By: PerryH.B. No. 3790Substitute the following for H.B. No. 3790:Example 100 C.S.H.B. No. 3790By: King of HemphillC.S.H.B. No. 3790

## A BILL TO BE ENTITLED

1	AN ACT
2	relating to creation of the Judicial Branch Certification
3	Commission and the consolidation of judicial profession
4	regulation; imposing penalties; authorizing fees.
5	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
6	ARTICLE 1. JUDICIAL BRANCH CERTIFICATION COMMISSION
7	SECTION 1.01. Title 2, Government Code, is amended by
8	adding Subtitle K to read as follows:
9	SUBTITLE K. COURT PROFESSIONS REGULATION
10	CHAPTER 151. GENERAL PROVISIONS
11	Sec. 151.001. DEFINITIONS. In this subtitle:
12	(1) "Certification" means a certification issued by
13	the commission.
14	(2) "Commission" means the Judicial Branch
15	Certification Commission.
16	(3) "Director" means the administrative director of
17	the office.
18	(4) "License" means a license issued by the
19	commission.
20	(5) "Office" means the Office of Court Administration
21	of the Texas Judicial System.
22	(6) "Registration" means a registration issued by the
23	commission.
24	(7) "Regulated person" means a person who holds a

1	certification, registration, or license issued by the commission.
2	CHAPTER 152. JUDICIAL BRANCH CERTIFICATION COMMISSION
3	SUBCHAPTER A. GENERAL PROVISIONS
4	Sec. 152.001. SUNSET PROVISION. The Judicial Branch
5	Certification Commission is subject to Chapter 325 (Texas Sunset
6	Act), but is not abolished under that chapter. The commission shall
7	be reviewed during the period in which state agencies abolished in
8	2025 and every 12th year after 2025 are reviewed.
9	SUBCHAPTER B. COMMISSION
10	Sec. 152.051. ESTABLISHMENT OF COMMISSION. The Judicial
11	Branch Certification Commission is established to oversee the
12	regulatory programs assigned to it by state law or by the supreme
13	<u>court.</u>
14	Sec. 152.052. APPOINTMENT OF COMMISSION. (a) The
15	commission consists of nine members appointed by the supreme court
16	as follows:
17	(1) five judges, at least three of whom must be active
18	judges who preside over a court that employs an official court
19	reporter; and
20	(2) four public members.
21	(b) Subject to Subsection (d), public members of the
22	commission are appointed as follows:
23	(1) one member selected by the supreme court from a
24	list of nominees submitted by the Court Reporters Certification
25	Advisory Board established under Section 154.051 to represent that
26	advisory board;
27	(2) one member selected by the supreme court from a

list of nominees submitted by the Guardianship Certification 1 Advisory Board established under Section 155.051 to represent that 2 3 advisory board; 4 (3) one member selected by the supreme court from a 5 list of nominees submitted by the Process Server Certification Advisory Board established under Section 156.051 to represent that 6 advisory board; and 7 8 (4) one member selected by the supreme court from a list of nominees submitted by the licensed court interpreter 9 10 advisory board established under Section 157.051 to represent that advisory board. 11 12 (c) In making an appointment under Subsection (b), the supreme court may reject one or more of the nominees included on a 13 14 list submitted by an advisory board and request a new list of 15 nominees that does not include any nominees in the previous list. (d) The supreme court may appoint to the commission a public 16 17 member selected by the supreme court if: 18 (1) an advisory board fails to provide the list of 19 nominees in the time required by the supreme court; or (2) a selected nominee does not otherwise meet the 20 qualifications required by this chapter. 21 (e) Appointments to the commission shall be made without 22 regard to the race, color, disability, sex, religion, age, or 23 24 national origin of the appointee. (f) A member appointed to the commission must be 25 26 knowledgeable about the professions certified by the commission. Sec. 152.053. CONFLICT PROVISIONS. (a) In this section, 27

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C.S.H.B. No. 3790 "Texas trade association" means a cooperative and voluntarily 1 2 joined statewide association of business or professional competitors in this state designed to assist its members and its 3 industry or profession in dealing with mutual business or 4 5 professional problems and in promoting their common interest. 6 (b) A person is not eligible for appointment as a member of 7 the commission if the person or the person's spouse: 8 (1) is employed by or participates in the management of a business entity or other organization receiving funds from the 9 10 commission; (2) owns or controls, directly or indirectly, more 11 12 than a 10 percent interest in a business entity or other organization receiving funds from the commission; or 13 14 (3) uses or receives a substantial amount of tangible 15 goods, services, or funds from the commission, other than compensation or reimbursement authorized by law for commission 16 17 membership, attendance, or expenses. (c) A person may not serve as a member of the commission or 18 19 act as the general counsel to the commission if the person is required to register as a lobbyist under Chapter 305 because of the 20 person's activities for compensation on behalf of a profession 21 related to the operation of the commission. 22 (d) A person may not be a member of the commission and may 23 24 not be a commission employee employed in a "bona fide executive, administrative, or professional capacity," as that phrase is used 25 26 for purposes of establishing an exemption to the overtime

27 provisions of the federal Fair Labor Standards Act of 1938 (29

1	U.S.C. Section 201 et seq.), if:
2	(1) the person is an officer, employee, or paid
3	consultant of a Texas trade association in the legal profession; or
4	(2) the person's spouse is an officer, manager, or paid
5	consultant of a Texas trade association in the legal profession.
6	Sec. 152.054. TRAINING. (a) A person who is appointed to
7	and qualifies for office as a member of the commission may not vote,
8	deliberate, or be counted as a member in attendance at a meeting of
9	the commission until the person completes a training program that
10	complies with this section.
11	(b) The training program must provide the person with
12	information regarding:
13	(1) this subtitle and the rules of the commission,
14	with an emphasis on the rules that relate to the commission's
15	disciplinary and investigatory authority;
16	(2) rules of ethics, codes of conduct, and other rules
17	adopted by the supreme court that are applicable to each profession
18	regulated or subject to oversight by the commission;
19	(3) the role and functions of the commission;
20	(4) the current budget for the commission;
21	(5) the results of the most recent formal audit of the
22	commission; and
23	(6) any ethics policies applicable to the commission
24	and adopted by the commission or supreme court.
25	(c) A person appointed to the commission is entitled to
26	reimbursement, as provided by the General Appropriations Act, for
27	the travel expenses incurred in attending the training program

1	regardless of whether the attendance at the program occurs before
2	or after the person qualifies for office.
3	Sec. 152.055. TERMS; VACANCY; REMOVAL. (a) Members of the
4	commission serve staggered six-year terms. The terms of three
5	members expire on February 1 of each odd-numbered year.
6	(b) If a vacancy occurs during a member's term, the supreme
7	court shall appoint a similarly qualified person to fill the
8	unexpired term.
9	(c) The supreme court may remove a member of the commission
10	for inefficiency or neglect of duty in office.
11	Sec. 152.056. PRESIDING OFFICER. The supreme court shall
12	designate a member of the commission as presiding officer of the
13	commission to serve in that capacity at the pleasure of the supreme
14	<u>court.</u>
15	Sec. 152.057. COMPENSATION; REIMBURSEMENT. (a) A
16	commission member may not receive compensation for service on the
17	commission.
18	(b) A commission member is entitled to reimbursement for
19	travel expenses and other actual and necessary expenses incurred in
20	performing functions as a commission member, subject to any
21	applicable limitation on reimbursement provided by the General
22	Appropriations Act.
23	Sec. 152.058. MEETINGS. (a) The commission shall meet at
24	least once in each quarter of the fiscal year.
25	(b) The commission may meet at other times at the call of the
26	presiding officer or as provided by commission rules.
27	Sec. 152.059. PUBLIC TESTIMONY. The commission shall

develop and implement policies that provide the public with a 1 reasonable opportunity to appear before the commission and to speak 2 on any issue under the jurisdiction of the commission. 3 4 SUBCHAPTER C. ADMINISTRATION 5 Sec. 152.101. RULES. The supreme court may adopt rules consistent with this subtitle, including rules governing the 6 certification, registration, licensing, and conduct of persons 7 regulated under this subtitle. The supreme court may authorize the 8 commission to adopt rules as the supreme court considers 9 appropriate or as otherwise specified under this subtitle. 10 Sec. 152.102. RULES REGARDING ADVERTISING OR COMPETITIVE 11 12 BIDDING. (a) Subject to any rules related to ethics or professional conduct promulgated by the supreme court, the supreme 13 14 court may not adopt rules restricting advertising or competitive 15 bidding by a holder of a certification, registration, or license except to prohibit false, misleading, or deceptive practices. 16 17 (b) In its rules to prohibit false, misleading, or deceptive practices, the supreme court may not include a rule that: 18 19 (1) restricts the use of any medium for advertising; 20 (2) restricts the use of a regulated person's personal appearance or voice in an advertisement; 21 22 (3) relates to the size or duration of an 23 advertisement by the regulated person; or 24 (4) restricts the regulated person's advertisement 25 under a trade name. 26 Sec. 152.103. ADMINISTRATIVE ATTACHMENT. (a) The 27 commission is administratively attached to the office.

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1	(b) Notwithstanding any other law, the office shall:
2	(1) provide administrative assistance, services, and
3	materials to the commission, including budget planning and
4	purchasing;
5	(2) accept, deposit, and disburse money made available
6	to the commission;
7	(3) reimburse the travel expenses and other actual and
8	necessary expenses of commission members incurred in the
9	performance of official commission duties, as provided by the
10	General Appropriations Act; and
11	(4) provide the commission with adequate computer
12	equipment and support.
13	Sec. 152.104. DIRECTOR. The director shall:
14	(1) perform any duty assigned by the commission and
15	other duties specified by law; and
16	(2) administer and enforce the commission's programs.
17	Sec. 152.105. DIVISION OF RESPONSIBILITIES. The commission
18	shall develop and implement policies that clearly separate the
19	policy-making responsibilities of the commission and the
20	management responsibilities of the director and the staff of the
21	office.
22	Sec. 152.106. USE OF TECHNOLOGY. The commission shall
23	implement a policy requiring the commission to use appropriate
24	technological solutions to improve the commission's ability to
25	perform its functions. The policy must ensure that the public is
26	able to interact with the commission on the Internet.
27	Sec. 152.107. INFORMATION ON STANDARDS OF CONDUCT. The

1 director or the director's designee shall provide to members of the commission and to office employees, as often as necessary, 2 information regarding the requirements for service or employment 3 under this subtitle, including information regarding a person's 4 5 responsibilities under applicable laws relating to standards of 6 conduct for state officers or employees. Sec. 152.108. PUBLIC INTEREST INFORMATION. (a) 7 The 8 commission shall prepare information of public interest describing the functions of the commission under this subtitle and the 9 procedure by which complaints are filed and resolved under this 10 subtitle. 11 12 (b) The commission shall make the information available to 13 the public and appropriate state agencies. Sec. 152.109. COMPLAINTS. (a) The commission shall 14 15 establish methods by which consumers are notified of the name, mailing address, and telephone number of the commission for the 16 17 purpose of directing complaints about persons regulated under this subtitle to the commission. 18 19 (b) The commission shall list with its regular telephone number any toll-free telephone number established under other state 20 21 law that may be called to present a complaint about a person regulated under this subtitle. 22 Sec. 152.110. RECORDS OF COMPLAINTS. (a) The commission 23 24 shall maintain a file on each written complaint filed with the commission under this subtitle. The file must include: 25 26 (1) the name of the person who filed the complaint; 27 (2) the date the complaint is received by the

1	<pre>commission;</pre>
2	(3) the subject matter of the complaint;
3	(4) the name of each person contacted in relation to
4	the complaint;
5	(5) a summary of the results of the review or
6	investigation of the complaint; and
7	(6) an explanation of the reason the file was closed,
8	if the commission closed the file without taking action other than
9	to investigate the complaint.
10	(b) The commission shall provide to the person filing the
11	complaint and to each person who is a subject of the complaint a
12	copy of the commission's policies and procedures relating to
13	complaint investigation and resolution. A person who reports a
14	complaint by telephone shall be given information on how to file a
15	written complaint.
16	(c) The commission, at least quarterly and until final
17	disposition of the complaint, shall notify the person filing the
18	complaint and each person who is a subject of the complaint of the
19	status of the investigation unless the notice would jeopardize an
20	ongoing investigation.
21	Sec. 152.111. COMPLAINT DISMISSAL. (a) The commission may
22	adopt a policy allowing office employees to dismiss complaints
23	that:
24	(1) clearly do not allege misconduct; or
25	(2) are not within the commission's jurisdiction.
26	(b) Office employees shall inform the commission of all
27	dismissals made under this section.

1	(c) A person who files a complaint that is dismissed under
2	this section may request that the commission reconsider the
3	complaint.
4	Sec. 152.112. ALTERNATIVE DISPUTE RESOLUTION PROCEDURES.
5	(a) The commission shall develop and implement a policy to
6	encourage the use of appropriate alternative dispute resolution
7	procedures to assist in the resolution of internal and external
8	disputes under the commission's jurisdiction.
9	(b) The procedures relating to alternative dispute
10	resolution under this section must conform, to the extent possible,
11	to any model guidelines issued by the State Office of
12	Administrative Hearings for the use of alternative dispute
13	resolution by state agencies.
14	SUBCHAPTER D. POWERS AND DUTIES
15	Sec. 152.151. GENERAL POWERS AND DUTIES. (a) The
16	commission shall:
17	(1) administer and enforce this subtitle;
18	(2) in consultation with appropriate advisory boards,
19	develop and recommend rules to the supreme court;
20	(3) in consultation with appropriate advisory boards,
21	develop and recommend to the supreme court a code of ethics for each
22	profession regulated under this subtitle;
23	(4) set fees in amounts reasonable and necessary to
24	cover the costs of administering the programs or activities
25	administered by the commission, including examinations and
26	issuance and renewal of certifications, registrations, and
27	licenses; and

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1	(5) in consultation with appropriate advisory boards,
2	establish qualifications for certification, registration, and
3	licensing under this subtitle.
4	(b) The commission may:
5	(1) require applicants for certification,
6	registration, or licensing under this subtitle to pass an
7	examination that is developed and administered by the commission,
8	or by the commission in conjunction with a person with whom the
9	commission contracts to develop and administer the examination, and
10	charge fees for the examination;
11	(2) require regulated persons to obtain continuing
12	education; and
13	(3) appoint necessary committees.
14	Sec. 152.152. ADVISORY BOARDS. (a) In addition to the
15	advisory boards specifically established under this subtitle, the
16	commission may establish other advisory boards to advise the
17	commission on policy and persons regulated under this subtitle.
18	(b) An advisory board established under this subtitle,
19	including under this section, shall meet at least once each year and
20	at the call of the presiding officer.
21	(c) An advisory board established under this subtitle,
22	including under this section, shall assist the commission by
23	developing and recommending rules to the commission. The advisory
24	board may establish subcommittees to fulfill the duties imposed
25	under this subsection.
26	(d) An advisory board member serves without compensation
27	but is entitled to reimbursement for travel expenses and other

1	actual and necessary expenses incurred in performing functions as
2	an advisory board member, subject to any applicable limitation on
3	reimbursement provided by the General Appropriations Act.
4	SUBCHAPTER E. CERTIFICATION, REGISTRATION, AND LICENSING
5	REQUIREMENTS
6	Sec. 152.201. EXAMINATIONS. (a) Not later than the 30th
7	day after the date a person takes an examination, the commission
8	shall notify the person of the results of the examination.
9	(b) If the examination is graded or reviewed by a testing
10	service:
11	(1) the commission shall notify the person of the
12	results of the examination not later than the 30th day after the
13	date the commission receives the results from the testing service;
14	and
15	(2) if notice of the examination results will be
16	delayed for longer than 90 days after the examination date, the
17	commission shall notify the person of the reason for the delay
18	before the 90th day.
19	(c) The commission may require a testing service to:
20	(1) notify a person of the results of the person's
21	examination; or
22	(2) collect a fee for administering an examination
23	from a person taking the examination.
24	(d) If requested in writing by a person who fails an
25	examination, the commission shall furnish the person with an
26	analysis of the person's performance on the examination.
27	Sec. 152.202. ENDORSEMENT; RECIPROCITY. (a) The

1 commission may waive any prerequisite to obtaining a certification,
2 registration, or license for an applicant after reviewing the
3 applicant's credentials and determining that the applicant holds a
4 certification, registration, or license issued by another
5 jurisdiction that has certification, registration, or licensing
6 requirements substantially equivalent to those of this state.

