1 AN ACT relating to the authority of the Department of Family 2 and 3 Protective Services to investigate abuse, neglect, or exploitation 4 of individuals receiving services from certain providers. BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS: 5 SECTION 1. Section 48.002(a), Human Resources Code, 6 is amended by adding Subdivision (11) to read as follows: 7 (11) "Home and community-based services" has the 8 meaning assigned by Section 48.251. 9 SECTION 2. Section 48.002(b), Human Resources Code, 10 as amended by S.B. No. 219, Acts of the 84th Legislature, Regular 11 Session, 2015, is amended to read as follows: 12 13 (b) The definitions of "abuse," "neglect," [and] 14 "exploitation," and "an individual receiving services" adopted by 15 the executive commissioner as prescribed by Section 48.251(b) [<del>48.251</del>] apply to an investigation of abuse, neglect, 16 or exploitation conducted under Subchapter F [or H]. 17 SECTION 3. Section 48.003, Human Resources Code, is amended 18 to read as follows: 19 Sec. 48.003. INVESTIGATIONS IN NURSING FACILITIES [HOMES], 20 ASSISTED LIVING FACILITIES, AND SIMILAR FACILITIES. (a) Except as 21 22 provided by Subsection (c), this [This] chapter does not apply if the alleged or suspected abuse, neglect, or exploitation occurs in 23 a facility licensed under Chapter 242 or 247, Health and Safety 24

1 Code.

2 (b) Alleged or suspected abuse, neglect, or exploitation 3 that occurs in a facility licensed under Chapter 242 or 247, Health 4 and Safety Code, is governed by Chapter 260A, Health and Safety 5 Code, except as otherwise provided by Subsection (c).

6 (c) Subchapter F applies to an investigation of alleged or 7 suspected abuse, neglect, or exploitation in which a provider of 8 home and community-based services is or may be alleged to have 9 committed the abuse, neglect, or exploitation, regardless of 10 whether the facility in which those services were provided is 11 licensed under Chapter 242 or 247, Health and Safety Code.

SECTION 4. Sections 48.051(a) and (b), Human Resources Code, as amended by S.B. No. 219, Acts of the 84th Legislature, Regular Session, 2015, are amended to read as follows:

15 Except as prescribed by Subsection (b), a person having (a) 16 cause to believe that an elderly person, a [or] person with a disability, or an individual receiving services from a provider as 17 described by Subchapter F is in the state of abuse, neglect, or 18 exploitation[, including a person with a disability who is 19 receiving services as described by Section 48.252, ] shall report 20 the information required by Subsection (d) immediately to the 21 department. 22

(b) If a person has cause to believe that an elderly person or <u>a</u> person with a disability, other than <u>an individual</u> [<del>a person</del> <del>with a disability</del>] receiving services <u>from a provider</u> as described by <u>Subchapter F</u> [<del>Section 48.252</del>], has been abused, neglected, or exploited in a facility operated, licensed, certified, or

1 registered by a state agency, the person shall report the 2 information to the state agency that operates, licenses, certifies, 3 or registers the facility for investigation by that agency.

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4 SECTION 5. Section 48.103, Human Resources Code, is amended 5 by amending Subsection (a), as amended by S.B. No. 219, Acts of the 6 84th Legislature, Regular Session, 2015, and adding Subsection (c) 7 to read as follows:

8 (a) Except as otherwise provided by Subsection (c), on [On] 9 determining after an investigation that an elderly person or <u>a</u> 10 person with a disability has been abused, exploited, or neglected 11 by an employee of a home and community support services agency 12 licensed under Chapter 142, Health and Safety Code, the department 13 shall:

14 (1) notify the state agency responsible for licensing 15 the home and community support services agency of the department's 16 determination;

17 (2) notify any health and human services agency, as 18 defined by Section 531.001, Government Code, that contracts with 19 the home and community support services agency for the delivery of 20 health care services of the department's determination; and

(3) provide to the licensing state agency and any contracting health and human services agency access to the department's records or documents relating to the department's investigation.

