By: Klick H.B. No. 3564

## A BILL TO BE ENTITLED

AN ACT

2	relating	to	the	office	of	the	state	long-term	care	ombudsman

- 3 affecting the prosecution of a criminal offense.
- 4 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
- 5 SECTION 1. Subchapter F, Chapter 101A, Human Resources
- 6 Code, is amended to read as follows:

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- 7 SUBCHAPTER F. OFFICE OF STATE LONG-TERM CARE OMBUDSMAN
- 8 Sec. 101A.251. DEFINITIONS. In this subchapter:
- 9 (1) "Commission" means the Health and Human Services
- 10 Commission ["Elderly resident" means a resident of a long-term care
- 11 facility who is 60 years of age or older].
- 12 (1-a) "Local ombudsman entity" means a distinct unit
- 13 or entity, consisting of representatives, designated by the state
- 14 long-term care ombudsman to carry out the ombudsman program in a
- 15 service area of the state.
- 16 (2) "Long-term care facility" means a facility that
- 17 [serves persons who are 60 years of age or older and that] is
- 18 licensed or regulated or that is required to be licensed or
- 19 regulated by the commission [department] under Chapter 242 or 247,
- 20 Health and Safety Code.
- 21 (3) "Office" means the office of the state long-term
- 22 care ombudsman, consisting of the state long-term care ombudsman
- 23 and representatives who are employed by the commission.
- 24 (3-a) "Ombudsman program" means the program through

- 1 which the functions and duties of the office are carried out,
- 2 consisting of the office and all representatives.
- 3 (4) "Representative" means an employee or volunteer
- 4 specifically designated by the office as a representative of the
- 5 office.
- 6 (4-a) "Resident" means a resident of a long-term care
- 7 facility.
- 8 (5) "State  $\underline{long-term\ care}$  ombudsman" means the chief
- 9 administrator of the office.
- 10 Sec. 101A.252. OPERATION OF OFFICE. (a) The office
- 11 [department] shall operate and is subject to the commission's
- 12 oversight in accordance with federal and state statute [the office
- 13 of the state long-term care ombudsman].
- 14 (b) The commission [department] may operate the office
- 15 directly or by contract or memorandum of agreement with a public
- 16 agency or other appropriate private nonprofit organization. The
- 17 commission, agency, or organization may not implement a policy that
- 18 prohibits the office from performing its duties under this
- 19 <u>subchapter.</u> The <u>commission</u> [department] may not use an agency or
- 20 organization that [is]:
- 21 (1) is responsible for licensing or certifying
- 22 long-term care services; [or]
- 23 (2)  $\underline{is}$  an association of long-term care facilities or
- 24 of any other residential facility that serves persons with
- 25 <u>disabilities or</u> who are 60 years of age or older, or <u>that is</u> an
- 26 affiliate of such an association; or
- 27 (3) has an ownership, operational, or investment

- 1 interest in a long-term care facility.
- 2 (c) The commission [department] shall consider the views of
- 3 residents [elderly persons], provider organizations, advocacy
- 4 groups, and area agencies on aging in planning and operating the
- 5 office.
- 6 (d) The <u>commission</u> [<u>department</u>] shall ensure that a person
- 7 involved in designating the state long-term care ombudsman or in
- 8 designating a [an employee or] representative [of the office] does
- 9 not have a conflict of interest.
- Sec. 101A.253. ROLE OF OFFICE. The office and the ombudsman
- 11 program shall operate in cooperation with any regulatory agency
- 12 funded and mandated by federal [the Older Americans Act of 1965 (42
- 13 U.S.C. Section 3001 et seq.) and state statute.
- 14 Sec. 101A.254. POWERS AND DUTIES OF STATE LONG-TERM CARE
- 15 OMBUDSMAN AND OFFICE. (a) The [state ombudsman and the] office has
- 16 [have] the powers and duties <u>authorized</u> and required by state and
- 17 federal law.
- 18 (b) The office may use appropriate administrative, legal,
- 19 and other remedies to assist [elderly] residents as provided by
- 20 commission [department] rules.
- 21 <u>(c) The office acts independently of the commission in the</u>
- 22 performance of its powers and duties under this subchapter.
- 23 <u>(d) The state long-term care ombudsman has the authority to</u>
- 24 designate a local ombudsman entity or representative and to suspend
- 25 or revoke that designation.
- Sec. 101A.255. OMBUDSMEN. (a) The office shall recruit
- 27 volunteers and citizen organizations to participate in the