(b) The commission may waive any prerequisite to obtaining a
certification, registration, or license for an applicant who holds
a certification, registration, or license issued by another
jurisdiction with which this state has a reciprocity agreement.
The commission may make an agreement, subject to the approval of the
supreme court, with another state to allow for certification,
registration, or licensing by reciprocity.

Sec. 152.203. RULES ON INELIGIBILITY. The supreme court shall adopt rules on applicants' ineligibility for certification, registration, or licensing under this subtitle based on the person's criminal history or other information that indicates the person lacks the honesty, trustworthiness, or integrity to hold the certification, registration, or license.

20 <u>Sec. 152.204. CONTINUING EDUCATION. (a) The supreme court</u> 21 <u>may authorize and the commission by rule may require continuing</u> 22 <u>professional education for persons regulated under this subtitle.</u>

- (b) The rules for continuing professional education adopted
   by the commission may include standards relating to:
- 25 <u>(1) reporting by regulated persons or by providers of</u> 26 <u>continuing professional education;</u>
- 27

(2) continuing professional education course content;

1	and
2	(3) the minimum number of continuing professional
3	education hours required.
4	(c) The commission by rule may exempt certain persons,
5	including retired persons and persons with disabilities, from all
6	or a portion of the continuing education requirements.
7	Sec. 152.205. CODE OF ETHICS. (a) The commission shall
8	develop and recommend to the supreme court for adoption by rule a
9	code of ethics for persons regulated under this subtitle. In
10	developing the code of ethics, the commission may use the codes of
11	ethics adopted by state or national associations as models.
12	(b) The commission shall publish the code of ethics after
13	adoption by the supreme court.
14	(c) After publishing the code of ethics, the commission
15	shall propose to the supreme court a rule stating that a person who
16	violates the code of ethics is subject to an administrative penalty
17	assessed under Chapter 153.
18	(d) The commission shall update the code of ethics as
19	necessary to reflect changes in technology or other factors
20	affecting a profession regulated under this subtitle.
21	CHAPTER 153. COMMISSION ENFORCEMENT
22	SUBCHAPTER A. GENERAL ENFORCEMENT PROVISIONS
23	Sec. 153.001. INVESTIGATIONS. The commission may conduct
24	investigations as necessary to enforce the laws administered by the
25	commission.
26	Sec. 153.002. SUBPOENAS. (a) The commission may issue a
27	subpoena as provided by this section.

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1	(b) The commission may request and, if necessary, compel by
2	subpoena:
3	(1) the production for inspection and copying of
4	records, documents, and other evidence relevant to the
5	investigation of an alleged violation of this subtitle, a law
6	establishing a regulatory program administered by the commission, a
7	rule adopted under this subtitle, or an order issued by the
8	commission or director; and
9	(2) the attendance of a witness for examination under
10	<u>oath.</u>
11	(c) A subpoena under this section may be issued throughout
12	this state and may be served by any person designated by the
13	commission or the director.
14	(d) The commission, acting through the attorney general,
15	may bring an action to enforce a subpoena issued under this section
16	against a person who fails to comply with the subpoena.
17	(e) Venue for an action brought under this section is in a
18	district court in:
19	(1) Travis County; or
20	(2) any county in which the commission may hold a
21	hearing.
22	(f) The court shall order compliance with the subpoena if
23	the court finds that good cause exists to issue the subpoena.
24	Sec. 153.003. CEASE AND DESIST ORDER. The director may
25	issue a cease and desist order if the director determines that the
26	action is necessary to prevent a violation of:
27	(1) this subtitle;

1	(2) a law establishing a regulatory program
2	administered by the commission; or
3	(3) a rule adopted under this subtitle or order issued
4	by the commission or the director.
5	Sec. 153.004. DENIAL, REVOCATION, SUSPENSION, OR REFUSAL TO
6	RENEW; REPRIMAND; PROBATION. (a) The commission may deny, revoke,
7	suspend, or refuse to renew a certification, registration, or
8	license or may reprimand a regulated person for a violation of this
9	subtitle, a law establishing a regulatory program administered by
10	the commission, a rule adopted under this subtitle, or an order
11	issued by the commission or director.
12	(b) The commission may place on probation a person whose
13	certification, registration, or license is suspended. If a
14	certification, registration, or license suspension is probated,
15	the commission may require the person to:
16	(1) report regularly to the commission on matters that
17	are the basis of the probation;
18	(2) limit practice to the areas prescribed by the
19	commission; or
20	(3) continue or review professional education until
21	the person attains a degree of skill satisfactory to the commission
22	in those areas that are the basis for the probation.
23	Sec. 153.005. INJUNCTION. (a) The commission may apply to
24	a district court in any county for an injunction to restrain a
25	violation of this subtitle or a rule adopted under this subtitle by
26	a person.
27	(b) At the request of the commission, the attorney general

1	shall	initiate	and	conduct	an	action	in	а	district	court	in	the
2	state'	s name to	obta	in an inj	unc	tion und	der	th	is section	1.		

3 (c) If the state prevails in a suit under this section, the 4 attorney general may recover on behalf of the state reasonable 5 attorney's fees, court costs, and reasonable investigative costs 6 incurred in relation to the proceeding.

## 7 SUBCHAPTER B. ADMINISTRATIVE PENALTY; ADMINISTRATIVE SANCTION

8 <u>Sec. 153.051. IMPOSITION OF PENALTY. (a) The commission</u> 9 <u>may impose an administrative penalty on a person regulated under</u> 10 <u>this subtitle who violates this subtitle or a rule or standard</u> 11 <u>adopted or order issued under this subtitle.</u>

12 (b) A proceeding under this subchapter imposing an 13 administrative penalty may be combined with a proceeding to impose 14 an administrative sanction otherwise imposed under this subtitle. 15 If an administrative sanction is imposed in a proceeding under this 16 subchapter, the requirements of this subchapter apply to the 17 imposition of the sanction.

18 <u>Sec. 153.052. AMOUNT OF PENALTY. (a) The amount of an</u> 19 <u>administrative penalty may not exceed \$500 for each violation, and</u> 20 <u>each day a violation continues or occurs is a separate violation for</u> 21 <u>purposes of imposing a penalty.</u>

- 22 (b) The amount shall be based on:
- 23 (1) the seriousness of the violation, including the 24 nature, circumstances, extent, and gravity of the violation;
- 25 (2) the threat to health or safety caused by the 26 violation;
- 27 (3) any previous violations;

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1	(4) the amount necessary to deter a future violation;
2	(5) whether the violator demonstrated good faith,
3	including when applicable whether the violator made good faith
4	efforts to correct the violation; and
5	(6) any other matter that justice may require.
6	Sec. 153.053. REPORT AND NOTICE OF VIOLATION, PENALTY, AND
7	SANCTION. (a) The commission shall:
8	(1) appoint a committee of advisory board members to
9	review a complaint, make the initial determination on whether a
10	violation occurred, and recommend the imposition of a penalty, a
11	sanction, or both for violations;
12	(2) review the determination and recommendation of the
13	committee and accept or revise as necessary the determination and
14	recommendation; and
15	(3) give to the person who is the subject of the
16	complaint written notice by certified mail of the commission's
17	determination on whether a violation occurred and each recommended
18	penalty or sanction, if any.
19	(b) The notice required under Subsection (a) must:
20	(1) include a brief summary of the alleged violation;
21	(2) state the amount of any recommended penalty;
22	(3) state any recommended sanction; and
23	(4) inform the person of the person's right to a
24	hearing on the occurrence of the violation, the amount of the
25	penalty, the imposition of the sanction, or any combination.
26	Sec. 153.054. PENALTY PAID, SANCTION ACCEPTED, OR HEARING
27	REQUESTED. (a) Not later than the 20th day after the date the

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1	person receives the notice sent under Section 153.053, the person
2	in writing may:
3	(1) accept the determination of the commission and
4	recommended penalty or sanction; or
5	(2) make a request for a hearing on the occurrence of
6	the violation, the imposition or amount of the penalty, the
7	imposition of the sanction, or any combination.
8	(b) If the person accepts the determination and recommended
9	penalty or sanction or if the person fails to respond to the notice,
10	the commission by order shall approve the determination and impose
11	the recommended penalty or sanction.
12	Sec. 153.055. NOTICE; HEARING. (a) If the person requests a
13	hearing, the commission shall give to the person written notice of
14	the hearing that includes the time, place, legal authority, and
15	jurisdiction under which the hearing is held and the laws and rules
16	related to the violation.
17	(b) The person may appear, present evidence, and respond to
18	questions from the commission at the hearing.
19	(c) The commission shall make findings of fact and
20	conclusions of law and promptly issue an order on the occurrence of
21	the violation, the amount of any penalty imposed, and the
22	imposition of any sanction. The commission shall give the person
23	notice of the order.
24	(d) On approval of the supreme court, the commission may
25	adopt rules governing the hearing, including rules on appearance by
26	telephone.
27	(e) The presiding officer of the commission may hold

1	prehearing conferences.
2	(f) The notice of the commission's order under Subsection
3	(c) must include a statement of the right of the person to appeal
4	the order under Section 153.058.
5	(g) On request of the commission, at least one member of the
6	applicable advisory board committee shall attend the hearing to
7	consult with the commission on the reasons for the advisory board
8	committee's recommendations under Section 153.053(a).
9	(h) At the hearing, the commission shall apply the general
10	rules of evidence applicable in a district court, except that the
11	commission may admit and consider any information the commission
12	determines is relevant, trustworthy, and necessary for a full and
13	fair adjudication and determination of fact or law.
14	Sec. 153.056. OPTIONS FOLLOWING DECISION: PAY, ACCEPT, OR
15	APPEAL. Not later than the 30th day after the date the order of the
16	commission imposing an administrative penalty or sanction under
17	Section 153.055 becomes final, the person shall:
18	(1) pay the penalty or accept the sanction; or
19	(2) file an appeal of the commission's order
20	contesting the occurrence of the violation, the imposition or
21	amount of the penalty, the imposition of the sanction, or any
22	combination.
23	Sec. 153.057. COLLECTION OF PENALTY. (a) If the person
24	does not pay the penalty and the enforcement of the penalty is not
25	stayed in accordance with supreme court rules, the penalty may be
26	collected.
27	(b) The attorney general may sue to collect the penalty and

1	may recover reasonable expenses, including attorney's fees,
2	incurred in recovering the penalty.
3	(c) A penalty collected under this subchapter shall be
4	deposited in the state treasury in the general revenue fund.
5	Sec. 153.058. APPEAL OF DECISION. (a) The supreme court
6	shall adopt rules governing appeals under this subchapter.
7	(b) The rules must require the appeal to be made to a special
8	committee consisting of three regional presiding judges. If the
9	alleged violation involves a certified guardian, the committee must
10	consist of two regional presiding judges and the presiding judge of
11	the statutory probate courts.
12	(c) An appeal must be filed not later than the 30th day after
13	the date the commission's order is issued.
14	(d) The special committee shall consider the appeal under an
15	abuse of discretion standard of review for all issues except issues
16	involving questions of law. The standard of review for issues
17	involving questions of law is de novo.
18	(e) The special committee may confer in writing with a
19	certification, registration, or license holder who is in the same
20	profession as the person appealing the commission's order if the
21	special committee provides to the person:
22	(1) notice of the special committee's request for
23	information; and
24	(2) a copy of the certification, registration, or
25	license holder's response.
26	(f) If the special committee sustains the finding that a
27	violation occurred, the special committee may:

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1	(1) uphold or reduce the amount of any penalty and
2	order the person to pay the full or reduced amount of the penalty;
3	and
4	(2) uphold or reduce any sanction and order the
5	imposition of the sanction.
6	(g) If the special committee does not sustain the finding
7	that a violation occurred, the special committee shall order that a
8	penalty is not owed and that a sanction may not be imposed.
9	Sec. 153.059. REMITTANCE OF PENALTY AND INTEREST. (a) If
10	the person paid the penalty and if the amount of the penalty is
11	reduced or the penalty is not upheld by the special committee, the
12	special committee shall order that the appropriate amount plus
13	accrued interest be remitted to the person not later than the 30th
14	day after the date the judgment of the special committee becomes
15	<u>final.</u>
16	(b) The interest accrues at the rate charged on loans to
17	depository institutions by the New York Federal Reserve Bank.
18	(c) The interest shall be paid for the period beginning on
19	the date the penalty is paid and ending on the date the penalty is
20	remitted.
21	CHAPTER 154. COURT REPORTERS CERTIFICATION AND SHORTHAND REPORTING
22	FIRMS REGISTRATION
23	SUBCHAPTER A. GENERAL PROVISIONS
24	Sec. 154.001. DEFINITIONS. (a) In this chapter:
25	(1) "Advisory board" means the Court Reporters
26	Certification Advisory Board.
27	(2) "Certification" means, notwithstanding Section

1	151.001, a certification issued by the supreme court on the
2	commission's recommendation.
3	(3) "Official court reporter" means the shorthand
4	reporter appointed by a judge as the official court reporter.
5	(4) "Shorthand reporter" and "court reporter" mean a
6	person who engages in shorthand reporting.
7	(5) "Shorthand reporting" and "court reporting" mean
8	the practice of shorthand reporting for use in litigation in the
9	courts of this state by making a verbatim record of an oral court
10	proceeding, deposition, or proceeding before a grand jury, referee,
11	or court commissioner using written symbols in shorthand, machine
12	shorthand, or oral stenography.
13	(6) "Shorthand reporting firm," "court reporting
14	firm," and "affiliate office" mean an entity wholly or partly in the
15	business of providing court reporting or other related services in
16	this state.
17	(b) For purposes of Subsection (a)(6), a court reporting
18	firm, shorthand reporting firm, or affiliate office is considered
19	to be providing court reporting or other related services in this
20	state if:
21	(1) any act that constitutes a court reporting service
22	or shorthand reporting service occurs wholly or partly in this
23	state;
24	(2) the firm or affiliate office recruits a resident
25	of this state through an intermediary located inside or outside of
26	this state to provide court reporting services, shorthand reporting
27	services, or other related services in this state; or

C.S.H.B. No. 3790 (3) the firm or affiliate office contracts with a 1 resident of this state by mail or otherwise and either party is to 2 perform court reporting services, shorthand reporting services, or 3 other related services wholly or partly in this state. 4 Sec. 154.002. RULES. The supreme court may adopt rules 5 consistent with this subtitle, including rules governing: 6 7 (1) the certification and conduct of official and 8 deputy court reporters and shorthand reporters; and (2) the registration and conduct of court reporting 9 10 and shorthand reporting firms. SECTION 1.02. Chapter 154, Government Code, as added by 11 12 this Act, is amended by adding Subchapter B, and a heading is added to that subchapter to read as follows: 13 SUBCHAPTER B. COURT REPORTERS CERTIFICATION ADVISORY BOARD 14 15 SECTION 1.03. Sections 52.011 and 52.0111, Government Code, are transferred to Subchapter B, Chapter 154, Government Code, as 16 17 added by this Act, redesignated as Sections 154.051 and 154.052, Government Code, and amended to read as follows: 18 19 Sec. 154.051 [<del>52.011</del>]. ORGANIZATION. (a) The Court Reporters Certification Advisory Board is established as an 20 advisory board to the commission. The advisory board is composed of 21 at least seven members appointed by the supreme court as follows 22 [and is composed of]: 23 24 (1)one active district judge presiding over a court 25 that employs an official court reporter [who serves as chairman]; 26 (2) one [two] active attorney [attorneys] licensed in 27 this state who has [have] been a practicing member [members] of the

State Bar for more than the five years immediately preceding <u>the</u>
 <u>attorney's</u> [their] appointment to the <u>advisory</u> board;

3 (3) two active official court reporters who have 4 practiced shorthand reporting in this state for more than the five 5 years immediately preceding their appointment to the <u>advisory</u> 6 board;

7 (4) two active certified shorthand reporters who work
8 on a freelance basis and who have practiced shorthand reporting for
9 more than the five years immediately preceding their appointment to
10 the <u>advisory</u> board; <u>and</u>

(5) one representative of a shorthand reporting firm
[that is not owned by a certified shorthand reporter and] that has
operated as a shorthand reporting firm in this state for more than
the three years immediately preceding the representative's
appointment to the <u>advisory</u> board[+

16 [(6) one representative of a shorthand reporting firm 17 that is owned by a certified shorthand reporter and that has 18 operated as a shorthand reporting firm in this state for more than 19 the three years immediately preceding the representative's 20 appointment to the board; and

21 [(7) four members who are representatives of the 22 general public].