(c) This section does not apply to an investigation of
 alleged or suspected abuse, neglect, or exploitation in which a
 provider, as defined by Section 48.251, is or may be alleged to have

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1	committed the abuse, neglect, or exploitation. An investigation
2	described by this subsection is governed by Subchapter F.
3	SECTION 6. Section 48.151(e), Human Resources Code, is
4	amended to read as follows:
5	(e) This section does not apply to investigations conducted
6	under Subchapter F [ <del>or H</del> ].
7	SECTION 7. Section 48.201, Human Resources Code, as amended
8	by S.B. No. 219, Acts of the 84th Legislature, Regular Session,
9	2015, is amended to read as follows:
10	Sec. 48.201. APPLICATION OF SUBCHAPTER. Except as
11	otherwise provided, this subchapter does not apply to an
12	investigation <u>conducted</u> under Subchapter F [ <del>or H</del> ].
13	SECTION 8. Subchapter F, Chapter 48, Human Resources Code,
14	as amended by S.B. No. 219, Acts of the 84th Legislature, Regular
15	Session, 2015, is amended to read as follows:
16	SUBCHAPTER F. INVESTIGATIONS OF ABUSE, NEGLECT, OR EXPLOITATION
17	OF INDIVIDUALS RECEIVING SERVICES FROM CERTAIN PROVIDERS [ <del>IN</del>
18	CERTAIN FACILITIES, COMMUNITY CENTERS, AND LOCAL MENTAL HEALTH AND
19	INTELLECTUAL AND DEVELOPMENTAL DISABILITY AUTHORITIES]
20	Sec. 48.251. DEFINITIONS. (a) In this subchapter:
21	(1) "Behavioral health services" means:
22	(A) mental health services, as defined by Section
23	531.002, Health and Safety Code; and
24	(B) interventions provided to treat chemical
25	dependency, as defined by Section 461A.002, Health and Safety Code.
26	(2) "Community center" has the meaning assigned by
27	Section 531.002, Health and Safety Code.

S.B. No. 1880 (3) "Facility" means: 1 2 (A) a facility listed in Section 532.001(b) or 532A.001(b), Health and Safety Code, including community services 3 operated by the Department of State Health Services or Department 4 of Aging and Disability Services, as described by those sections, 5 or a person contracting with a health and human services agency to 6 7 provide inpatient mental health services; and 8 (B) a facility licensed under Chapter 252, Health 9 and Safety Code. (4) "Health and human services agency" has the meaning 10 11 assigned by Section 531.001, Government Code. (5) "Home and community-based services" means 12 13 services provided in the home or community in accordance with 42 U.S.C. Section 1315, 42 U.S.C. Section 1315a, 42 U.S.C. Section 14 1396a, or 42 U.S.C. Section 1396n, and as otherwise provided by 15 department rule. 16 17 (6) "Local intellectual and developmental disability 18 authority" has the meaning assigned by Section 531.002, Health and 19 Safety Code. 20 (7) "Local mental health authority" has the meaning assigned by Section 531.002, Health and Safety Code. 21 22 "Managed care organization" has the meaning (8) 23 assigned by Section 533.001, Government Code. "Provider" means: 24 (9) 25 (A) a facility; (B) a community center, local mental health 26 27 authority, and local intellectual and developmental disability

1	authority;
2	(C) a person who contracts with a health and
3	human services agency or managed care organization to provide home
4	and community-based services;
5	(D) a person who contracts with a Medicaid
6	managed care organization to provide behavioral health services;
7	(E) a managed care organization;
8	(F) an officer, employee, agent, contractor, or
9	subcontractor of a person or entity listed in Paragraphs (A)-(E);
10	and
11	(G) an employee, fiscal agent, case manager, or
12	service coordinator of an individual employer participating in the
13	consumer-directed service option, as defined by Section 531.051,
14	Government Code.
15	(b) The executive commissioner by rule shall adopt
16	definitions of "abuse," "neglect," <u>"exploitation," and "an</u>
17	individual receiving services" for purposes of this subchapter and
18	[ <del>"exploitation" to govern</del> ] investigations <u>conducted</u> under this
19	subchapter [ <del>and Subchapter H</del> ].
20	Sec. 48.252. INVESTIGATION OF REPORTS OF ABUSE, NEGLECT, OR
21	EXPLOITATION BY PROVIDER [IN CERTAIN FACILITIES AND IN COMMUNITY
22	CENTERS]. (a) The department shall receive and, except as
23	provided by Subsection (b), shall investigate under this subchapter
24	reports of the abuse, neglect, or exploitation of an individual
25	[ <del>with a disability</del> ] receiving services <u>if the person alleged or</u>
26	suspected to have committed the abuse, neglect, or exploitation is
27	a provider[+

