By: Kolkhorst S.B. No. 1101

A BILL TO BE ENTITLED

1	AN ACT
2	relating to the consolidation of ombudsman programs administered by
3	the Health and Human Services Commission.
4	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
5	SECTION 1. The heading to Subchapter Y, Chapter 531,
6	Government Code, is amended to read as follows:
7	SUBCHAPTER Y. <u>HEALTH AND HUMAN SERVICES</u> OMBUDSMAN <u>PROGRAMS</u> [FOR
8	THE DEPARTMENT OF FAMILY AND PROTECTIVE SERVICES]
9	SECTION 2. Section 531.991, Government Code, is amended to
10	read as follows:
11	Sec. 531.991. DEFINITIONS. In this subchapter:
12	(1) "Complaint" means any expression of
13	dissatisfaction by a consumer about a program or service of the
14	health and human services system or of the department.
15	(2) "Consumer" means:
16	(A) an applicant or client of a program of the
17	health and human services system;
18	(B) the applicant's or client's legally
19	authorized representative;
20	(C) a member of the public seeking information
21	about a program of the health and human services system; or
22	(D) a child or youth in the conservatorship of
23	the department.
24	(3) "Department" means the Department of Family and

- 1 Protective Services.
- 2 (4) (4) [(2)] "Ombudsman" means the individual appointed
- 3 as the ombudsman for an ombudsman program [the Department of Family
- 4 and Protective Services].
- 5 (5) "Ombudsman program" means an ombudsman program
- 6 administered by the commission under this subchapter.
- 7 (6) "Retaliation" means a harmful action taken because
- 8 of, or substantially motivated by, reprisal or revenge in response
- 9 to a legally protected activity, including making a good faith
- 10 complaint.
- 11 (7) "Substantiated complaint" means a complaint for
- 12 which research clearly indicates:
- (A) the policy of a program of the health and
- 14 human services system or the department was violated; or
- 15 (B) the health and human services system's
- 16 <u>expectations were not met.</u>
- SECTION 3. Subchapter Y, Chapter 531, Government Code, is
- 18 amended by adding Section 531.9912 to read as follows:
- 19 Sec. 531.9912. ESTABLISHMENT OF OMBUDSMAN PROGRAMS. The
- 20 executive commissioner shall establish the following ombudsman
- 21 programs:
- (1) the health and human services office of the
- 23 ombudsman in accordance with Section 531.9915;
- 24 (2) the ombudsman for children and youth in foster
- 25 care in accordance with Section 531.9931;
- 26 (3) the ombudsman for managed care in accordance with
- 27 Section 531.9932;

- 1 (4) the ombudsman for behavioral health access to care
- 2 <u>in accordance with Section 531.9933; and</u>
- 3 (5) the ombudsman for individuals with intellectual or
- 4 developmental disabilities in accordance with Section 531.9934.
- 5 SECTION 4. Section 531.0171, Government Code, is
- 6 transferred to Subchapter Y, Chapter 531, Government Code,
- 7 redesignated as Section 531.9915, Government Code, and amended to
- 8 read as follows:
- 9 Sec. 531.9915 [$\frac{531.0171}{}$]. OFFICE OF OMBUDSMAN. (a) The
- 10 executive commissioner shall establish the commission's office of
- 11 the ombudsman with authority and responsibility over the health and
- 12 human services system in performing the following functions:
- 13 (1) providing consumer dispute resolution services
- 14 for the health and human services system;
- 15 (2) performing consumer protection and advocacy
- 16 functions related to health and human services, including assisting
- 17 a consumer [or other interested person] with:
- 18 (A) raising a matter within the health and human
- 19 services system that the consumer [person] feels is being ignored;
- 20 and
- 21 (B) obtaining information regarding a filed
- 22 complaint; and
- 23 (3) collecting consumer inquiry and complaint data
- 24 related to the health and human services system.
- 25 (b) The office of the ombudsman does not have the authority
- 26 to process case actions or overturn a decision by the department or
- 27 a program of the health and human services system [provide a

1 separate process for resolving complaints or appeals].