(b) Appointments to the <u>advisory</u> board shall be made without regard to the race, color, disability, sex, religion, age, or national origin of the appointees.

26 (c) <u>The advisory board member appointed under Subsection</u>
 27 (a)(1) serves as presiding officer of the advisory board. [A person

1	may not be a member of the board or act as the general counsel to the
2	board if the person is:
3	[ <del>(1) required to register as a lobbyist under Chapter</del>
4	305 because of the person's activities for compensation on behalf
5	of a profession related to the operation of the board; or
6	[ <del>(2) an owner, officer, or employee of a school or</del>
7	institution engaged in instructing persons in shorthand reporting
8	skills.]
9	(d) <u>A majority of the advisory board constitutes a quorum.</u>
10	[In this subsection, "Texas trade association" means a cooperative
11	and voluntarily joined statewide association of business or
12	professional competitors in this state designed to assist its
13	members and its industry or profession in dealing with mutual
14	business or professional problems and in promoting their common
15	interest. A person may not be a member of the board and may not be a
16	board employee employed in a "bona fide executive, administrative,
17	or professional capacity," as that phrase is used for purposes of
18	establishing an exemption to the overtime provisions of the federal
19	Fair Labor Standards Act of 1938 (29 U.S.C. Section 201 et seq.),
20	and its subsequent amendments, if:
21	[ <del>(1) the person is an officer, employee, or paid</del>
22	consultant of a Texas trade association in the field of shorthand
23	reporting; or
24	[ <del>(2) the person's spouse is an officer, manager, or</del>
25	paid consultant of a Texas trade association in the field of
26	<pre>shorthand reporting.</pre>
27	(e) <u>Advisory board</u> [ <del>A person may not be a public member of</del>

1 the board if the person or the person's spouse: [(1) is a judge; 2 is licensed to practice law in this state; 3 [(2)][(3) is registered or certified by the board; 4 [(4) is an elected public official; 5 [(5) is a full-time governmental employee; 6 [(6) is employed by or participates in the management 7 8 of a business entity or other organization regulated by receiving money from the board; 9 [(7) owns or controls, directly or indirectly, more 10 than a 10 percent interest in a business entity or other 11 organization regulated by or receiving money from the board; or 12 [(8) uses or receives a substantial amount of tangible 13 14 goods, services, or money from the board other than compensation or 15 reimbursement authorized by law for board membership, attendance, 16 or expenses. 17 [(f) Board] members serve staggered six-year terms of office as ordered by the supreme court[, with the terms of two or 18 three members expiring on December 31 of each year]. 19 [(g) A member holds office until that member's 20 (f) successor is appointed and has qualified for office. A board member 21 may not be appointed to an immediately succeeding term unless the 22 23 member has served less than three consecutive years. 24 [(h)] If a vacancy occurs on the <u>advisory</u> board, the supreme court shall appoint a similarly qualified person to serve the 25 remainder of the term. 26 (g) Advisory board [(i) Board] 27 members serve without

1 compensation but are entitled to reimbursement for travel expenses and other actual and necessary expenses incurred in the performance 2 of official advisory [traveling and performing official] board 3 duties, as provided by the General Appropriations Act. 4

5 Sec. 154.052 [52.0111]. ADVISORY BOARD MEMBER TRAINING. (a) A person who is appointed to and qualifies for office as a 6 member of the advisory board may not vote, deliberate, or be counted 7 8 as a member in attendance at a meeting of the advisory board until the person completes a training program that complies with this 9 section. 10

The training program must provide the person with 11 (b) 12 information regarding:

13 (1)this chapter [the legislation that created the 14 board];

15

(2) [the programs operated by the board;

16 [(3)] the role and functions of the advisory board; 17 (3) [(4)] the rules of the commission [board], with an emphasis on the rules that relate to disciplinary and investigatory 18 19 authority; and 20

21

24

(4) [(5) the current budget for the board;

(6) the results of the most recent formal audit of the

22 board;

23 [(7) the requirements of:

[(A) the open meetings law, Chapter 551;

25 [(B) the public information law, Chapter 552;

26 [(C) the administrative procedure law, Chapter

<del>2001; and</del> 27

1 [(D) other laws relating to public officials, 2 including conflict-of-interest laws; and

3 [<del>(8)</del>] any applicable ethics policies adopted by the 4 <u>commission</u> [<del>board or the Texas Ethics Commission</del>].

5 (c) A person appointed to the <u>advisory</u> board is entitled to 6 reimbursement, as provided by the General Appropriations Act, for 7 the travel expenses incurred in attending the training program 8 regardless of whether the attendance at the program occurs before 9 or after the person qualifies for office.

10 SECTION 1.04. Subchapter C, Chapter 52, Government Code, is 11 transferred to Chapter 154, Government Code, as added by this Act, 12 redesignated as Subchapter C, Chapter 154, Government Code, and 13 amended to read as follows:

14

## SUBCHAPTER C. CERTIFICATION AND REGISTRATION

Sec. <u>154.101</u> [<del>52.021</del>]. CERTIFICATION OF REPORTERS. (a) A person may not be appointed an official court reporter or a deputy court reporter unless the person is certified as a shorthand reporter by the supreme court.

(b) A person may not engage in shorthand reporting in this state unless the person is certified as a shorthand reporter by the supreme court.

(c) A certification issued under this chapter must be forone or more of the following methods of shorthand reporting:

- 24
- written shorthand;

25 (2) machine shorthand;

26 (3) oral stenography; or

27 (4) any other method of shorthand reporting authorized

1 by the supreme court.

(d) A person certified under state law as a court reporter
[this chapter] before September 1, 1983, may retain a general
certification authorizing the person to use any authorized method
of shorthand reporting. The person must keep the certification in
continuous effect.

7 A person may not assume or use the title or designation (e) 8 "court recorder," "court reporter," or "shorthand reporter," or any abbreviation, title, designation, words, letters, sign, card, or 9 10 device tending to indicate that the person is a court reporter or shorthand reporter, unless the person is certified as a shorthand 11 12 reporter by the supreme court. Nothing in this subsection shall be construed to either sanction or prohibit the use of electronic 13 14 court recording equipment operated by a noncertified court reporter 15 pursuant and according to rules adopted or approved by the supreme 16 court.

(f) Except as provided by Section <u>154.112</u> [<del>52.031</del>] and by Section 20.001, Civil Practice and Remedies Code, all depositions conducted in this state must be recorded by a certified shorthand reporter.

(g) The <u>commission</u> [board] may enforce this section by seeking an injunction or by filing a complaint against a person who is not certified by the supreme court in the district court of the county in which that person resides or Travis County. Said action for an injunction shall be in addition to any other action, proceeding, or remedy authorized by law. The <u>commission</u> [board] shall be represented by the attorney general and/or the county or

1 district attorney of this state, or counsel designated and 2 empowered by the commission [board].

3 (h) A court reporting firm shall register with the 4 <u>commission</u> [board] by completing an application in a form adopted 5 by the <u>commission</u> [board].

6 (i) Rules applicable to a court reporter are also applicable 7 to a court reporting firm. The commission [board] may enforce this 8 subsection by assessing a reasonable fee against a court reporting firm. This subsection does not apply to court reporting services 9 performed outside of this state by a foreign shorthand reporter who 10 is not certified in this state for use in a court proceeding in this 11 state, provided that the work resulting from those services is 12 produced and billed wholly outside of this state. 13

14 [Sec. 52.0211. RULES ON CONSEQUENCES OF CRIMINAL 15 CONVICTION. (a) Chapter 53, Occupations Code, applies to an 16 applicant for or a holder of a certification or registration under 17 this chapter, notwithstanding Section 53.002, Occupations Code.

18 [(b) The supreme court shall adopt rules necessary to comply
19 with Chapter 53, Occupations Code.]

Sec. <u>154.102</u> [52.022]. APPLICATION FOR EXAMINATION. A person seeking certification must file an application for examination with the <u>commission</u> [board] not later than the 30th day before the date fixed for the examination. The application must be accompanied by the required fee.

25 Sec. <u>154.103</u> [<del>52.023</del>]. EXAMINATION. (a) The examination 26 for certification in one or more of the authorized methods of 27 shorthand reporting consists of two parts, designated Part A and

1 Part B.

Part A consists of five minutes of two-voice dictation 2 (b) 3 of questions and answers given at 225 words per minute, five minutes of dictation of jury charges given at 200 words per minute, and five 4 5 minutes of dictation of selected literary material given at 180 words per minute. Each applicant must personally take down the test 6 material, either in writing or in voice, and must prepare a 7 8 transcript of the material taken down. The minimum passing grade for each section of Part A is 95 percent. A dictionary may be used 9 10 during Part A. Each applicant has three hours to complete the transcription of Part A. If an applicant finishes before the three 11 12 hours have elapsed, the applicant may review the transcript but may use only the test material taken down by that applicant to review 13 14 the transcript. An error is charged for:

15

(1) each wrong word;

16 (2) each omitted word;

17 (3) each word added by the applicant that was not 18 dictated;

19 (4) each contraction interpreted by the applicant as20 two words;

21 (5) two words interpreted by the applicant as a 22 contraction;

23 (6) each misplaced word;

24 (7) each misplaced period that materially alters the25 sense of a group of words or a sentence;

- 26 (8) each misspelled word;
- 27 (9) the use of the plural or singular if the opposite

1 was dictated; and

2

(10) each wrong number.

3 (c) Part B consists of objective questions relating to 4 elementary aspects of shorthand reporting, spelling, and grammar. 5 The minimum passing grade for Part B is 75 percent. A dictionary 6 may not be used during Part B.

7 applicant cheats on the examination (d) An who is 8 disqualified and may not take the examination again until two years have elapsed from the date of the examination at which the applicant 9 10 was disqualified.

11 [Sec. 52.0231. EXAMINATION RESULTS. (a) Not later than the 12 30th day after the date a person takes an examination under this 13 chapter, the board shall notify the person of the results of the 14 examination.

15 [(b) If the examination is graded or reviewed by a testing 16 service:

17 [(1) the board shall notify the person of the results 18 of the examination not later than the 30th day after the date the 19 board receives the results from the testing service; and

20 [(2) if notice of the examination results will be 21 delayed for longer than 90 days after the examination date, the 22 board shall notify the person of the reason for the delay before the 23 90th day.

24 [(c) The board may require a testing service to notify a
25 person of the results of the person's examination.

26 [(d) If requested in writing by a person who fails an
27 examination administered under this chapter, the board shall

furnish the person with an analysis of the person's performance on 1 the examination.] 2 Sec. 154.104 [52.024]. CERTIFICATION TO 3 SUPREME COURT. [(a)] The commission [board] shall certify to the supreme court the 4 5 name of each qualified applicant who has passed the examination. 6 [Sec. 52.0241. RECIPROCAL CERTIFICATION OR CERTIFICATION BY ENDORSEMENT. (a) The board may waive any prerequisite to 7 8 certification for an applicant after reviewing the applicant's credentials and determining that the applicant holds a license or 9 10 certification issued by another jurisdiction that has licensing or certification requirements substantially equivalent to those of 11 12 this state. [(b) The board may waive any prerequisite to certification 13 14 for an applicant who holds a license or certification issued by 15 another jurisdiction with which this state has a reciprocity agreement. The board may make an agreement, subject to the approval 16 17 of the supreme court, with another state to allow for certificati

18 by reciprocity.]

Sec. <u>154.105</u> [<del>52.025</del>]. TITLE; OATHS. (a) On certification,</del>
a shorthand reporter may use the title "Certified Shorthand
Reporter" or the abbreviation "CSR."

(b) A certified shorthand reporter may administer oaths towitnesses anywhere in this state.

Sec. <u>154.106</u> [<del>52.0255</del>]. FIRM REGISTRATION. (a) A</del> shorthand reporting firm may not assume or use the title or designation "court recording firm," "court reporting firm," or rshorthand reporting firm" or any abbreviation, title,

designation, words, letters, sign, card, or device tending to indicate that the firm is a court reporting firm or shorthand reporting firm, or offer services as a court reporting firm or shorthand reporting firm, unless the firm and its affiliate offices are registered with the <u>commission</u> [board] on a form prescribed by the <u>commission</u> [board] as required by this <u>subchapter</u> [chapter].

7 The commission [board] may enforce this section against (b) 8 a firm, its affiliate office, or both, if the firm or affiliate office is not registered with the commission [board], by seeking an 9 injunction or by filing a complaint in the district court of the 10 county in which the firm or affiliate office is located or in Travis 11 County. An action for an injunction is in addition to any other 12 action, proceeding, or remedy authorized by law. The attorney 13 14 general, a county or district attorney of this state, or counsel 15 designated and empowered by the commission [board] shall represent the commission [board]. 16

Sec. <u>154.107</u> [52.026]. CERTIFICATION AND REGISTRATION FEE AND RENEWAL. (a) A person who receives certification as a shorthand reporter or a shorthand reporting firm or affiliate office that registers with the <u>commission</u> [board] must pay the initial fee and any other required fee before receiving the certification or registration.

(b) A certification or registration expires at 12:01 a.m. on
January 1 following the second anniversary of the date on which it
was issued unless the certification or registration is renewed.
Thereafter, the certification or registration expires at 12:01 a.m.
of each second January 1 unless renewed.

1 (c) A person who is otherwise eligible to renew a certification or registration may renew an unexpired certification 2 3 or registration by paying the required renewal fee to the commission [board] before the expiration date of the certification 4 5 or registration. A person whose certification or registration has expired may not engage in activities that require a certification 6 7 or registration until the certification or registration has been 8 renewed.

9 (d) A person whose certification or registration has been 10 expired for 90 days or less may renew the certification or 11 registration by paying to the <u>commission</u> [board] a renewal fee that 12 is equal to 1-1/2 times the normally required renewal fee.