1	[ <del>(1) in:</del>
2	[ <del>(A) a mental health facility operated by the</del>
3	Department of State Health Services; or
4	[ <del>(B) a facility licensed under Chapter 252</del> ,
5	Health and Safety Code;
6	[ <del>(2) in or from a community center, a local mental</del>
7	health authority, or a local intellectual and developmental
8	disability authority; or
9	[ <del>(3) through a program providing services to that</del>
10	person by contract with a mental health facility operated by the
11	Department of State Health Services, a community center, a local
12	mental health authority, or a local intellectual and developmental
13	disability authority].
14	(b) The department <u>may not</u> [ <del>shall receive and shall</del> ]
15	investigate <u>under this subchapter</u> reports of [ <del>the</del> ] abuse, neglect,
16	or exploitation alleged or suspected to have been committed by a
17	provider that is operated, licensed, certified, or registered by a
18	state agency that has authority under this chapter or other law to
19	investigate reports of abuse, neglect, or exploitation of an
20	individual by the provider. The department shall forward any
21	report of abuse, neglect, or exploitation alleged or suspected to
22	have been committed by a provider described by this subsection to
23	the appropriate state agency for investigation [of an individual
24	with a disability receiving services:
25	[ <del>(1) in a state supported living center or the ICF-IID</del>
26	component of the Rio Grande State Center; or
27	[ <del>(2) through a program providing services to that</del>

1 person by contract with a state supported living center or the 2 ICF-IID component of the Rio Grande State Center].

The department shall receive and investigate under this 3 (c) subchapter reports of abuse, neglect, or exploitation of an 4 individual who lives in a residence that is owned, operated, or 5 controlled by a provider who provides home and community-based 6 7 services under the home and community-based services waiver program described by Section 534.001(11)(B), Government Code, regardless 8 9 of whether the individual is receiving services under that waiver program from the provider. [The executive commissioner by rule 10 11 shall define who is "an individual with a disability receiving services." 12

13 [(d) In this section, "community center," "local mental 14 health authority," and "local intellectual and developmental 15 disability authority" have the meanings assigned by Section 16 531.002, Health and Safety Code.]

Sec. 48.253. ACTION ON REPORT. (a) On receipt by the department of a report of alleged abuse, neglect, or exploitation under this subchapter, the department shall initiate a prompt and thorough investigation as needed to evaluate the accuracy of the report and to assess the need for emergency protective services, unless the department, in accordance with rules adopted under this subchapter, determines that the report:

(1) is frivolous or patently without a factual basis;
or
(2) does not concern abuse, neglect, or exploitation.

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(b) After receiving a report that alleges that a provider is

1	or may be the person who committed the alleged abuse, neglect, or
2	exploitation, the department shall notify the provider and the
3	appropriate health and human services agency in accordance with
4	rules adopted by the executive commissioner.
5	(c) The provider identified under Subsection (b) shall:
6	(1) cooperate completely with an investigation
7	conducted under this subchapter; and
8	(2) provide the department complete access during an
9	investigation to:
10	(A) all sites owned, operated, or controlled by
11	the provider; and
12	(B) clients and client records.
13	(d) The executive commissioner shall adopt rules governing
14	investigations conducted under this subchapter.
15	Sec. 48.254. FORWARDING OF CERTAIN REPORTS. (a) The
16	executive commissioner by rule shall establish procedures for the
17	department to use to [ <del>In accordance with department rules, the</del>
18	department shall] forward a copy of the initial intake report and a
19	copy of the completed provider investigation report relating to
20	alleged or suspected abuse, neglect, or exploitation to the
21	appropriate provider and health and human services agency
22	[facility, community center, local mental health authority, local
23	intellectual and developmental disability authority, or program
24	providing mental health or intellectual disability services under
25	contract with the facility, community center, or authority].
26	(b) The department shall redact from an initial intake
27	report and from the copy of the completed provider investigation

1 report any identifying information contained in the report relating
2 to the person who reported the alleged or suspected abuse, neglect,
3 or exploitation under Section 48.051.

