H.B. No. 3564 By: Klick

A BILL TO BE ENTITLED

1	AN ACT
2	relating to the office of the state long-term care ombudsman;
3	amending provisions subject to a criminal penalty.
4	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
5	SECTION 1. Subchapter F, Chapter 101A, Human Resources
6	Code, is amended to read as follows:

- SUBCHAPTER F. OFFICE OF STATE LONG-TERM CARE OMBUDSMAN 7
- Sec. 101A.251. DEFINITIONS. In this subchapter: 8
- "Commission" means the Health and Human Services 9 (1)Commission ["Elderly resident" means a resident of a long-term care 10 facility who is 60 years of age or older].
- 12 (1-a) "Local ombudsman entity" means a local entity, 13 consisting of representatives, designated by the state long-term
- 14 care ombudsman to carry out the ombudsman program in a service area
- of the state. 15

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- "Long-term care facility" means a facility that 16 (2)
- [serves persons who are 60 years of age or older and that] is 17
- 18 licensed or regulated or that is required to be licensed or
- regulated by the commission [department] under Chapter 242 or 247, 19
- Health and Safety Code. 20
- 21 (3) "Office" means the office of the state long-term
- 22 care ombudsman, consisting of the state long-term care ombudsman
- 23 and representatives who are employed by the commission.
- 24 (3-a) "Ombudsman program" means the program through

- 1 which the functions and duties of the office are carried out,
- 2 consisting of the office and all representatives.
- 3 (4) "Representative" means an employee or volunteer
- 4 specifically designated by the office as a representative of the
- 5 office.
- 6 (4-a) "Resident" means a resident of a long-term care
- 7 <u>facility.</u>
- 8 (5) "State <u>long-term care</u> ombudsman" means the chief
- 9 administrator of the office.
- 10 Sec. 101A.252. OPERATION OF OFFICE. (a) The office
- 11 [department] shall operate in accordance with federal and state
- 12 statute [the office of the state long-term care ombudsman].
- 13 (b) The commission [department] may operate the office
- 14 directly or by contract or memorandum of agreement with a public
- 15 agency or other appropriate private nonprofit organization. The
- 16 commission, agency, or organization may not adopt a policy that
- 17 interferes with the role, powers, and duties of the office. The
- 18 commission [department] may not use an agency or organization that
- 19 [is]:
- 20 (1) is responsible for licensing or certifying
- 21 long-term care services; [er]
- (2) is an association of long-term care facilities or
- 23 of any other residential facility that serves persons with
- 24 <u>disabilities or</u> who are 60 years of age or older, or <u>that is</u> an
- 25 affiliate of such an association; or
- 26 (3) has an ownership, operational, or investment
- 27 interest in a long-term care facility.

- 1 (c) The <u>commission</u> [department] shall consider the views of
- 2 residents [elderly persons], provider organizations, advocacy
- 3 groups, and area agencies on aging in planning and operating the
- 4 office.
- 5 (d) The commission [department] shall ensure that a person
- 6 involved in designating the state long-term care ombudsman or in
- 7 designating \underline{a} [an employee or] representative [of the office] does
- 8 not have a conflict of interest.
- 9 Sec. 101A.253. ROLE OF OFFICE. The office and the ombudsman
- 10 program shall operate in cooperation with any regulatory agency
- 11 funded and mandated by federal [the Older Americans Act of 1965 (42
- 12 U.S.C. Section 3001 et seq.) and state statute.
- Sec. 101A.254. POWERS AND DUTIES OF STATE LONG-TERM CARE
- 14 OMBUDSMAN AND OFFICE. (a) The [state ombudsman and the] office has
- 15 [have] the powers and duties <u>authorized</u> and required by state and
- 16 federal law.
- 17 (b) The office may use appropriate administrative, legal,
- 18 and other remedies to assist [elderly] residents as provided by
- 19 commission [department] rules.
- 20 (c) The office acts independently of the commission in the
- 21 performance of its powers and duties under this subchapter.
- 22 <u>(d) The state long-term care ombudsman has the authority to</u>
- 23 designate, suspend, or remove a local ombudsman entity or
- 24 <u>representative</u>.
- Sec. 101A.255. OMBUDSMEN. (a) The office shall recruit
- 26 volunteers and citizen organizations to participate in the
- 27 ombudsman program. A paid staff member of an area agency on aging