(e) A person whose certification or registration has been expired for more than 90 days but less than one year may renew the certification or registration by paying to the <u>commission</u> [board] a renewal fee that is equal to two times the normally required renewal fee.

(f) A person whose certification or registration has been expired for one year or more may not renew the certification or registration. The person may obtain a new certification or registration by complying with the requirements and procedures, including the examination requirements, for obtaining an original certification or registration.

(g) A person who was certified in this state, moved to another state, and is currently certified and has been in practice in the other state for the two years preceding the date of application may obtain a new certification without reexamination.

1 The person must pay to the <u>commission</u> [board] a fee that is equal to 2 two times the normally required renewal fee for the certification.

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3 (h) Not later than the 30th day before the date a person's 4 certification or registration is scheduled to expire, the 5 <u>commission</u> [board] shall send written notice of the impending 6 expiration to the person at the person's last known address 7 according to the records of the <u>commission</u> [board].

Sec. 154.108 [52.0261]. STAGGERED RENEWAL OF CERTIFICATION 8 9 OR REGISTRATION. The supreme court by rule may adopt a system under which certifications or registrations expire on various dates 10 during the year. For the year in which the certification or 11 12 registration expiration date is changed, the commission [board] shall prorate certification or registration fees on a monthly basis 13 14 so that each certification or registration holder pays only that 15 portion of the certification or registration fee that is allocable to the number of months during which the certification or 16 17 registration is valid. On renewal of the certification or registration on the new expiration date, the total certification or 18 19 registration renewal fee is payable.

Sec. <u>154.109</u> [<del>52.027</del>]. COMPLAINT. (a) To file a complaint against a certified shorthand reporter or a shorthand reporting firm or affiliate office registered with the <u>commission</u> [<del>board</del>], a person must:

(1) have personal knowledge of the alleged violation;
 (2) complete a complaint form provided by the
 26 <u>commission</u> [board];

27

(3) sign the completed form; and

# C.S.H.B. No. 3790 (4) attach any pertinent documentary evidence to the 2 form.

3 (b) On receipt of a properly executed complaint, the 4 <u>commission</u> [board] shall furnish a copy of the complaint and any 5 attachments to the shorthand reporter or shorthand reporting firm 6 or affiliate office that is the subject of the complaint.

7 (c) This section does not preclude the <u>commission</u> [board] or 8 a court of this state from filing a complaint against a certified 9 shorthand reporter or a shorthand reporting firm. <u>An advisory board</u> 10 <u>member may recommend to the commission a complaint to be filed</u> 11 <u>against a certified shorthand reporter or a shorthand reporting</u> 12 <u>firm or affiliated office registered with the commission.</u>

13 [Sec. 52.0271. COMPLAINT DISMISSAL. (a) The board may 14 adopt a policy allowing board employees to dismiss complaints that: 15 [(1) clearly do not allege misconduct; or

16

[<del>(2) are not within the board's jurisdiction.</del>

17 [(b) Board employees shall inform the board of all 18 dismissals made under this section.

19 [(c) A person who files a complaint that is dismissed under 20 this section may request that the board reconsider the complaint.

21 [Sec. 52.028. NOTICE AND HEARING. (a) If after receiving a 22 verified complaint the board believes that a hearing on the 23 complaint is advisable, the board shall set a date for the hearing 24 not later than the 30th day after the date on which the board 25 received the complaint.

26 [(b) Immediately after setting the date for the hearing, the
27 board shall notify the shorthand reporter or shorthand reporting

1	firm or affiliate office that is the subject of the complaint. The
2	notice must state the cause of any contemplated disciplinary action
3	and the time and place of the hearing. The notice shall be mailed to
4	the registered address of the shorthand reporter or shorthand
5	reporting firm or affiliate office not later than the 30th day
6	before the date on which the hearing is scheduled.
7	[ <del>(c) The chairman or the chairman's designee shall preside</del>
8	at the hearing.
9	[ <del>(d) At the hearing, the board shall apply the general rules</del>
10	of evidence applicable in a district court.
11	[ <del>(e) The board shall rule on requests for continuances with</del>
12	regard to the hearing.
13	[ <del>(f) At the direction of a majority of the board, each board</del>
14	member may administer oaths, subpoena witnesses and compel their
15	attendance, take evidence, and require the production of records
16	relating to a matter within the board's jurisdiction.
17	[ <del>(g) The board shall produce a written summary of the</del>
18	evidence before it and a written finding of facts. The board shall
19	forward a copy of its findings of fact and rulings to the
20	complainant and any aggrieved party.]
21	Sec. <u>154.110</u> [ <del>52.029</del> ]. DISCIPLINARY ACTIONS AGAINST COURT
22	REPORTERS. (a) After receiving a complaint and giving the
23	certified shorthand reporter notice and an opportunity for a
24	hearing as prescribed by <u>Subchapter B, Chapter 153</u> [ <del>Section</del>
25	52.028], the commission [board] shall revoke, suspend, or refuse to
26	renew the shorthand reporter's certification or issue a reprimand
27	to the reporter for:

1 (1)fraud or corruption; dishonesty; 2 (2) 3 (3) wilful or negligent violation or failure of duty; incompetence; 4 (4) 5 (5) fraud misrepresentation or in obtaining certification; 6 7 (6) a final conviction of a felony or misdemeanor that 8 directly relates to the duties and responsibilities of a certified court reporter, as determined by supreme court rules [adopted under 9 Section 52.0211]; 10 (7) engaging in the practice of shorthand reporting 11 using a method for which the reporter is not certified; 12 (8) engaging in the practice of shorthand reporting 13 14 while certification is suspended; 15 (9) unprofessional conduct, including giving directly or indirectly, benefiting from, or being employed as a result of any 16 17 gift, incentive, reward, or anything of value to attorneys, clients, or their representatives or agents, except for nominal 18 items that do not exceed \$100 in the aggregate for each recipient 19 each year; 20 21 (10)entering into or providing services under a prohibited contract described by Section 154.115 [52.034]; 22 committing any other act that violates this 23 (11)24 chapter or a rule or provision of the code of ethics adopted under this subtitle [chapter]; or 25 26 (12) other sufficient cause. 27 The commission [board] may suspend the certification: (b)

C.S.H.B. No. 3790 (1) for a designated period of time not to exceed 12 2 months;

3 (2) until the person corrects the deficiencies that4 were the grounds for the suspension; or

5 (3) until the person complies with any conditions 6 imposed by the <u>commission</u> [board] to ensure the person's future 7 performance as a shorthand reporter.

8 (c) A suspended shorthand reporter may apply for 9 reinstatement by presenting proof that:

(1) the designated time has expired;

10

11

(2) the person has corrected the deficiencies; or

12 (3) the person has complied with the conditions
13 imposed by the <u>commission</u> [board].

(d) On its own motion, the <u>commission</u> [board] may conduct a hearing to inquire into a suspension. If the <u>commission</u> [board] finds that a person has not corrected the deficiencies that were the grounds of the suspension or has not complied with the conditions imposed by the <u>commission</u> [board], the <u>commission</u> [board] may revoke the person's certification.

(e) The supreme court may authorize and the <u>commission</u> [board] may adopt rules relating to the nonrenewal of the certification of a court reporter who is in default on a loan guaranteed under Chapter 57, Education Code, by the Texas Guaranteed Student Loan Corporation.

(f) The <u>commission</u> [board] may place on probation a person whose certification is suspended. If a certification suspension is probated, the <u>commission</u> [board] may require the person to:

1

2

(1) report regularly to the <u>commission</u> [board] on matters that are the basis of the probation;

3 (2) limit practice to the areas prescribed by the 4 commission [board]; or

5 (3) continue or review professional education until
6 the person attains a degree of skill satisfactory to the <u>commission</u>
7 [board] in those areas that are the basis of the probation.

8 Sec. 154.111 [52.0295]. DISCIPLINARY ACTIONS AGAINST After receiving a complaint and giving the shorthand FIRMS. (a) 9 reporting firm or affiliate office notice and an opportunity for a 10 hearing as prescribed by <u>Subchapter B</u>, Chapter 153 [Section 11 12 <del>52.028</del>], the commission [board] shall reprimand, assess а reasonable fine against, or suspend, revoke, or refuse to renew the 13 14 registration of a shorthand reporting firm or affiliate office for:

15

(1) fraud or corruption;

16

(2) dishonesty;

(3) conduct on the part of an officer, director, or managerial employee of the shorthand reporting firm or affiliate office if the officer, director, or managerial employee orders, encourages, or permits conduct that the officer, director, or managerial employee knows or should have known violates this subtitle [chapter];

(4) conduct on the part of an officer, director, or managerial employee or agent of the shorthand reporting firm or affiliate office who has direct supervisory authority over a person for whom the officer, director, employee, or agent knows or should have known violated this <u>subtitle</u> [chapter] and knowingly fails to

1 take reasonable remedial action to avoid or mitigate the 2 consequences of the person's actions;

3 (5) fraud or misrepresentation in obtaining
4 registration;

5 (6) a final conviction of an officer, director, or 6 managerial employee of a shorthand reporting firm or affiliate 7 office for a felony or misdemeanor that is directly related to the 8 provision of court reporting services, as determined by <u>supreme</u> 9 court rules [<u>adopted under Section 52.0211</u>];

10 (7) engaging the services of a reporter that the 11 shorthand reporting firm or affiliate office knew or should have 12 known was using a method for which the reporter is not certified;

(8) knowingly providing court reporting services while the shorthand reporting firm's or affiliate office's registration is suspended or engaging the services of a shorthand reporter whose certification the shorthand reporting firm or affiliate office knew or should have known was suspended;

(9) unprofessional conduct, including a pattern of giving directly or indirectly or benefiting from or being employed as a result of giving any gift, incentive, reward, or anything of value to attorneys, clients, or their representatives or agents, except for nominal items that do not exceed \$100 in the aggregate for each recipient each year;

(10) entering into or providing services under a
 prohibited contract described by Section <u>154.115</u> [<del>52.034</del>];

(11) committing any other act that violates thischapter or a rule or provision of the code of ethics adopted under

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1 this <u>subtitle</u> [chapter]; or
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(12) other sufficient cause.

3 (b) Nothing in Subsection (a)(9) shall be construed to 4 define providing value-added business services, including 5 long-term volume discounts, such as the pricing of products and 6 services, as prohibited gifts, incentives, or rewards.

7 (c) The <u>commission</u> [board] may suspend the registration of a
8 shorthand reporting firm or affiliate office:

9

2

(1) for a designated period of time;

10 (2) until the shorthand reporting firm or affiliate 11 office corrects the deficiencies that were the grounds for the 12 suspension; or

(3) until the shorthand reporting firm or affiliate office complies with any conditions imposed by the <u>commission</u> [board] to ensure the shorthand reporting firm's or affiliate office's future performance.

17 (d) A shorthand reporting firm or affiliate office whose 18 registration is suspended may apply for reinstatement by presenting 19 proof that:

20

the designated time has expired;

(2) the shorthand reporting firm or affiliate officehas corrected the deficiencies; or

(3) the shorthand reporting firm or affiliate office
has complied with the conditions imposed by the <u>commission</u> [board].
(e) On its own motion, the <u>commission</u> [board] may conduct a
hearing to inquire into a suspension. If the <u>commission</u> [board]
finds that a shorthand reporting firm or affiliate office has not

1 corrected the deficiencies that were the grounds for the suspension 2 or has not complied with the conditions imposed by the <u>commission</u> 3 [board], the <u>commission</u> [board] may revoke the registration of the 4 shorthand reporting firm or affiliate office.

5 (f) The <u>commission</u> [board] may place on probation a 6 shorthand reporting firm or affiliate office whose registration is 7 suspended. If a registration suspension is probated, the 8 commission [board] may require the firm or office to:

9 (1) report regularly to the <u>commission</u> [<del>board</del>] on 10 matters that are the basis of the probation;

11 (2) limit practice to the areas prescribed by the 12 <u>commission</u> [board]; or

(3) through its officers, directors, managerial employees, or agents, continue or review professional education until those persons attain a degree of skill satisfactory to the <u>commission</u> [board] in those areas that are the basis of the probation.

[Sec. 52.030. APPEAL OF DISCIPLINARY ACTION. An aggrieved 18 court reporter or shorthand reporting firm or affiliate office may 19 appeal a disciplinary action of the board to a district court in the 20 county of the court reporter's residence or the county in which the 21 shorthand reporting firm or affiliate office is located or in 22 Travis County. The appeal shall be by trial de novo, with or 23 24 without a jury. If the aggrieved person is the official or deputy court reporter of the court in which the appeal will be heard or if 25 the shorthand reporting firm or affiliate office provides the 26 official or deputy court reporter of the court in which the appeal 27

will be heard, the presiding judge of the administrative judicial region shall appoint the judge of another court or a retired judge to hear and determine the complaint.

Sec. <u>154.112</u> [<u>52.031</u>]. EMPLOYMENT OF NONCERTIFIED
SHORTHAND REPORTERS. (a) A noncertified shorthand reporter may be
employed until a certified shorthand reporter is available.

7 (b) A noncertified shorthand reporter may report an oral8 deposition only if:

9 (1) the noncertified shorthand reporter delivers an 10 affidavit to the parties or to their counsel present at the 11 deposition stating that a certified shorthand reporter is not 12 available; or

13 (2) the parties or their counsel stipulate on the 14 record at the beginning of the deposition that a certified 15 shorthand reporter is not available.

16 (c) This section does not apply to a deposition taken 17 outside this state for use in this state.

Sec. <u>154.113</u> [<del>52.032</del>]. CRIMINAL PENALTY. (a) Except as provided by Section <u>154.112</u> [<del>52.031</del>], a person commits an offense if the person engages in shorthand reporting in violation of Section <u>154.101</u> [<del>52.021 of this code</del>]. Each day of violation constitutes a separate offense.

23

(b) An offense under this section is a Class A misdemeanor.

24 [Sec. 52.0321. ADMINISTRATIVE PENALTY. (a) The board may 25 assess an administrative penalty against a person who violates this 26 chapter or a rule or provision of the code of ethics adopted under 27 this chapter.

[(b) In determining the amount of an administrative penalty 1 assessed under this section, the board shall consider: 2 [(1) the seriousness of the violation; 3 [(2) the history of previous violations; 4 [(3) the amount necessary to deter future violations; 5 [(4) efforts made to correct the violation; and 6 7 [(5) any other matters that justice may require.] 8 Sec. 154.114 [52.033]. EXEMPTIONS. This chapter does not apply to: 9 a party to the litigation involved; 10 (1) (2) the attorney of the party; or 11 a full-time employee of a party or a party's 12 (3) 13 attorney. Sec. 154.115 [52.034]. PROHIBITED CONTRACTS. (a) 14 A court reporter may not enter into or provide services under any 15 contractual agreement, written or oral, exclusive or nonexclusive, 16 17 that. 18 (1)undermines the impartiality of the court reporter; requires a court reporter to relinquish control of 19 (2)an original deposition transcript and copies of the transcript 20 before it is certified and delivered to the custodial attorney; 21 22 (3) requires a court reporter to provide any service not made available to all parties to an action; or 23 24 (4) gives or appears to give an exclusive advantage to 25 any party. This section does not apply to a contract for court 26 (b) 27 reporting services for a court, agency, or instrumentality of the

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1 United States or this state.
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2 SECTION 1.05. Chapter 111, Government Code, is transferred 3 to Subtitle K, Title 2, Government Code, as added by this Act, 4 redesignated as Chapter 155, Government Code, and amended to read 5 as follows:

6 CHAPTER <u>155</u> [<del>111</del>]. GUARDIANSHIP CERTIFICATION [<del>BOARD</del>]
 7 SUBCHAPTER A. GENERAL PROVISIONS

Sec. 155.001 [<del>111.001</del>]. DEFINITIONS. In this chapter:

9 (1) <u>"Advisory board"</u> [<del>"Administrative director" means</del> 10 <del>the administrative director of the courts as appointed by Chapter</del> 11 <del>72.</del>

12 [(2) "Board"] means the Guardianship Certification 13 Advisory Board.