4 (c) A provider that receives a completed investigation
5 report under Subsection (a) shall forward the report to the managed
6 care organization with which the provider contracts for services
7 for the alleged victim.

8 Sec. 48.255. RULES FOR INVESTIGATIONS UNDER THIS 9 SUBCHAPTER. (a) The <u>executive commissioner</u> [department, the 10 Department of Aging and Disability Services, and the Department of 11 <u>State Health Services</u>] shall <u>adopt</u> [develop] rules to:

12 (1) prioritize investigations conducted under this 13 subchapter with the primary criterion being whether there is a risk 14 that a delay in the investigation will impede the collection of 15 evidence in that investigation;

16 <u>(2)</u> [facilitate investigations in state mental health 17 facilities and state supported living centers.

18 [(b) The executive commissioner by rule shall] establish 19 procedures for resolving disagreements between the department and 20 <u>health and human services agencies</u> [the Department of Aging and 21 <u>Disability Services or the Department of State Health Services</u>] 22 concerning the department's investigation findings; and

23 (3) provide for an appeals process by the department
 24 for the alleged victim of abuse, neglect, or exploitation.

25 (b) [(c) The department, the Department of Aging and 26 Disability Services, and the Department of State Health Services 27 shall develop and propose to the executive commissioner rules to

1 facilitate investigations in community centers, local mental 2 health authorities, and local intellectual and developmental 3 disability authorities.

4 [(c=1) The executive commissioner shall adopt rules
5 regarding investigations in a facility licensed under Chapter 252,
6 Health and Safety Code, to ensure that those investigations are as
7 consistent as practicable with other investigations conducted
8 under this subchapter.

9 [<del>(d)</del>] A confirmed investigation finding by the department 10 may not be changed by <u>the administrator</u> [<del>a superintendent</del>] of a 11 [<del>state mental health</del>] facility, [<del>by a director of a state supported</del> 12 <del>living center, by a director of</del>] a community center, [<del>or by</del>] a local 13 mental health authority<u>,</u> or <u>a</u> local intellectual and developmental 14 disability authority.

15 [(e) The executive commissioner shall provide by rule for an 16 appeals process by the alleged victim of abuse, neglect, or 17 exploitation under this section.

18 [(f) The executive commissioner by rule may assign 19 priorities to an investigation conducted by the department under 20 this section. The primary criterion used by the executive 21 commissioner in assigning a priority must be the risk that a delay 22 in the investigation will impede the collection of evidence.]

23 Sec. 48.256. <u>SHARING PROVIDER INFORMATION.</u> (a) The 24 <u>executive commissioner shall adopt rules that prescribe the</u> 25 <u>appropriate manner in which health and human services agencies and</u> 26 <u>managed care organizations provide the department with information</u> 27 <u>necessary to facilitate identification of individuals receiving</u>

1 services from providers and to facilitate notification of providers 2 by the department. 3 (b) The executive commissioner shall adopt rules requiring 4 a provider to provide information to the administering health and human services agency necessary to facilitate identification by the 5 department of individuals receiving services from providers and to 6 7 facilitate notification of providers by the department. (c) A provider of home and community-based services under 8 9 the home and community-based services waiver program described by Section 534.001(11)(B), Government Code, shall post 10 in a 11 conspicuous location inside any residence owned, operated, or controlled by the provider in which home and community-based waiver 12 13 services are provided, a sign that states: 14 (1) the name, address, and telephone number of the provider; 15 16 (2) the effective date of the provider's contract with the applicable health and human services agency to provide home and 17 community-based services; and 18 (3) the name of the legal entity that contracted with 19 20 the applicable health and human services agency to provide those 21 services. Sec. 48.257. RETALIATION PROHIBITED. (a) A provider of 22 home and community-based services may not retaliate against a 23 person for filing a report or providing information in good faith 24 relating to the possible abuse, neglect, or exploitation of an 25 26 individual receiving services. 27 (b) This section does not prohibit a provider of home and

1 community-based services from terminating an employee for a reason
2 other than retaliation.