- 1 ombudsman program. A paid staff member of an area agency on aging
- 2 network or a nonprofit social service agency may be an ombudsman.
- 3 An ombudsman is a representative [of the office].
- 4 (b) The office shall provide training to ombudsmen as
- 5 required by this subchapter and federal law.
- 6 (c) The office shall coordinate ombudsman services with the
- 7 protection and advocacy systems that exist for persons with
- 8 developmental disabilities or mental illness.
- 9 (d) The office shall coordinate ombudsman services with
- 10 state and local law enforcement agencies and courts of competent
- 11 jurisdiction. The office is not authorized to compel those law
- 12 enforcement agencies or courts to coordinate ombudsman services or
- 13 other activities with the office.
- Sec. 101A.256. LEGAL COUNSEL [ASSISTANCE]. The commission
- 15 [department] shall ensure that the office receives adequate legal
- 16 advice and representation without conflict of interest as defined
- 17 by the Texas Disciplinary Rules of Professional Conduct. The
- 18 attorney general shall represent the state long-term care ombudsman
- 19 and [er] a representative if a suit or other legal action is brought
- 20 or threatened to be brought against that person in connection with
- 21 the person's performance of the official duties of the  $\underline{\text{ombudsman}}$
- 22 program [<del>office</del>].
- Sec. 101A.257. INVESTIGATIONS. (a) The office shall have
- 24 access to [elderly] residents and shall, in accordance with
- 25 commission rules, investigate and resolve complaints made by or on
- 26 behalf of [elderly] residents.
- 27 (b) The state long-term care ombudsman [department] shall

- 1 ensure that each ombudsman designated under Section 101A.255 who
- 2 investigates complaints has received proper training and has been
- 3 approved by the office as qualified to investigate complaints.
- 4 (c) The office shall investigate a grievance made against a
- 5 representative in accordance with commission rules and inform the
- 6 person who made the grievance of the outcome of the investigation
- 7 when the investigation is concluded.
- 8 (d) A long-term care facility shall cooperate with an
- 9 investigation conducted by the state long-term care ombudsman or a
- 10 representative, including an ombudsman designated under Section
- 11 101A.255.
- 12 Sec. 101A.258. ACCESS TO RECORDS AND CONFIDENTIALITY. (a)
- 13 The state long-term care ombudsman and representatives [or the
- 14 state ombudsman's designee, specifically identified by the
- 15 commissioner, shall have access to patient care records of
- 16 [elderly] residents as provided by commission rules. Except as
- 17 provided by Subsection (b), all [of long-term care facilities as
- 18 provided by Subsection (a-1). The executive commissioner by rule
- 19 shall establish procedures for obtaining access to the records.
- 20 All records and information <u>created or obtained by</u> [to which] the
- 21 state <u>long-term care</u> ombudsman or <u>a representative</u> [the state
- 22 ombudsman's designee obtains access] remain confidential.
- 23 (a-1) The state <u>long-term care</u> ombudsman <u>and</u>
- 24 <u>representatives</u> [or the state ombudsman's designee, specifically
- 25 identified by the commissioner, shall have access to patient care
- 26 records of a resident [elderly residents of long-term care
- 27 **facilities**] if:

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- 1 (1) the resident or the resident's legal
- 2 representative consents to the access;
- 3 (2) the resident is unable to consent to the access and
- 4 the resident has no legal representative; or
- 5 (3) access to the records is necessary to investigate
- 6 a complaint and:
- 7 (A) a legal <u>representative</u> [<del>guardian</del>] of the
- 8 resident refuses to consent to the access;
- 9 (B) the state long-term care ombudsman or
- 10 <u>representative</u> [the state ombudsman's designee] has reasonable
- 11 cause to believe that the <u>legal representative of the resident</u>
- 12 [quardian] is not acting in the best interests of the resident; and
- 13 (C) the state long-term care ombudsman approves
- 14 the access.
- 15 (b) The office shall ensure that the identity of a
- 16 complainant or any [facility] resident may be disclosed only with
- 17 the [written] consent of the person or the person's legal
- 18 representative or on court order.
- 19 (c) Files, records, and other information maintained as
- 20 part of the ombudsman program may be disclosed only at the
- 21 discretion of the state long-term care ombudsman. [The information
- 22 in files maintained by the office may be disclosed only by the
- 23 ombudsman who has authority over the disposition of the files.
- Sec. 101A.259. REPORTING SYSTEM. The office shall maintain
- 25 a statewide ombudsman uniform reporting system to collect and
- 26 analyze information relating to complaints and conditions in
- 27 long-term care facilities as long as such system does not duplicate

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- 1 other state reporting systems. The office shall provide the
- 2 information to the commission in accordance with federal law
- 3 [department and the Health and Human Services Commission].
- 4 Sec. 101A.260. ANALYSIS OF LAWS. (a) The office shall
- 5 analyze and monitor the development and implementation of federal,
- 6 state, and local laws, rules, regulations, and policies relating to
- 7 long-term care facilities and services and shall recommend any
- 8 changes the office considers necessary.
- 9 (b) To the extent necessary to perform any duties under this
- 10 subchapter, Section 556.006(a), Government Code, and Section
- 11 391.0116, Local Government Code, do not apply to the state
- 12 <u>long-term care ombudsman or a representative.</u>
- 13 Sec. 101A.261. PUBLIC INFORMATION. The office shall
- 14 provide information and make recommendations to public agencies,
- 15 legislators, and other persons about [others that relates to] the
- 16 problems and concerns of [elderly] residents.
- 17 Sec. 101A.262. [ANNUAL] REPORT. (a) The office shall
- 18 prepare a [an annual] report that contains:
- 19 (1) information and findings relating to the problems
- 20 and <a href="complaints">concerns</a> [complaints] of [elderly] residents; and
- 21 (2) policy, regulatory, and legislative
- 22 recommendations to solve the problems, resolve the <u>concerns</u>
- 23 [complaints], and improve the quality of the [complaints] residents'
- 24 care and lives.
- 25 (b) The report must be submitted to the governor and the
- 26 presiding officer of each house of the legislature not later than
- 27 November 1 of each even-numbered year.

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- 1 Sec. 101A.263. LIMITATION OF LIABILITY. The state
- 2 long-term care [An] ombudsman or a representative is not liable for
- 3 civil damages or subject to criminal prosecution for performing
- 4 official duties unless the state long-term care ombudsman or
- 5 representative acts in bad faith or with a malicious purpose.
- 6 Sec. 101A.264. CRIMINAL PENALTY. (a) A person commits an
- 7 offense if the person:
- 8 (1) by act or omission, wilfully [intentionally]
- 9 interferes or attempts to interfere with the state long-term care
- 10 [an] ombudsman or a representative attempting to perform official
- 11 duties; or
- 12 (2) commits or attempts to commit an act of
- 13 retaliation or reprisal against any resident or employee of a
- 14 long-term care facility for filing a complaint or providing
- 15 information to the state long-term care [an] ombudsman or a
- 16 <u>representative</u>.
- 17 (b) An offense under this section is a Class B misdemeanor.
- 18 (c) The commission [department] shall ensure [assure] that
- 19 criminal sanctions will be initiated only after all administrative
- 20 procedures are exhausted.
- 21 SECTION 2. Section 101A.264, Human Resources Code, as
- 22 amended by this Act, applies only to an offense committed on or
- 23 after the effective date of this Act. An offense committed before
- 24 the effective date of this Act is governed by the law in effect on
- 25 the date the offense was committed, and the former law is continued
- 26 in effect for that purpose. For purposes of this section, an
- 27 offense was committed before the effective date of this Act if any

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- 1 element of the offense occurred before that date.
- 2 SECTION 3. This Act takes effect September 1, 2017.