14 (2) [(3)] "Corporate fiduciary" has the meaning 15 assigned by Section 601, Texas Probate Code.

16 <u>(3)</u> [(4) "Director" means the administrative officer
17 of the board, as provided by Section 111.021.

18 [(5)] "Guardian" has the meaning assigned by Section
19 601, Texas Probate Code.

20 <u>(4)</u> [<del>(6)</del>] "Guardianship program" means a local, 21 county, or regional program that provides guardianship and related 22 services to an incapacitated person or other person who needs 23 assistance in making decisions concerning the person's own welfare 24 or financial affairs.

25 (5) [(7)] "Incapacitated person" has the meaning
 26 assigned by Section 601, Texas Probate Code.

27

8

(6) [<del>(8) "Office of Court Administration" means the</del>

## 1 Office of Court Administration of the Texas Judicial System.

2 [(9)] "Private professional guardian" means a person, 3 other than an attorney or a corporate fiduciary, who is engaged in 4 the business of providing guardianship services.

5 (7) [(10)] "Ward" has the meaning assigned by Section
6 601, Texas Probate Code.

Sec. <u>155.002</u> [<del>111.002</del>]. RULES. The supreme court may adopt
rules consistent with this chapter, including rules governing the
certification of individuals providing guardianship services.

10 [Sec. 111.003. SUNSET PROVISION. The board is subject to 11 Chapter 325, Government Code (Texas Sunset Act). Unless continued 12 in existence as provided by that chapter, the board is abolished and 13 this chapter expires September 1, 2015.]

SUBCHAPTER B. ADMINISTRATIVE PROVISIONS

14

19

Sec. <u>155.051</u> [<u>111.011</u>]. <u>ADVISORY</u> BOARD. (a) The Guardianship Certification <u>Advisory</u> Board is <u>established as an</u> <u>advisory board to the commission. The advisory board is</u> composed of <u>at least five members appointed by the supreme court</u>[+

[(1) 11 members appointed by the supreme court; and

20 [(2) four public members appointed by the supreme 21 court from a list of nominees submitted by the governor].

(b) [The supreme court shall appoint members under Subsection (a)(1) from the different geographical areas of this state.

25 [(c) In making an appointment under Subsection (a)(2), the 26 supreme court may reject one or more of the nominees on a list 27 submitted by the governor and request a new list of different

1	nominees.
2	[ <del>(d) To be eligible for appointment to the board other than</del>
3	as a public member, an individual must have demonstrated experience
4	working with:
5	[ <del>(1) a guardianship program;</del>
6	[ <del>(2) an organization that advocates on behalf of or in</del>
7	the interest of elderly individuals;
8	[ <del>(3) an organization that advocates on behalf of or in</del>
9	the interest of individuals with mental illness or mental
10	retardation or individuals with physical disabilities; or
11	[(4) incapacitated individuals.
12	[(e) The public members of the board must be:
13	[(1) caretakers of individuals with mental illness or
14	mental retardation or individuals with physical disabilities; or
15	[ <del>(2) persons who advocate on behalf of or in the</del>
16	interest of individuals with mental illness or mental retardation
17	or individuals with physical disabilities.
18	[ <del>(f)</del> ] Appointments to the <u>advisory</u> board shall be made
19	without regard to the race, color, disability, sex, religion, age,
20	or national origin of the appointees.
21	(c) The supreme court shall appoint a presiding officer of
22	the advisory board from among the advisory board members to serve
23	for two years.
24	(d) A majority of the advisory board constitutes a quorum.
25	<u>(e) Advisory board</u> [ <del>(g) The</del> ] members [ <del>of the board</del> ] serve
26	for staggered six-year terms <u>as ordered by the supreme court</u> [ <del>, with</del>
27	the terms of one-third of the members expiring on February 1 of each

C.S.H.B. No. 3790 odd-numbered year]. Advisory board [Board] members serve without 1 compensation but are entitled to reimbursement for travel expenses 2 3 and other actual and necessary expenses incurred in the performance of official advisory board duties, as provided by the General 4 5 Appropriations Act. 6 [(h) The board shall elect from among its members a 7 presiding officer and other officers considered necessary. 8 [(i) The board shall meet at least quarterly at the call of the presiding officer. 9 10 [(j) Any action taken by the board must be approved by a majority vote of the members present. 11 [Sec. 111.012. ADMINISTRATIVE ATTACHMENT. (a) The board is 12 administratively attached to the Office of Court Administration. 13 14 [(b) Notwithstanding any other law, the Office of Court 15 Administration shall: 16 17 materials to the board, including budget planning and purchasing; [(2) accept, deposit, and disburse money made 18 available to the board; 19 [(3) pay the salaries and benefits of the director; 20 21 [(4) reimburse the travel expenses and other actual and necessary expenses of the director incurred in the performance 22 a function of the board, as provided by the General 23 <del>of</del> 24 Appropriations Act; 25 [(5) reimburse the travel expenses and other actual 26 and necessary expenses of board members incurred in the performance of official board duties, as provided by the General Appropriations 27

[(1) provide administrative assistance, services, and

1	Act; and
2	[ <del>(6) provide the board with adequate computer</del>
3	equipment and support.
4	[Sec. 111.013. ELIGIBILITY OF PUBLIC MEMBERS. A person is
5	not eligible for appointment as a public member of the board if the
6	person or the person's spouse:
7	[ <del>(1) is certified by the board;</del>
8	[ <del>(2) is registered, certified, or licensed by a</del>
9	regulatory agency in the field of guardianship;
10	[ <del>(3) is employed by or participates in the management</del>
11	of a business entity or other organization regulated by the board or
12	receiving money from the Office of Court Administration;
13	[ <del>(4) owns or controls, directly or indirectly, more</del>
14	than a 10 percent interest in a business entity or other
15	organization regulated by the board or receiving money from the
16	Office of Court Administration; or
17	[ <del>(5) uses or receives a substantial amount of tangible</del>
18	goods, services, or funds from the Office of Court Administration.
19	[Sec. 111.014. MEMBERSHIP AND EMPLOYEE RESTRICTIONS. (a)
20	In this section, "Texas trade association" means a cooperative and
21	voluntarily joined statewide association of business or
22	professional competitors in this state designed to assist its
23	members and its industry or profession in dealing with mutual
24	business or professional problems and in promoting their common
25	interest.
26	[(b) A person may not be a member of the board or may not be
27	the director in a "bona fide executive, administrative, or

1	professional capacity," as that phrase is used for purposes of
2	establishing an exemption to the overtime provisions of the federal
3	Fair Labor Standards Act of 1938 (29 U.S.C. Section 201 et seq.),
4	if:
5	[ <del>(1) the person is an officer, employee, or paid</del>
6	consultant of a Texas trade association in the field of
7	guardianship; or
8	[ <del>(2) the person's spouse is an officer, manager, or</del>
9	paid consultant of a Texas trade association in the field of
10	guardianship.
11	[ <del>(c) A person may not be a member of the board if the person</del>
12	is required to register as a lobbyist under Chapter 305 because of
13	the person's activities for compensation on behalf of a profession
14	related to the operation of the board.
15	[ <del>Sec. 111.015. GROUNDS FOR REMOVAL FROM BOARD. (a) It is a</del>
16	ground for removal from the board that a member:
17	[ <del>(1) does not have at the time of appointment the</del>
18	qualifications required by Section 111.011;
19	[ <del>(2) does not maintain during service on the board the</del>
20	qualifications required by Section 111.011;
21	[ <del>(3) is ineligible for membership under Section</del>
22	<del>111.013 or 111.014;</del>
23	[ <del>(4) cannot, because of illness or disability,</del>
24	discharge the member's duties for a substantial part of the member's
25	term; or
26	[ <del>(5) is absent from more than half of the regularly</del>
27	scheduled board meetings that the member is eligible to attend

1	during a calendar year without an excuse approved by a majority vote
2	of the board.
3	[(b) The validity of an action of the board is not affected
4	by the fact that it is taken when a ground for removal of a board
5	member exists.
6	[ <del>(c) If the director has knowledge that a potential ground</del>
7	for removal exists, the director shall notify the presiding officer
8	of the board of the potential ground. The presiding officer shall
9	then notify the chief justice of the supreme court that a potential
10	ground for removal exists. If the potential ground for removal
11	involves the presiding officer, the director shall notify the next
12	highest ranking officer of the board, who shall then notify the
13	chief justice of the supreme court that a potential ground for
14	removal exists.
15	[Sec. 111.016. POWERS AND DUTIES OF BOARD. (a) The board
16	is charged with the executive functions necessary to carry out the
17	purposes of this chapter under rules adopted by the supreme court.
18	[ <del>(b) The board shall:</del>
19	[(1) administer and enforce this chapter;
20	[ <del>(2) develop and recommend proposed rules and</del>
21	procedures to the supreme court as necessary to implement this
22	chapter;
23	[ <del>(3) set the amount of each fee prescribed by Section</del>
24	111.042, subject to the approval of the supreme court;
25	[(4) establish the qualifications for obtaining:
26	[ <del>(A) certification or recertification under</del>
27	Section 111.042; and

	C.S.H.B. No. 3790
1	[ <del>(B) provisional certification under Section</del>
2	<del>111.0421;</del>
3	[ <del>(5) issue certificates to:</del>
4	[ <del>(A) individuals who meet the certification</del>
5	requirements of Section 111.042; and
6	[ <del>(B) individuals who meet the provisional</del>
7	certification requirements of Section 111.0421; and
8	[ <del>(6) perform any other duty required by this chapter</del>
9	<del>or other law.</del>
10	[ <del>(c) The board may appoint any necessary or proper</del>
11	subcommittee.
12	[ <del>(d) The board shall maintain:</del>
13	[(1) a complete record of each board proceeding; and
14	[ <del>(2) a complete record of each certification,</del>
15	including a provisional certificate, issued, renewed, suspended,
16	or revoked under this chapter.]
17	Sec. <u>155.052</u> [ <del>111.017</del> ]. TRAINING. (a) A person who is
18	appointed to and qualifies for office as a member of the <u>advisory</u>
19	board may not vote, deliberate, or be counted as a member in
20	attendance at a meeting of the <u>advisory</u> board until the person
21	completes a training program that complies with this section.
22	(b) The training program must provide the person with
23	information regarding:
24	(1) this chapter;
25	(2) the role and functions of the <u>advisory</u> board; <u>and</u>
26	(3) [the current budget for the board;
27	[(4) the results of the most recent formal audit of the

1	board; and
2	[ <del>(5)</del> ] any applicable ethics policies adopted by the
3	<pre>commission [board].</pre>
4	[Sec. 111.018. USE OF TECHNOLOGY. The Office of Court
5	Administration shall research and propose appropriate
6	technological solutions to improve the board's ability to perform
7	its functions. The technological solutions must:
8	[ <del>(1) ensure that the public is able to easily find</del>
9	information about the board on the Internet;
10	[ <del>(2) ensure that persons who want to use the board's</del>
11	services are able to:
12	[ <del>(A) interact with the board through the</del>
13	Internet; and
14	[ <del>(B) access any service that can be provided</del>
15	effectively through the Internet; and
16	[ <del>(3) be cost-effective and developed through the</del>
17	board's planning processes.
18	[Sec. 111.019. ALTERNATIVE DISPUTE RESOLUTION PROCEDURES.
19	(a) The board shall develop and implement a policy to encourage the
20	use of appropriate alternative dispute resolution procedures to
21	assist in the resolution of internal and external disputes under
22	the board's jurisdiction.
23	[ <del>(b) The procedures relating to alternative dispute</del>
24	resolution under this section must conform, to the extent possible,
25	to any model guidelines issued by the State Office of
26	Administrative Hearings for the use of alternative dispute
27	resolution by state agencies.

1	[Sec. 111.020. PUBLIC ACCESS. The board shall develop and
2	implement policies that provide the public with a reasonable
3	opportunity to appear before the board and to speak on any issue
4	under the jurisdiction of the board.
5	[Sec. 111.021. DIRECTOR. (a) The administrative director
6	shall employ a director from a list of candidates submitted by the
7	board. The administrative director may request an additional list
8	of candidates if the administrative director does not select any of
9	the initial candidates recommended by the board.
10	[ <del>(b) The list may contain the hiring preference of the</del>
11	board.
12	[(c) The director is the administrative officer of the board
13	and is charged with carrying out the duties and functions conferred
14	on the director by the board, this subchapter, and other law.
15	[Sec. 111.022. DIVISION OF RESPONSIBILITIES. The board
16	shall develop and implement policies that clearly separate the
17	policy-making responsibilities of the board and the management
18	responsibilities of the director.
19	[Sec. 111.023. QUALIFICATIONS AND STANDARDS OF CONDUCT
20	INFORMATION. The director shall provide to members of the board, as
21	often as necessary, information regarding the requirements for
22	office under this chapter, including information regarding a
23	person's responsibilities under applicable laws relating to
24	standards of conduct for state officers.]
25	SUBCHAPTER C. REGULATION OF CERTAIN GUARDIANS
26	Sec. <u>155.101</u> [ <del>111.041</del> ]. STANDARDS FOR CERTAIN
27	GUARDIANSHIPS AND ALTERNATIVES TO GUARDIANSHIP. (a) The

1 commission [board] shall adopt minimum standards for: 2 (1)the provision of guardianship services or other 3 similar but less restrictive types of assistance or services by: guardianship programs; and 4 (A) 5 private professional guardians; and (B) 6 (2) the provision of guardianship services by the 7 Department of Aging and Disability Services. 8 (b) The commission [board] shall design the standards to protect the interests of an incapacitated person or other person 9 10 needing assistance making decisions concerning the person's own welfare or financial affairs. 11 Sec. 155.102 [111.042]. CERTIFICATION REQUIRED FOR CERTAIN 12 GUARDIANS. (a) To provide guardianship services in this state, the 13 following individuals must hold a certificate issued under this 14 15 section: an individual who is a private professional 16 (1) 17 guardian; (2) an individual who will provide those services to a 18 19 ward of a private professional guardian on the guardian's behalf; 20 and 21 an individual, other than a volunteer, who will (3) provide those services or other services under Section 161.114, 22 23 Human Resources Code, to a ward of a guardianship program or the Department of Aging and Disability Services on the program's or 24 department's behalf. 25 26 (b) An applicant for a certificate under this section must: 27 (1) apply to the commission [board] on а form

1 prescribed by the <u>commission</u> [board]; and

2 (2) submit with the application a nonrefundable
3 application fee in an amount determined by the <u>commission</u> [board],
4 subject to the approval of the supreme court.