Sec. 48.258. [SINCLE] TRACKING SYSTEM FOR REPORTS 3 AND 4 INVESTIGATIONS. (a) The health and human services agencies [department, the Department of Aging and Disability Services, and 5 the Department of State Health Services] shall, at the direction of 6 the executive commissioner, jointly develop and implement a 7 [single] system to track reports and investigations under this 8 9 subchapter.

10 (b) To facilitate implementation of the system, the <u>health</u> 11 <u>and human services agencies</u> [department, the Department of Aging 12 and Disability Services, and the Department of State Health 13 <u>Services</u>] shall use appropriate methods of measuring the number and 14 outcome of reports and investigations under this subchapter.

15 SECTION 9. Section 48.301, Human Resources Code, is amended 16 by amending Subsection (a), as amended by S.B. No. 219, Acts of the 17 84th Legislature, Regular Session, 2015, and adding Subsection 18 (a-1) to read as follows:

(a) If the department receives a report of suspected abuse, neglect, or exploitation of an elderly person or <u>a</u> person with a disability[<del>, other than a person with a disability who is</del>] receiving services [<del>as described by Section 48.252,</del>] in a facility operated, licensed, certified, or registered by a state agency, the department shall refer the report to that agency.

25 (a-1) This subchapter does not apply to a report of
 26 suspected abuse, neglect, or exploitation of an individual
 27 receiving services from a provider as described by Subchapter F.

1 SECTION 10. Sections 48.401(1) and (3), Human Resources 2 Code, are amended to read as follows: (1)"Agency" means: 3 4 (A) an entity licensed under Chapter 142, Health and Safety Code; 5 6 (B) a person exempt from licensing under Section 7 142.003(a)(19), Health and Safety Code; (C) a facility licensed under Chapter 252, Health 8 9 and Safety Code; or 10 (D) a provider [an entity] investigated by the department under Subchapter F or under Section 261.404, Family 11 Code. 12 "Employee" means a person who: 13 (3) works for: 14 (A) 15 (i) an agency; or 16 (ii) an individual employer participating 17 in the consumer-directed service option, as defined by Section 18 531.051, Government Code; (B) provides personal care services, 19 active 20 treatment, or any other [personal] services to an individual receiving agency services, an individual who is a child for whom an 21 22 investigation is authorized under Section 261.404, Family Code, or an individual receiving services through the consumer-directed 23 service option, as defined by Section 531.051, Government Code; and 24 25 (C) is not licensed by the state to perform the services the person performs for the agency or the individual 26 27 employer participating in the consumer-directed service option, as

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1 defined by Section 531.051, Government Code.

2 SECTION 11. The heading to Section 261.404, Family Code, as 3 amended by S.B. No. 219, Acts of the 84th Legislature, Regular 4 Session, 2015, is amended to read as follows:

5 Sec. 261.404. INVESTIGATIONS REGARDING CERTAIN CHILDREN
6 <u>RECEIVING SERVICES FROM CERTAIN PROVIDERS</u> [WITH MENTAL ILLNESS OR
7 AN INTELLECTUAL DISABILITY].

8 SECTION 12. Section 261.404, Family Code, as amended by 9 S.B. No. 219, Acts of the 84th Legislature, Regular Session, 2015, 10 is amended by amending Subsections (a) and (b) and adding 11 Subsections (a-1), (a-2), and (a-3) to read as follows:

(a) The department shall investigate a report of abuse, 12 13 neglect, or exploitation of a child receiving services from a provider, as those terms are defined by Section 48.251, Human 14 Resources Code, or as otherwise defined by rule. The department 15 shall also investigate, under Subchapter F, Chapter 48, Human 16 Resources Code, a report of abuse, neglect, or exploitation of a 17 child receiving services from an officer, employee, agent, 18 contractor, or subcontractor of a home and community support 19 20 services agency licensed under Chapter 142, Health and Safety Code, if the officer, employee, agent, contractor, or subcontractor is or 21 may be the person alleged to have committed the abuse, neglect, or 22 exploitation[+ 23

24 [(1) in a facility operated by the Department of Aging 25 and Disability Services or a mental health facility operated by the 26 Department of State Health Services;

