before, on, or after the effective date of this Act.

SECTION 34. Notwithstanding Section 16, Chapter 35, Acts of the 78th Legislature, Regular Session, 2003, a determination of incompetency is covered by Chapter 46B, Code of Criminal Procedure, for a defendant:

- (1)against whom proceedings were initiated before January 1, 2004, under former Article 46.02, Code of Criminal Procedure; and
- for whom proceedings have not become final before (2) the effective date of this Act.

SECTION 35. This Act takes effect September 1, 2005.

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