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- 1 network or a nonprofit social service agency may be an ombudsman.
- 2 An ombudsman is a representative [of the office].
- 3 (b) The office shall provide training to ombudsmen as
- 4 required by this subchapter and federal law.
- 5 (c) The office shall coordinate ombudsman services with the
- 6 protection and advocacy systems that exist for persons with
- 7 developmental disabilities or mental illness.
- 8 <u>(d) The office shall coordinate ombudsman services with</u>
- 9 state and local law enforcement agencies and courts of competent
- 10 jurisdiction.
- 11 Sec. 101A.256. LEGAL COUNSEL [ASSISTANCE]. The commission
- 12 [department] shall ensure that the office receives adequate legal
- 13 advice and representation without conflict of interest as defined
- 14 by the Texas Disciplinary Rules of Professional Conduct. The
- 15 attorney general shall represent the state long-term care ombudsman
- 16 and [or] a representative if a suit or other legal action is brought
- 17 or threatened to be brought against that person in connection with
- 18 the person's performance of the official duties of the ombudsman
- 19 program [office].
- Sec. 101A.257. INVESTIGATIONS. (a) The office shall have
- 21 access to [elderly] residents and shall investigate and resolve
- 22 complaints made by or on behalf of [elderly] residents.
- 23 (b) The <u>state long-term care ombudsman</u> [<u>department</u>] shall
- 24 ensure that each ombudsman designated under Section 101A.255 who
- 25 investigates complaints has received proper training and has been
- 26 approved by the office as qualified to investigate complaints.
- 27 (c) The office shall investigate a grievance made against a

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1 representative.
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- 2 (d) A long-term care facility shall cooperate with an
- 3 investigation conducted by the state long-term care ombudsman or a
- 4 representative, including an ombudsman designated under Section
- 5 101A.255.
- 6 Sec. 101A.258. ACCESS TO RECORDS AND CONFIDENTIALITY. (a)
- 7 The state long-term care ombudsman or representative [the state
- 8 ombudsman's designee, specifically identified by the
- 9 commissioner, shall have access to patient care records of
- 10 [elderly] residents as provided by commission rules [of long-term
- 11 care facilities as provided by Subsection (a-1). The executive
- 12 commissioner by rule shall establish procedures for obtaining
- 13 $\frac{\text{access to the records}}{\text{cond}}$]. All records and information $\frac{\text{created or}}{\text{created or}}$
- 14 <u>obtained by</u> [to which] the state <u>long-term care</u> ombudsman or <u>a</u>
- 15 <u>representative</u> [the state ombudsman's designee obtains access]
- 16 remain confidential.
- 17 (a-1) The state long-term care ombudsman or representative
- 18 [the state ombudsman's designee, specifically identified by the
- 19 commissioner, shall have access to patient care records of a
- 20 resident [elderly residents of long-term care facilities] if:
- 21 (1) the resident or the resident's legal
- 22 representative consents to the access;
- 23 (2) the resident is unable to consent to the access and
- 24 the resident has no legal representative; or
- 25 (3) access to the records is necessary to investigate
- 26 a complaint and:
- 27 (A) a legal representative [guardian] of the