27

[<del>(2) in or from a community center, a local mental</del>

health authority, or a local intellectual and developmental 1 2 disability authority; [(3) through a program providing services to that 3 child by contract with a facility operated by the Department of 4 Aging and Disability Services, a mental health facility operated by 5 the Department of State Health Services, a community center, a 6 7 local mental health authority, or a local intellectual and developmental disability authority; 8 9 [(4) from a provider of home and community-based 10 services who contracts with the Department of Aging and Disability Services; or 11 [(5) in a facility licensed under Chapter 252, Health 12 13 and Safety Code]. (a-1) For an investigation of a child living in a residence 14 15 owned, operated, or controlled by a provider of services under the 16 home and community-based services waiver program described by Section 534.001(11)(B), Government Code, the department, in 17 accordance with Subchapter E, Chapter 48, Human Resources Code, may 18 provide emergency protective services necessary to immediately 19 protect the child from serious physical harm or death and, if 20 necessary, obtain an emergency order for protective services under 21 Section 48.208, Human Resources Code. 22 (a-2) For an investigation of a child living in a residence 23 owned, operated, or controlled by a provider of services under the 24 25 home and community-based services waiver program described by Section 534.001(11)(B), Government Code, regardless of whether the 26

27 child is receiving services under that waiver program from the

provider, the department shall provide protective services to the
 child in accordance with Subchapter E, Chapter 48, Human Resources

3 <u>Code</u>.

4 <u>(a-3) For purposes of this section, Subchapters E and F,</u> 5 <u>Chapter 48, Human Resources Code, apply to an investigation of a</u> 6 <u>child and to the provision of protective services to that child in</u> 7 <u>the same manner those subchapters apply to an investigation of an</u> 8 <u>elderly person or person with a disability and the provision of</u> 9 <u>protective services to that person.</u>

(b) The department shall investigate the report under rules
developed by the executive commissioner [with the advice and
assistance of the department, the Department of Aging and
Disability Services, and the Department of State Health Services].

SECTION 13. Section 142.009(c), Health and Safety Code, is amended to read as follows:

16 (c) The department or its authorized representative shall 17 investigate each complaint received regarding the provision of home 18 health, hospice, or personal assistance services[, including any 19 allegation of abuse, neglect, or exploitation of a child under the 20 age of 18,] and may, as a part of the investigation:

(1) conduct an unannounced survey of a place of business, including an inspection of medical and personnel records, if the department has reasonable cause to believe that the place of business is in violation of this chapter or a rule adopted under this chapter;

26 (2) conduct an interview with a recipient of home27 health, hospice, or personal assistance services, which may be

1 conducted in the recipient's home if the recipient consents;

2 (3) conduct an interview with a family member of a 3 recipient of home health, hospice, or personal assistance services 4 who is deceased or other person who may have knowledge of the care 5 received by the deceased recipient of the home health, hospice, or

6 personal assistance services; or

7 (4) interview a physician or other health care 8 practitioner, including a member of the personnel of a home and 9 community support services agency, who cares for a recipient of 10 home health, hospice, or personal assistance services.

SECTION 14. Section 260A.002, Health and Safety Code, is amended by adding Subsection (a-1) to read as follows:

13 (a-1) Notwithstanding any other provision of this chapter, a report made under this section that a provider is or may be 14 alleged to have committed abuse, neglect, or exploitation of a 15 16 resident of a facility other than a prescribed pediatric extended care center shall be investigated by the Department of Family and 17 Protective Services in accordance with Subchapter F, Chapter 48, 18 Human Resources Code, and this chapter does not apply to that 19 investigation. In this subsection, "facility" and "provider" have 20 the meanings assigned by Section 48.251, Human Resources Code. 21

22

SECTION 15. The following are repealed:

(1) Section 261.404(f), Family Code, as amended by
S.B. No. 219, Acts of the 84th Legislature, Regular Session, 2015;
and

26 (2) Subchapter H, Chapter 48, Human Resources Code.
 27 SECTION 16. This Act takes effect September 1, 2015.

President of the SenateSpeaker of the HouseI hereby certify that S.B. No. 1880 passed the Senate onApril 28, 2015, by the following vote:Yeas 31, Nays 0.

Secretary of the Senate

I hereby certify that S.B. No. 1880 passed the House on May 26, 2015, by the following vote: Yeas 144, Nays 2, two present not voting.

Chief Clerk of the House

Approved:

Date

Governor