5 (c) The supreme court may adopt rules and procedures for 6 issuing a certificate and for renewing, suspending, or revoking a 7 certificate issued under this section. Any rules adopted by the 8 supreme court under this section must:

9 (1) ensure compliance with the standards adopted under 10 Section <u>155.101</u> [<del>111.041</del>];

11 (2) provide that the <u>commission</u> [board] establish 12 qualifications for obtaining and maintaining certification;

13 (3) provide that the <u>commission</u> [<del>board</del>] issue 14 certificates under this section;

15 (4) provide that a certificate expires on the second16 anniversary of the date the certificate is issued;

(5) prescribe procedures for accepting complaints and conducting investigations of alleged violations of the minimum standards adopted under Section <u>155.101</u> [<del>111.041</del>] or other terms of the certification by certificate holders; and

(6) prescribe procedures by which the <u>commission</u>
[board], after notice and hearing, may suspend or revoke the
certificate of a holder who fails to substantially comply with
appropriate standards or other terms of the certification.

25 (d) If the requirements for issuing a certificate under this 26 section include passage of an examination covering guardianship 27 education requirements:

1 (1) the <u>commission</u> [board] shall develop and the 2 director shall administer the examination; or

3 (2) the <u>commission</u> [board] shall direct the director 4 to contract with another person or entity the <u>commission</u> [board] 5 determines has the expertise and resources to develop and 6 administer the examination.

7 (e) In lieu of the certification requirements imposed under 8 this section, the <u>commission</u> [board] may issue a certificate to an 9 individual to engage in business as a guardian or to provide 10 guardianship services in this state if the individual:

11 (1) submits an application to the <u>commission</u> [board]
12 in the form prescribed by the <u>commission</u> [board];

13 (2) pays a fee in a reasonable amount determined by the
 14 <u>commission</u> [board], subject to the approval of the supreme court;

(3) is certified, registered, or licensed as a
guardian by a national organization or association the <u>commission</u>
[board] determines has requirements at least as stringent as those
prescribed by the commission [board] under this subchapter; and

19 (4) is in good standing with the organization or 20 association with whom the person is licensed, certified, or 21 registered.

(f) An employee of the Department of Aging and Disability Services who is applying for a certificate under this section to provide guardianship services to a ward of the department is exempt from payment of an application fee required by this section.

26 (g) An application fee or other fee collected under this 27 section shall be deposited to the credit of the guardianship

1 certification account in the general revenue fund and may be 2 appropriated only to the <u>office</u> [Office of Court Administration] 3 for the administration and enforcement of this chapter.

4 [(h) The Texas Department of Licensing and Regulation shall
5 advise and assist the board as necessary in administering the
6 certification process established under this section.]

Sec. <u>155.103</u> [<u>111.0421</u>]. PROVISIONAL CERTIFICATE. (a)
Notwithstanding Section <u>155.102(a)</u> [<u>111.042(a)</u>], the <u>commission</u>
[<u>board</u>] may issue a provisional certificate to an individual who:

10 (1) does not meet the qualifications for obtaining 11 certification under Section <u>155.102</u> [<del>111.042</del>]; and

12 (2) possesses the qualifications for provisional13 certification required by rules adopted by the supreme court.

(b) An individual who holds a provisional certificate may provide guardianship services in this state only under the supervision of an individual certified under Section <u>155.102</u> [<u>111.042</u>].

18 (c) The supreme court may adopt rules and procedures for 19 issuing a provisional certificate under this section that, at a 20 minimum, must:

(1) ensure compliance with the standards adopted under
 Section <u>155.101</u> [<del>111.041</del>]; and

(2) provide that the <u>commission</u> [board] establishes
 qualifications for obtaining and maintaining the certification.

25 Sec. <u>155.104</u> [<del>111.043</del>]. INFORMATION FROM PRIVATE 26 PROFESSIONAL GUARDIANS. In addition to the information submitted 27 under Section 697(e), Texas Probate Code, the director may require

1 a private professional guardian or a person who represents or plans 2 to represent the interests of a ward as a guardian on behalf of the 3 private professional guardian to submit information considered 4 necessary to monitor the person's compliance with the applicable 5 standards adopted under Section <u>155.101</u> [<del>111.041</del>] or with the 6 certification requirements of Section <u>155.102</u> [<del>111.042</del>].

Sec. <u>155.105</u> [<u>111.044</u>]. ANNUAL DISCLOSURE. (a) Not later 8 than January 31 of each year, each guardianship program shall 9 provide to the <u>commission</u> [<del>board</del>] a report containing for the 10 preceding year:

(1) the number of wards served by the guardianship program reported by county in which the application to create a guardianship for the ward is filed and the total number of wards served by the guardianship program;

15 (2) the name, business address, and business telephone 16 number of each individual employed by or volunteering or 17 contracting with the guardianship program to provide guardianship 18 services to a ward or proposed ward of the program;

19 (3) the name of each county in which an individual 20 described by Subdivision (2) provides or is authorized to provide 21 guardianship services;

(4) the total amount of money received from this statefor the provision of guardianship services; and

(5) the amount of money received from any other public
source, including a county or the federal government, for the
provision of guardianship services, reported by source, and the
total amount of money received from those public sources.

1 (b) Not later than January 31 of each year, each private 2 professional guardian shall provide to the <u>commission</u> [board] a 3 report containing for the preceding year:

4 (1) the number of wards served by the private 5 professional guardian reported by county in which the application 6 to create a guardianship for the ward is filed and the total number 7 of wards served by the private professional guardian;

8 (2) the name, business address, and business telephone 9 number of each individual who provides guardianship services to a 10 ward of the private professional guardian on behalf of the private 11 professional guardian;

12 (3) the total amount of money received from this state13 for the provision of guardianship services; and

14 (4) the amount of money received from any other public 15 source, including a county or the federal government, for the 16 provision of guardianship services, reported by source, and the 17 total amount of money received from those public sources.

18 (c) A private professional guardian shall submit with the 19 report required under Subsection (b) a copy of the guardian's 20 application for a certificate of registration required by Section 21 697(a), Texas Probate Code.

SECTION 1.06. Subtitle K, Title 2, Government Code, as added by this Act, is amended by adding Chapter 156 to read as follows:

25		CHAPTER	156. PROCESS S	SERVER	CERTI	FICATION	
26		SUB	CHAPTER A. GEN	ERAL P	ROVISI	LONS	
27	Sec.	156.001.	DEFINITION.	In	this	chapter,	"advisory

1	board" means the Process Server Certification Advisory Board.
2	SUBCHAPTER B. PROCESS SERVER CERTIFICATION ADVISORY BOARD
3	Sec. 156.051. ORGANIZATION. (a) The Process Server
4	Certification Advisory Board is established as an advisory board to
5	the commission. The advisory board is composed of at least five
6	members appointed by the supreme court.
7	(b) Appointments to the advisory board shall be made without
8	regard to the race, color, disability, sex, religion, age, or
9	national origin of the appointees.
10	(c) The supreme court shall appoint a presiding officer of
11	the advisory board from among the advisory board members to serve
12	for two years.
13	(d) A majority of the advisory board constitutes a quorum.
14	(e) Advisory board members serve staggered six-year terms
15	as ordered by the supreme court.
16	(f) If a vacancy occurs on the advisory board, the supreme
17	court shall appoint a person to serve the remainder of the term.
18	(g) Advisory board members serve without compensation but
19	are entitled to reimbursement for travel expenses and other actual
20	and necessary expenses incurred in the performance of official
21	advisory board duties, as provided by the General Appropriations
22	<u>Act.</u>
23	SECTION 1.07. Section 51.008, Government Code, is
24	transferred to Subchapter B, Chapter 156, Government Code, as added
25	by this Act, redesignated as Section 156.052, Government Code, and
26	amended to read as follows:

27 Sec. <u>156.052</u> [<del>51.008</del>]. FEES FOR PROCESS SERVER

1 CERTIFICATION. (a) The <u>commission</u> [process server review board 2 established by supreme court order] may recommend to the supreme 3 court the fees to be charged for process server certification and 4 renewal of certification. The supreme court must approve the fees 5 recommended by the <u>commission</u> [process server review board] before 6 the fees may be collected.

7 (b) If a certification is issued or renewed for a term that 8 is less than the certification period provided by supreme court 9 rule, the fee for the certification shall be prorated so that the 10 process server pays only that portion of the fee that is allocable 11 to the period during which the certification is valid. On renewal 12 of the certification on the new expiration date, the process server 13 must pay the entire certification renewal fee.

14 (c) The <u>office</u> [Office of Court Administration of the Texas
15 Judicial System] may collect the fees recommended by the <u>commission</u>
16 [process server review board] and approved by the supreme court.
17 Fees collected under this section shall be sent to the comptroller
18 for deposit to the credit of the general revenue fund.

(d) Fees collected under this section may be appropriated to
the <u>office</u> [Office of Court Administration of the Texas Judicial
System] for the support of regulatory programs for process servers,
guardians, and court reporters.

23 SECTION 1.08. Subchapter C, Chapter 57, Government Code, is 24 transferred to Subtitle K, Title 2, Government Code, as added by 25 this Act, redesignated as Chapter 157, Government Code, and amended 26 to read as follows:

<u>CHAPTER 157</u> [ <del>SUBCHAPTER C</del> ]. COURT INTERPRETERS <u>LICENSING</u> [ <del>FOR</del>
INDIVIDUALS WHO DO NOT COMMUNICATE IN ENGLISH]
SUBCHAPTER A. GENERAL PROVISIONS
Sec. <u>157.001</u> [ <del>57.041</del> ]. DEFINITIONS. In this <u>chapter</u>
[ <del>subchapter</del> ]:
(1) <u>"Advisory board"</u> [ <del>"Board"</del> ] means the licensed
court interpreter advisory board.
(2) [ <del>"Commission" means the Texas Commission of</del>
Licensing and Regulation.
[ <del>(4) "Department" means the Texas Department of</del>
Licensing and Regulation.
[ <del>(4-a) "Executive director" means the executive</del>
director of the department.
[ <del>(5)</del> ] "Licensed court interpreter" <u>means an</u>
individual licensed under this chapter by the commission to
interpret court proceedings for an individual who can hear but who
does not comprehend English or communicate in English [has the
meaning assigned by Section 57.001].
SUBCHAPTER B. LICENSED COURT INTERPRETER ADVISORY BOARD
Sec. <u>157.051</u> [ <del>57.042</del> ]. LICENSED COURT INTERPRETER ADVISORY
BOARD. (a) The licensed court interpreter advisory board is
established as an advisory board to the commission. The advisory
board is composed of <u>at least five</u> [nine] members appointed by the
supreme court [presiding officer of the commission, with the
commission's approval]. Members of the advisory board serve
commission of approval, nonwere of one <u>advisery</u> sourd beive
staggered six-year terms as ordered by the supreme court[, with the

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1	odd=numbered year].
2	(b) [The advisory board is composed of:
3	[ <del>(1) an active district, county, or statutory county</del>
4	court judge who has been a judge for at least the three years
5	preceding the date of appointment;
6	[ <del>(2) an active court administrator who has been a</del>
7	court administrator for at least the three years preceding the date
8	of appointment;
9	[ <del>(3) an active attorney who has been a practicing</del>
10	member of the state bar for at least the three years preceding the
11	date of appointment;
12	[(4) three active licensed court interpreters; and
13	[ <del>(5) three public members who are residents of this</del>
14	state.
15	[ <del>(c)</del> ] The <u>supreme court</u> [ <del>presiding officer of the</del>
16	commission, with the commission's approval, ] shall select from the
17	<u>advisory</u> board members a presiding officer of the <u>advisory</u> board to
18	serve for two years.
19	<u>(c)</u> [ <del>(d)</del> ] Members shall be appointed without regard to
20	race, <u>color, disability,</u> sex, religion, <u>age,</u> or <u>national</u> [ <del>ethnic</del> ]
21	origin. The membership of the <u>advisory</u> board must reflect the
22	geographical and cultural diversity of the state.
23	(d) [ <del>(e)</del> ] The <u>supreme court</u> [ <del>presiding officer of the</del>
24	commission, with the commission's approval,] may remove a member of
25	the <u>advisory</u> board for inefficiency or neglect of duty in office[ $ extsf{-}$
26	If a vacancy occurs on the board, the presiding officer of the
27	commission, with the commission's approval, shall appoint a member

1 who represents the same interests as the former member to serve the 2 unexpired term].

3 (e) [(f) The board shall meet at least twice a year at the
4 call of the presiding officer at a place designated by the presiding
5 officer.] A majority of the <u>advisory</u> board constitutes a quorum.

<u>(f)</u> [<del>(g)</del>] The <u>advisory</u> board shall advise the commission
regarding the adoption of rules and the design of a licensing
examination.

(g) An advisory [(h) A] board member is entitled to 9 reimbursement for travel expenses and other actual and necessary 10 expenses incurred in attending meetings of the advisory board in 11 the amount of the per diem set by the General Appropriations Act. A 12 member may not receive compensation for the member's services as an 13 14 advisory [<del>a</del>] board member. [Service on the board by a member 15 appointed under Subsection (b)(1) is an additional duty required by the member's other official capacity, and that service on the board 16 is not a dual office holding. 17

18

# SUBCHAPTER C. LICENSE ISSUANCE

Sec. <u>157.101</u> [<del>57.043</del>]. ISSUANCE OF LICENSE; TERM. (a) The [executive] director shall issue a court interpreter license to an applicant who:

(1) can interpret for an individual who can hear butwho does not comprehend English or communicate in English;

(2) passes the appropriate examination prescribed by
the <u>commission</u> [executive director] not earlier than two years
before the date the [executive] director receives the applicant's
application for a license; and

1 (3) possesses the other qualifications for the license 2 required by this <u>chapter</u> [subchapter] or by rules adopted under 3 this chapter [subchapter].

(b) The commission shall adopt rules relating to licensing
under this <u>chapter</u>. The rules must be approved by the supreme
<u>court</u>. The [subchapter and the executive] director shall prescribe
all forms required under this <u>chapter</u> [subchapter].

8 (c) A license issued under this <u>chapter</u> [subchapter] is
9 valid for one year from the date of issuance.

(d) A license issued under this <u>chapter</u> [subchapter] must
 include at least one of the following designations:

(1) a basic designation that permits the interpreter to interpret court proceedings in justice courts and municipal courts that are not municipal courts of record, other than a proceeding before the court in which the judge is acting as a magistrate; or

17 (2) a master designation that permits the interpreter 18 to interpret court proceedings in all courts in this state, 19 including justice courts and municipal courts described by 20 Subdivision (1).

(e) In adopting rules relating to licensing under this subchapter, the commission shall, after consulting with the <u>advisory</u> board, prescribe the minimum score an individual must achieve on an examination to receive a license that includes a basic designation under Subsection (d) and the minimum score an individual must achieve to receive a license that includes a master designation under that subsection.

Sec. <u>157.102</u> [<del>57.044</del>]. COURT INTERPRETER LICENSE. To qualify for a court interpreter license under this <u>chapter</u> [<del>subchapter</del>], an individual must apply on a form prescribed by the <u>commission</u> [<del>executive director</del>] and demonstrate, in the manner required by the [<del>executive</del>] director, reasonable proficiency in interpreting English and court proceedings for individuals who can hear but who do not comprehend English or communicate in English.

8 [Sec. 57.045. FEES. The commission by rule shall set 9 license and examination fees under this subchapter.]

10 Sec. <u>157.103</u> [57.046]. EXAMINATIONS. (a) The [executive] director shall prepare examinations under this 11 chapter [subchapter] that test an applicant's knowledge, skill, and 12 efficiency in interpreting under this chapter [subchapter]. 13 The 14 same examinations must be used for issuing a license that includes a 15 basic designation or master designation as described by Section 157.101(d) [<del>57.043(d)</del>]. 16

(b) An individual who fails an examination may apply for reexamination at a scheduled examination held at least six months after the date the individual failed the original examination.

(c) Examinations shall be offered in the state at least
twice a year at times and places designated by the [executive]
director.