- 1 resident refuses to consent to the access;
- 2 (B) the state <u>long-term care</u> ombudsman or
- 3 representative [the state ombudsman's designee] has reasonable
- 4 cause to believe that the legal representative of the resident
- 5 [guardian] is not acting in the best interests of the resident; and
- 6 (C) the state <u>long-term care</u> ombudsman approves
- 7 the access.
- 8 (b) The office shall ensure that the identity of a
- 9 complainant or any [facility] resident may be disclosed only with
- 10 the [written] consent of the person or the person's legal
- 11 representative or on court order.
- 12 (b-1) Files, records, and other information maintained as
- 13 part of the ombudsman program may be disclosed only at the
- 14 discretion of the state long-term care ombudsman.
- 15 [(c) The information in files maintained by the office may
- 16 be disclosed only by the ombudsman who has authority over the
- 17 disposition of the files.
- Sec. 101A.259. REPORTING SYSTEM. The office shall maintain
- 19 a statewide ombudsman uniform reporting system to collect and
- 20 analyze information relating to complaints and conditions in
- 21 long-term care facilities as long as such system does not duplicate
- 22 other state reporting systems. The office shall provide the
- 23 information to the <u>executive commissioner</u>, subject to <u>Section</u>
- 24 <u>101A.258</u> [department and the Health and Human Services Commission].
- Sec. 101A.260. ANALYSIS OF LAWS. (a) The office shall
- 26 analyze and monitor the development and implementation of federal,
- 27 state, and local laws, rules, regulations, and policies relating to

- 1 long-term care facilities and services and shall recommend any
- 2 changes the office considers necessary.
- 3 (b) Section 556.006(a), Government Code, does not apply to
- 4 the state long-term care ombudsman or a representative.
- 5 Sec. 101A.261. PUBLIC INFORMATION. The office shall
- 6 provide information and make recommendations to public agencies,
- 7 legislators, and other persons about [others that relates to] the
- 8 problems and concerns of [elderly] residents.
- 9 Sec. 101A.262. [ANNUAL] REPORT. (a) The office shall
- 10 prepare \underline{a} [an annual] report that contains:
- 11 (1) information and findings relating to the problems
- 12 and concerns [complaints] of [elderly] residents; and
- 13 (2) policy, regulatory, and legislative
- 14 recommendations to solve the problems, resolve the concerns
- 15 [complaints], and improve the quality of the [elderly] residents'
- 16 care and lives.
- 17 (b) The report must be submitted to the governor and the
- 18 presiding officer of each house of the legislature not later than
- 19 November 1 of each even-numbered year.
- Sec. 101A.263. LIMITATION OF LIABILITY. The state
- 21 <u>long-term care</u> [An] ombudsman or a representative is not liable for
- 22 civil damages or subject to criminal prosecution for performing
- 23 official duties unless the <u>state long-term care</u> ombudsman or
- 24 representative acts in bad faith or with a malicious purpose.
- Sec. 101A.264. CRIMINAL PENALTY. (a) A person commits an
- 26 offense if the person:
- 27 (1) by act or omission, wilfully [intentionally]

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- 1 interferes or attempts to interfere with the state long-term care
- 2 [an] ombudsman or a representative attempting to perform official
- 3 duties; or
- 4 (2) commits or attempts to commit an act of
- 5 retaliation or reprisal against any resident or employee of a
- 6 long-term care facility for filing a complaint or providing
- 7 information to the state long-term care [an] ombudsman or a
- 8 representative.
- 9 (b) An offense under this section is a Class B misdemeanor.
- 10 (c) The <u>commission</u> [<u>department</u>] shall <u>ensure</u> [<u>assure</u>] that
- 11 criminal sanctions will be initiated only after all administrative
- 12 procedures are exhausted.
- 13 SECTION 2. Section 101A.264, Human Resources Code, as
- 14 amended by this Act, applies only to an offense committed on or
- 15 after the effective date of this Act. An offense committed before
- 16 the effective date of this Act is governed by the law in effect on
- 17 the date the offense was committed, and the former law is continued
- 18 in effect for that purpose. For purposes of this section, an
- 19 offense was committed before the effective date of this Act if any
- 20 element of the offense occurred before that date.
- 21 SECTION 3. This Act takes effect September 1, 2017.