23 Sec. <u>157.104</u> [<del>57.047</del>]. <u>COMMISSION</u> [<del>DEPARTMENT</del>] DUTIES; 24 INSPECTIONS. (a) The <u>commission</u> [<del>executive director</del>] shall 25 enforce this <u>chapter</u> [<del>subchapter</del>].

26 (b) The <u>commission</u> [department] shall investigate
27 allegations of violations of this <u>chapter</u> [subchapter].

1 Sec. <u>157.105</u> [<del>57.048</del>]. SUSPENSION AND REVOCATION OF 2 LICENSES; REISSUANCE. (a) After a hearing, the commission shall 3 suspend or revoke a court interpreter license on a finding that the 4 individual:

5 (1) made a material misstatement in an application for6 a license;

7 (2) disregarded or violated this <u>chapter</u> [subchapter]
8 or a rule adopted under this <u>chapter</u> [subchapter]; or

9 (3) engaged in dishonorable or unethical conduct 10 likely to deceive, defraud, or harm the public or a person for whom 11 the interpreter interprets.

12 (b) The <u>commission</u> [<del>executive director</del>] may reissue a 13 license to an individual whose license has been revoked if the 14 individual applies in writing to the department and shows good 15 cause to justify reissuance of the license.

16 Sec. <u>157.106</u> [<del>57.049</del>]. PROHIBITED ACTS. A person may not 17 advertise, represent to be, or act as a licensed court interpreter 18 unless the person holds an appropriate license under this <u>chapter</u> 19 [<del>subchapter</del>].

20 Sec. <u>157.107</u> [<del>57.050</del>]. OFFENSE; ADMINISTRATIVE PENALTY. 21 (a) A person commits an offense if the person violates this <u>chapter</u> 22 [<del>subchapter</del>] or a rule adopted under this <u>chapter</u> [<del>subchapter</del>]. An 23 offense under this subsection is a Class A misdemeanor.

(b) A person who violates this <u>chapter</u> [subchapter] or a
rule adopted under this <u>chapter</u> [subchapter] is subject to an
administrative penalty assessed by the commission as provided by
Chapter 153 [Subchapter F, Chapter 51, Occupations Code].

[Sec. 57.051. SUNSET. The licensed court interpreter 1 advisory board is subject to Chapter 325, Government Code (Texas 2 Sunset Act). Unless continued in existence as provided by that 3 chapter, the board is abolished and this subchapter expires 4 September 1, 2017.] 5 6 ARTICLE 2. CONFORMING CHANGES 7 SECTION 2.01. Article 38.30(a-1), Code of Criminal 8 Procedure, is amended to read as follows: 9 (a-1) A qualified telephone interpreter may be sworn to interpret for the person in the trial of a Class C misdemeanor or a 10 proceeding before a magistrate if an interpreter is not available 11 to appear in person before the court or if the only available 12 interpreter is not considered to possess adequate interpreting 13 14 skills for the particular situation or is unfamiliar with the use of slang. In this subsection, "qualified telephone interpreter" means 15 a telephone service that employs: 16 17 (1) licensed court interpreters as defined by Section 157.001 [57.001], Government Code; or 18 (2) federally certified court interpreters. 19

20 SECTION 2.02. Section 61.0513, Education Code, is amended 21 to read as follows:

22 Sec. 61.0513. COURT REPORTER PROGRAMS. The board may not 23 certify a court reporter program under Section 61.051(f) [<del>of this</del> 24 <del>code</del>] unless the program has received approval from the <u>Judicial</u> 25 <u>Branch</u> [<del>Court Reporters</del>] Certification <u>Commission</u> [<del>Board</del>].

26 SECTION 2.03. Section 132.055(b)(1), Education Code, is 27 amended to read as follows:

1 (1)The programs, curriculum, and instruction are of such quality, content, and length as may reasonably and adequately 2 3 achieve the stated objective for which the programs, curriculum, or instruction is offered. Before a career school or college conducts 4 a program of instruction in court reporting, the school or college 5 must produce evidence that the school or college has obtained 6 approval for the curriculum from the Judicial Branch [Court 7 8 Reporters] Certification Commission [Board].

9 SECTION 2.04. Section 1002.014, Estates Code, as effective
10 January 1, 2014, is amended to read as follows:

Sec. 1002.014. GUARDIANSHIP CERTIFICATION <u>PROGRAM OF THE</u> JUDICIAL BRANCH CERTIFICATION COMMISSION [BOARD]. "Guardianship certification program of the Judicial Branch Certification <u>Commission"</u> ["Guardianship Certification Board"] means the program [Guardianship Certification Board] established under Chapter <u>155</u> [<u>111</u>], Government Code.

SECTION 2.05. Section 1002.016, Estates Code, as effectiveJanuary 1, 2014, is amended to read as follows:

Sec. 1002.016. GUARDIANSHIP PROGRAM. "Guardianship program" has the meaning assigned by Section <u>155.001</u> [<del>111.001</del>], Government Code.

22 SECTION 2.06. Section 1002.025, Estates Code, as effective 23 January 1, 2014, is amended to read as follows:

24 Sec. 1002.025. PRIVATE PROFESSIONAL GUARDIAN. "Private 25 professional guardian" has the meaning assigned by Section <u>155.001</u> 26 [<del>111.001</del>], Government Code.

27 SECTION 2.07. Section 1101.001(b), Estates Code, as

1 effective January 1, 2014, is amended to read as follows:

2 (b) The application must be sworn to by the applicant and 3 state:

4 (1) the proposed ward's name, sex, date of birth, and 5 address;

6 (2) the name, relationship, and address of the person 7 the applicant seeks to have appointed as guardian;

8 (3) whether guardianship of the person or estate, or9 both, is sought;

10 (4) the nature and degree of the alleged incapacity, 11 the specific areas of protection and assistance requested, and the 12 limitation or termination of rights requested to be included in the 13 court's order of appointment, including a termination of:

14 (A) the right of a proposed ward who is 18 years15 of age or older to vote in a public election; and

16 (B) the proposed ward's eligibility to hold or 17 obtain a license to operate a motor vehicle under Chapter 521, 18 Transportation Code;

19 (5) the facts requiring the appointment of a guardian;
20 (6) the interest of the applicant in the appointment
21 of a guardian;

(7) the nature and description of any kind of
 guardianship existing for the proposed ward in any other state;

(8) the name and address of any person or institution
having the care and custody of the proposed ward;

26 (9) the approximate value and description of the27 proposed ward's property, including any compensation, pension,

1 insurance, or allowance to which the proposed ward may be entitled; (10) the name and address of any person whom the 2 3 applicant knows to hold a power of attorney signed by the proposed ward and a description of the type of power of attorney; 4 5 (11) for a proposed ward who is a minor, the following information if known by the applicant: 6 7 the name of each of the proposed ward's (A) 8 parents and either the parent's address or that the parent is deceased; 9 10 (B) the name and age of each of the proposed ward's siblings, if any, and either the sibling's address or that 11 12 the sibling is deceased; and if each of the proposed ward's parents and 13 (C) 14 siblings are deceased, the names and addresses of the proposed 15 ward's next of kin who are adults; (12) for a proposed ward who is a minor, whether the 16 17 minor was the subject of a legal or conservatorship proceeding in the preceding two years and, if so: 18 19 (A) the court involved; 20 (B) the nature of the proceeding; and 21 any final disposition of the proceeding; (C) for a proposed ward who is an adult, the following 22 (13) information if known by the applicant: 23 24 (A) the name of the proposed ward's spouse, if any, and either the spouse's address or that the spouse is deceased; 25 26 (B) the name of each of the proposed ward's parents and either the parent's address or that the parent is 27

1 deceased; 2 (C) the name and age of each of the proposed 3 ward's siblings, if any, and either the sibling's address or that the sibling is deceased; 4 5 (D) the name and age of each of the proposed ward's children, if any, and either the child's address or that the 6 child is deceased; and 7 8 (E) if there is no living spouse, parent, adult sibling, or adult child of the proposed ward, the names and 9 10 addresses of the proposed ward's next of kin who are adults; facts showing that the court has venue of the 11 (14)12 proceeding; and if applicable, that the person whom the applicant 13 (15)14 seeks to have appointed as a guardian is a private professional 15 guardian who is certified under Subchapter C, Chapter 155 [111], Government Code, and has complied with the requirements of 16 17 Subchapter G, Chapter 1104. SECTION 2.08. Section 1104.251(a), Estates 18 Code, as 19 effective January 1, 2014, is amended to read as follows: An individual must be certified under Subchapter C, 20 (a) Chapter 155 [111], Government Code, if the individual: 21 is a private professional guardian; 22 (1)23 (2) will represent the interests of a ward as a 24 guardian on behalf of a private professional guardian; 25 is providing guardianship services to a ward of a (3) 26 guardianship program on the program's behalf, except as provided by Section 1104.254; or 27

(4) is an employee of the Department of Aging and
 Disability Services providing guardianship services to a ward of
 the department.

4 SECTION 2.09. Section 1104.252, Estates Code, as effective 5 January 1, 2014, is amended to read as follows:

6 Sec. 1104.252. EFFECT OF PROVISIONAL CERTIFICATE. For 7 purposes of this subchapter, a person who holds a provisional 8 certificate issued under Section <u>155.103</u> [<del>111.0421</del>], Government 9 Code, is considered to be certified.

SECTION 2.10. Section 1104.253, Estates Code, as effective January 1, 2014, is amended to read as follows:

Sec. 1104.253. EXCEPTION FOR FAMILY MEMBERS AND FRIENDS. A family member or friend of an incapacitated person is not required to be certified under Subchapter C, Chapter <u>155</u> [<del>111</del>], Government Code, or any other law to serve as the person's guardian.

SECTION 2.11. Section 1104.255, Estates Code, as effective January 1, 2014, is amended to read as follows:

18 Sec. 1104.255. EXPIRATION OF CERTIFICATION. A person whose 19 certification under Subchapter C, Chapter <u>155</u> [<del>111</del>], Government 20 Code, has expired must obtain a new certification under that 21 subchapter to provide or continue providing guardianship services 22 to a ward or incapacitated person under this title.

SECTION 2.12. Section 1104.256, Estates Code, as effective
 January 1, 2014, is amended to read as follows:

25 Sec. 1104.256. FAILURE TO COMPLY; COURT'S DUTY TO NOTIFY. 26 The court shall notify the <u>guardianship certification program of</u> 27 <u>the Judicial Branch Certification Commission</u> [<del>Guardianship</del>

1 Certification Board] if the court becomes aware of a person who is 2 not complying with:

3 (1) the terms of a certification issued under
4 Subchapter C, Chapter <u>155</u> [<del>111</del>], Government Code; or

5 (2) the standards and rules adopted under that 6 subchapter.

7 SECTION 2.13. Section 1104.257, Estates Code, as effective 8 January 1, 2014, is amended to read as follows:

9 Sec. 1104.257. INFORMATION REGARDING SERVICES PROVIDED BY 10 GUARDIANSHIP PROGRAM. Not later than January 31 of each year, each 11 guardianship program operating in a county shall submit to the 12 county clerk a copy of the report submitted to the <u>guardianship</u> 13 <u>certification program of the Judicial Branch Certification</u> 14 <u>Commission</u> [Guardianship Certification Board] under Section 15 <u>155.105</u> [<del>111.044</del>], Government Code.

SECTION 2.14. Section 1104.258, Estates Code, as effective January 1, 2014, is amended to read as follows:

Sec. 1104.258. INFORMATION REGARDING CERTAIN STATE 18 EMPLOYEES PROVIDING GUARDIANSHIP SERVICES. Not later than January 19 31 of each year, the Department of Aging and Disability Services 20 21 shall submit to the guardianship certification program of the Judicial Branch Certification Commission 22 [Guardianship Certification Board] a statement containing: 23

(1) the name, address, and telephone number of each
department employee who is or will be providing guardianship
services to a ward or proposed ward on the department's behalf; and
(2) the name of each county in which each employee

named in Subdivision (1) is providing or is authorized to provide
 those services.

3 SECTION 2.15. Section 1104.303(a), Estates Code, as 4 effective January 1, 2014, is amended to read as follows:

5 (a) An application for a certificate of registration must 6 include a sworn statement containing the following information 7 concerning a private professional guardian or each person who 8 represents or plans to represent the interests of a ward as a 9 guardian on behalf of the private professional guardian:

10

place of residence;

11 (2) business address and business telephone number;

12 (3) educational background and professional13 experience;

14

(4) three or more professional references;

(5) the name of each ward <u>for whom</u> the private
professional guardian or person is or will be serving as a guardian;

17 (6) the aggregate fair market value of the property of 18 all wards that is or will be managed by the private professional 19 guardian or person;

(7) whether the private professional guardian or
person has ever been removed as a guardian by the court or resigned
as a guardian in a particular case, and, if so:

(A) a description of the circumstances causingthe removal or resignation; and

(B) the style of the suit, the docket number, and
the court having jurisdiction over the proceeding; and

27 (8) the certification number or provisional

certification number issued to the private professional guardian or
 person by the <u>guardianship certification program of the Judicial</u>
 <u>Branch Certification Commission</u> [Guardianship Certification
 <u>Board</u>].

5 SECTION 2.16. Section 1104.306, Estates Code, as effective
6 January 1, 2014, is amended to read as follows:

7 Sec. 1104.306. USE OF NAMES AND BUSINESS ADDRESSES. Not 8 later than January 31 of each year, the clerk shall submit to the 9 <u>guardianship certification program of the Judicial Branch</u> 10 <u>Certification Commission</u> [Guardianship Certification Board] the 11 name and business address of each private professional guardian who 12 has satisfied the registration requirements of this subchapter 13 during the preceding year.

SECTION 2.17. Section 1104.404(a), Estates Code, as effective January 1, 2014, is amended to read as follows:

The clerk described by Section 1104.402 is not required 16 (a) 17 to obtain criminal history record information for a person who holds a certificate issued under Section 155.102 [111.042], 18 19 Government Code, or a provisional certificate issued under Section [111.0421], Government Code, if the 20 155.103 guardianship certification program of the Judicial Branch Certification 21 Commission [Guardianship Certification Board] conducted a criminal 22 23 history check on the person before issuing or renewing the 24 certificate.

25 SECTION 2.18. Section 1104.407, Estates Code, as effective 26 January 1, 2014, is amended to read as follows:

27 Sec. 1104.407. DUTY TO PROVIDE INFORMATION ON REQUEST. The

1 department shall provide the information obtained under Section
2 1104.406(a) [1102.406(a)] to:

3 (1) the clerk of the county having venue of the4 guardianship proceeding at the court's request; and

5 (2) the <u>guardianship certification program of the</u> 6 <u>Judicial Branch Certification Commission</u> [<del>Guardianship</del> 7 <del>Certification Board</del>] at the <u>commission's</u> [<del>board's</del>] request.

8 SECTION 2.19. Section 1104.408, Estates Code, as effective 9 January 1, 2014, is amended to read as follows:

Sec. 1104.408. INFORMATION FOR EXCLUSIVE USE OF COURT OR 10 CERTIFICATION 11 GUARDIANSHIP PROGRAM OF JUDICIAL BRANCH 12 CERTIFICATION COMMISSION [BOARD]. (a) Criminal history record information obtained under Section 1104.407 is privileged and 13 14 confidential and is for the exclusive use of the court or 15 guardianship certification program of the Judicial Branch Certification Commission [Guardianship Certification Board], as 16 appropriate. The information may not be released or otherwise 17 disclosed to any person or agency except: 18

19

(1) on court order;

20 (2) with the consent of the person being investigated;21 or

(3) as authorized by Section 1104.404 of this code or
Section 411.1386(a-6), Government Code.

(b) The county clerk or <u>guardianship certification program</u>
 of the Judicial Branch Certification Commission [Guardianship
 Certification Board] may destroy the criminal history record
 information after the information is used for the purposes

1 authorized by this subchapter.

2 SECTION 2.20. Section 1104.410, Estates Code, as effective 3 January 1, 2014, is amended to read as follows:

4 Sec. 1104.410. USE OF INFORMATION ΒY GUARDIANSHIP 5 CERTIFICATION PROGRAM OF JUDICIAL BRANCH CERTIFICATION COMMISSION [<del>BOARD</del>]. Criminal history record information obtained by the 6 guardianship certification program of the Judicial Branch 7 Certification Commission [Guardianship Certification Board] under 8 Section 1104.407(2) may be used for any purpose related to the 9 issuance, denial, renewal, suspension, or revocation of a 10 certificate issued by the <u>commission</u> [board]. 11

SECTION 2.21. Section 12 1203.052(b), Estates Code, as effective January 1, 2014, is amended to read as follows: 13

14 (b) In addition to the authority granted to the court under 15 Subsection (a), the court may, on the complaint of the guardianship certification program of the Judicial Branch Certification 16 Commission [Guardianship Certification Board], remove a guardian 17 who would be ineligible for appointment under Subchapter H, Chapter 18 1104, because of the guardian's failure to maintain 19 the certification required under Subchapter F, Chapter 1104. 20 The guardian shall be cited to appear and contest the request for 21 removal under this subsection in the manner provided by Subsection 22 23 (a).

1251.003(b), Estates Code, 24 SECTION 2.22. Section as effective January 1, 2014, is amended to read as follows: 25

26 (b) The application must state:

27

(1) the name and address of the person who is the

1 subject of the guardianship proceeding;

2 (2) the danger to the person or property alleged to be3 imminent;

4 (3) the type of appointment and the particular 5 protection and assistance being requested;

6 (4) the facts and reasons supporting the allegations 7 and requests;

8 (5) the proposed temporary guardian's name, address,9 and qualification;

10 (6) the applicant's name, address, and interest; and 11 (7) if applicable, that the proposed temporary 12 guardian is a private professional guardian who is certified under 13 Subchapter C, Chapter <u>155</u> [<del>111</del>], Government Code, and has complied 14 with the requirements of Subchapter G, Chapter 1104.

15 SECTION 2.23. Section 411.081(i), Government Code, is 16 amended to read as follows:

(i) A criminal justice agency may disclose criminal history record information that is the subject of an order of nondisclosure under Subsection (d) to the following noncriminal justice agencies or entities only:

21

(1) the State Board for Educator Certification;

(2) a school district, charter school, private school,
 regional education service center, commercial transportation
 company, or education shared service arrangement;

25 (3) the Texas Medical Board;

26 (4) the Texas School for the Blind and Visually27 Impaired;

C.S.H.B. No. 3790 1 (5) the Board of Law Examiners; 2 (6) the State Bar of Texas; 3 (7) a district court regarding a petition for name change under Subchapter B, Chapter 45, Family Code; 4 5 (8) the Texas School for the Deaf; (9) the Department of Family and Protective Services; 6 7 the Texas Juvenile Justice Department [Youth (10)8 Commission]; 9 (11)the Department of Assistive and Rehabilitative 10 Services; the Department of State Health Services, a local 11 (12)12 mental health service, a local mental retardation authority, or a community center providing services to persons with mental illness 13 14 or retardation; 15 (13)the Texas Private Security Board; 16 a municipal or volunteer fire department; (14)17 (15) the Texas Board of Nursing; a safe house providing shelter to children in 18 (16)19 harmful situations; 20 (17)a public or nonprofit hospital or hospital 21 district; 22 (18) [the Texas Juvenile Probation Commission; 23 [(19)] the securities commissioner, the banking 24 commissioner, the savings and mortgage lending commissioner, the consumer credit commissioner, or the credit union commissioner; 25 26 (19) [<del>(20)</del>] the Texas State Board of Public 27 Accountancy;

C.S.H.B. No. 3790 1 (20) [(21)] the Texas Department of Licensing and 2 Regulation; (21) [(22)] the Health and Human Services Commission; 3 4 (22) [(23)] the Department of Aging and Disability 5 Services; 6 (23) [(24)] the Texas Education Agency; 7 (24) the Judicial Branch Certification Commission [(25) the Guardianship Certification Board]; 8 9 (25) [<del>(26)</del>] a county clerk's office in relation to a 10 proceeding for the appointment of a guardian under Chapter XIII, Texas Probate Code; 11 (26) [<del>(27)</del>] the Department of Information Resources 12 only regarding an employee, applicant for employment, 13 but 14 contractor, subcontractor, intern, or volunteer who provides 15 network security services under Chapter 2059 to: 16 (A) the Department of Information Resources; or 17 (B) а contractor or subcontractor of the Department of Information Resources; 18 (27) [(28) the Court Reporters Certification Board; 19 [(29)] the Texas Department of Insurance; and 20 21 (28) [(30)] the Teacher Retirement System of Texas. SECTION 2.24. Sections 411.1386(a-4), (a-6), (d), and (f), 2.2 Government Code, are amended to read as follows: 23 24 (a-4) The Department of Aging and Disability Services shall 25 provide the information obtained under Subsection (a-1) to: 26 (1) the clerk of the county having venue over the 27 guardianship proceeding at the request of the court; and

1 (2) the <u>guardianship certification program of the</u> 2 <u>Judicial Branch Certification Commission</u> [<del>Guardianship</del> 3 <del>Certification Board</del>] at the request of the commission [board].

4 (a-6) The clerk described by Subsection (a) is not required 5 to obtain criminal history record information for a person who holds a certificate issued under Section <u>155.102</u> [111.042] or a 6 provisional certificate issued under Section 155.103 [111.0421] if 7 the guardianship certification program of the Judicial Branch 8 [Guardianship Certification Board] Certification Commission 9 10 conducted a criminal history check on the person before issuing or renewing the certificate. The <u>commission</u> [board] shall provide to 11 12 the clerk at the court's request the criminal history record information that was obtained from the department or the Federal 13 14 Bureau of Investigation.

15 (d) The criminal history record information obtained under 16 Subsection (a-4) is for the exclusive use of the court or 17 guardianship certification program of the Judicial Branch <u>Certification Commission</u> [Guardianship Certification Board], 18 as appropriate, and is privileged and confidential. The information 19 may not be released or otherwise disclosed to any person or agency 20 except on court order, with the consent of the person being 21 investigated, or as authorized by Subsection (a-6) or Section 22 698(a-6), Texas Probate Code. The county clerk or guardianship 23 24 certification program of the Judicial Branch Certification Commission [Guardianship Certification Board] may destroy the 25 26 criminal history record information after the information is used 27 for the purposes authorized by this section.

(f) Criminal history record information obtained by the
 <u>guardianship certification program of the Judicial Branch</u>
 <u>Certification Commission</u> [Guardianship Certification Board] under
 Subsection (a-4)(2) may be used for any purpose related to the
 issuance, denial, renewal, suspension, or revocation of a
 certificate issued by the commission [board].

7 SECTION 2.25. Section 411.1408, Government Code, is amended 8 to read as follows:

Sec. 411.1408. ACCESS CRIMINAL 9 TO HISTORY RECORD 10 INFORMATION: JUDICIAL BRANCH CERTIFICATION COMMISSION [CUARDIANSHIP CERTIFICATION BOARD]. (a) In this section, 11 "commission" ["board"] means the Judicial Branch Certification 12 Commission [Guardianship Certification Board] established under 13 14 Chapter 152 [111].

(b) The <u>commission</u> [board] is entitled to obtain from the department criminal history record information maintained by the department that relates to a person who is an applicant for or the holder of a certificate, registration, or license issued by the <u>commission or otherwise under Subtitle K, Title 2</u> [board].

20 (c) Criminal history record information obtained by the
 21 <u>commission</u> [board] under Subsection (b):

(1) may be used by the <u>commission</u> [board] for any purpose related to the issuance, denial, suspension, revocation, or renewal of a certificate, registration, or license issued by the <u>commission or otherwise under Subtitle K, Title 2</u> [board];

26 (2) may not be released or disclosed to any person27 except:

1 (A) on court order; 2 (B) with the consent of the person who is the subject of the information; or 3 4 (C) as authorized by Section 411.1386(a-6) of 5 this code or Section 698(a-6), Texas Probate Code, if applicable; 6 and 7 (3) shall be destroyed by the commission [board] after 8 the information is used for the authorized purposes. SECTION 2.26. Section 2054.352(a), Government Code, 9 is amended to read as follows: 10 (a) The following licensing entities shall participate in 11 the system established under Section 2054.353: 12 Texas Board of Chiropractic Examiners; 13 (1) 14 (2) Judicial Branch [Court Reporters] Certification 15 Commission [Board]; 16 (3) State Board of Dental Examiners; 17 (4) Texas Funeral Service Commission; (5) Texas Board of Professional Land Surveying; 18 (6) Texas Medical Board; 19 (7) Texas Board of Nursing; 20 21 Texas Optometry Board; (8) Department of Agriculture, for licenses issued 2.2 (9) 23 under Chapter 1951, Occupations Code; 24 (10) Texas State Board of Pharmacy; 25 (11) Executive Council of Physical Therapy and 26 Occupational Therapy Examiners; Texas State Board of Plumbing Examiners; 27 (12)

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C.S.H.B. No. 3790 1 (13)Texas State Board of Podiatric Medical Examiners; Texas State Board of Examiners of Psychologists; (14)2 3 (15)State Board of Veterinary Medical Examiners; Texas Real Estate Commission; 4 (16)5 (17)Texas Appraiser Licensing and Certification Board; 6 7 Texas Department of Licensing and Regulation; (18) 8 (19) Texas State Board of Public Accountancy; (20) State Board for Educator Certification; 9 10 (21)Texas Board of Professional Engineers; Department of State Health Services; 11 (22) Texas Board of Architectural Examiners; 12 (23) Texas Racing Commission; 13 (24) 14 (25) Commission on Law Enforcement Officer Standards 15 and Education; and (26) Texas Private Security Board. 16 17 SECTION 2.27. Section 161.114(c), Human Resources Code, is amended to read as follows: 18 A volunteer may provide life enrichment activities, 19 (C) companionship, transportation services, and other services to or 20 for the ward in a guardianship, except the volunteer may not provide 21 services that would require the volunteer to be certified under 22 23 Section <u>155.102</u> [<u>111.042</u>], Government Code. 24 SECTION 2.28. Section 151.353(a), Tax Code, is amended to read as follows: 25 26 (a) Court reporting services relating to the preparation of 27 a document or other record in a civil or criminal suit by a notary

C.S.H.B. No. 3790 1 public or a court reporter licensed by the Judicial Branch [State of Texas Court Reporters] Certification Commission 2 [<del>Board</del>] are 3 exempted from the taxes imposed by this chapter if the document is: 4 (1) prepared for the use of a person participating in a 5 suit or the court in which a suit or administrative proceeding is brought; and 6 7 sold to a person participating in the suit. (2) 8 ARTICLE 3. REPEALER, TRANSITION, AND EFFECTIVE DATE The following provisions of the Government 9 SECTION 3.01. 10 Code are repealed: Sections 52.001(a)(1), (2), (2-a), and (7); 11 (1) 12 (2) Sections 52.002, 52.003, 52.0112, 52.012, 52.0121, 52.013, 52.0131, 52.014, 52.015, 52.016, 52.0165, 52.017, 13 14 52.0175, 52.018, and 52.0185; 15 (3) the heading to Subchapter B, Chapter 52; 16 (4) Section 57.001(5); 17 (5) Section 72.013; Subchapter F, Chapter 72; and 18 (6) Section 411.1403. 19 (7) SECTION 3.02. (a) In appointing the initial members of the 20 Judicial Branch Certification Commission created under Chapter 21 152, Government Code, as added by this Act, the Supreme Court of 22 23 Texas shall appoint three members to terms expiring February 1, 24 2017, three members to terms expiring February 1, 2019, and three members to terms expiring February 1, 2021. 25 26 (b) The Supreme Court of Texas shall adopt rules,

26 (b) The Supreme Court of Texas shall adopt rules, 27 procedures, and forms the supreme court determines are necessary to

implement Subtitle K, Title 2, Government Code, as added by this
 Act, not later than September 1, 2014.

3 (c) On September 1, 2014:

4 (1) the Judicial Branch Certification Commission is5 created;

6 (2) the Court Reporters Certification Board, 7 Guardianship Certification Board, and process server review board 8 are abolished;

9 (3) the powers, duties, functions, programs, and 10 activities of the Court Reporters Certification Board, Guardianship Certification Board, and process server review board 11 and of the Texas Commission of Licensing and Regulation and the 12 Texas Department of Licensing and Regulation related to licensed 13 14 court interpreters are transferred to the Judicial Branch 15 Certification Commission;

16 (4) an employee of the Court Reporters Certification 17 Board, Guardianship Certification Board, or process server review 18 board becomes an employee of the Office of Court Administration of 19 the Texas Judicial System;

20 all obligations and contracts of (5) the Court 21 Reporters Certification Board, Guardianship Certification Board, and process server review board and of the Texas Commission of 22 23 Licensing and Regulation and the Texas Department of Licensing and 24 Regulation related to licensed court interpreters are transferred to the Office of Court Administration of the Texas Judicial System; 25 26 (6) all property and records in the custody of the

27 Court Reporters Certification Board, Guardianship Certification

Board, and process server review board and of the Texas Commission of Licensing and Regulation and the Texas Department of Licensing and Regulation related to licensed court interpreters shall be transferred to the Office of Court Administration of the Texas Judicial System;

6 (7) all complaints, investigations, or contested 7 cases that are pending before the Court Reporters Certification 8 Board, Guardianship Certification Board, and process server review 9 board and of the Texas Commission of Licensing and Regulation and 10 the Texas Department of Licensing and Regulation related to 11 licensed court interpreters are transferred without change in 12 status to the Judicial Branch Certification Commission;

(8) a rule, form, or fee adopted by the Supreme Court of Texas or the Texas Commission of Licensing and Regulation related to a profession regulated under Subtitle K, Title 2, Government Code, as added by this Act, remains in effect until altered by the supreme court;

(9) except as otherwise provided by this Act, a
reference in law to the Court Reporters Certification Board,
Guardianship Certification Board, or process server review board
means the Judicial Branch Certification Commission; and

(10) a license, certification, or registration in effect that was issued by the Court Reporters Certification Board, Guardianship Certification Board, process server review board, or Texas Department of Licensing and Regulation related to professions regulated under Subtitle K, Title 2, Government Code, as added by this Act, is continued in effect as a license, certification, or

1 registration of the Judicial Branch Certification Commission.

2 (d) The abolition of the Court Reporters Certification Board, Guardianship Certification Board, and process server review 3 board and the transfer of powers, duties, functions, programs, 4 activities, obligations, rights, contracts, records, property, 5 funds, and employees as provided by this Act do not affect or impair 6 7 an act done, any obligation, right, order, permit, certificate, 8 rule, criterion, standard, or requirement existing, or any penalty accrued under former law, and that law remains in effect for any 9 action concerning those matters. 10

11 (e) Subsections (a) and (b) of this section take effect 12 September 1, 2013.

13 SECTION 3.03. Except as otherwise provided by this Act, 14 this Act takes effect September 1, 2014.