- (c) The executive commissioner shall develop a standard 2 process for tracking and reporting received inquiries 3 complaints within the health and human services system. 4 process must provide for the centralized tracking of inquiries and 5 complaints submitted to field, regional, or other local health and 6 7 human services system offices, including vendors contracted to provide services on behalf of a program of the health and human 8 9 services system.
- 10 Using the process developed under Subsection (c), the office of the ombudsman shall collect inquiry and complaint data 11 from all offices, agencies, divisions, and other entities within 12 the health and human services system, including vendors contracted 13 to provide services on behalf of a program of the health and human 14 services system. To assist with the collection of data under this 15 16 subsection, the office may access any system or process for recording inquiries and complaints used or maintained within the 17 18 health and human services system.
- (e) The office of the ombudsman must be sufficiently
 independent from programs of the health and human services system
 to perform the ombudsman's duties outlined in this subchapter.
- SECTION 5. Sections 531.992 and 531.993, Government Code, are amended to read as follows:
- Sec. 531.992. <u>APPOINTMENT OF OMBUDSMAN [FOR THE DEPARTMENT</u>

 OF FAMILY AND PROTECTIVE SERVICES]. (a) The <u>executive</u>

 commissioner [governor] shall appoint an ombudsman for <u>each</u>

 multiple of the department of the protective

- 1 $\frac{\text{Services}}{\text{Services}}$ to serve at the will of the $\frac{\text{executive commissioner}}{\text{Services}}$
- 2 [governor].
- 3 (b) The ombudsman programs are managed as part of [is
- 4 administratively attached to] the office of the ombudsman for the
- 5 commission.
- 6 (c) Subject to the appropriation of money for those purposes
- 7 [that purpose], each [the] ombudsman may employ staff to assist the
- 8 ombudsman in performing the ombudsman's duties under this
- 9 subchapter.
- 10 [(d) The ombudsman may not use the name or any logo of the
- 11 department on any forms or other materials produced and distributed
- 12 by the ombudsman.
- Sec. 531.993. DUTIES OF OMBUDSMAN. (a) An [The] ombudsman
- 14 serves as a neutral party in assisting:
- 15 (1) children and youth in the conservatorship of the
- 16 department with complaints regarding issues within the authority of
- 17 the commission, the department, or \underline{a} [another] health and human
- 18 services agency; and
- 19 (2) consumers [persons] with a complaint against the
- 20 <u>commission or a health and human services agency</u> [department]
- 21 regarding case-specific activities of the programs of the
- 22 commission or agency [department, including adult protective
- 23 services, child protective services, child-care licensing, and
- 24 statewide intake].
- 25 (b) <u>An [The]</u> ombudsman shall, as applicable:
- 26 (1) develop and implement statewide procedures to:
- 27 (A) receive complaints from:

1	<u>(i)</u> children and youth in the
2	conservatorship of the department: and
3	(ii) consumers [other persons] with a
4	complaint against the commission or a health and human services
5	<pre>agency [department];</pre>
6	(B) review complaints filed with the ombudsman
7	and take appropriate action, including:
8	(i) conducting an investigation into
9	individual complaints that allege violations of commission,
10	department, or agency procedure or policy or other violations; and
11	(ii) referring to commission, department,
12	or agency management for resolution any trends or systemic issues
13	identified in complaints;
14	(C) provide any necessary assistance to $\underline{:}$
15	<u>(i)</u> children and youth in the
16	conservatorship of the department in making complaints and
17	reporting allegations of abuse or neglect to the department; $\underline{\text{and}}$
18	(ii) any consumer in making complaints to
19	the commission or a health and human services agency;
20	(D) maintain the confidentiality of:
21	(i) the ombudsman's communications and
22	records;
23	(ii) records of another person that have
24	been provided to the ombudsman; and
25	(iii) communications of another person with
26	the ombudsman; and
27	(E) ensure that the commission, the department, a

- 1 <u>health and human services agency</u>, and any <u>consumer who files a</u>
- 2 complaint with the commission, including [person or] a child or
- 3 youth in the conservatorship of the department who files a
- 4 complaint with the ombudsman, are informed of the results of the
- 5 ombudsman's investigation of the complaint, including whether the
- 6 ombudsman was able to substantiate the [person's, child's, or
- 7 youth's] complaint;
- 8 (2) collaborate with the commission, the department,
- 9 or a health and human services agency, as applicable, to develop and
- 10 implement an annual outreach plan to promote awareness of the
- 11 ombudsman among the public, children and youth in the
- 12 conservatorship of the department, [family members and caretakers
- 13 of those children, and facilities licensed by the commission
- 14 [department] and that includes:
- 15 (A) how the office may be contacted;
- 16 (B) the purpose of the office; and
- 17 (C) the services the office provides;
- 18 (3) notify in writing [issue and file with] the
- 19 commission, the department, and a [any applicable] health and human
- 20 services agency, as applicable, of [a report that contains] the
- 21 ombudsman's final determination regarding a complaint and any
- 22 recommended corrective actions to be taken as a result of the
- 23 complaint;
- 24 (4) establish a secure form of communication with any
- 25 individual who files a complaint with the ombudsman;
- 26 (5) collaborate with the <u>commission</u>, the department,
- 27 and a health and human services agency, as applicable, to identify

- 1 consequences for any retaliatory action related to a complaint
- 2 filed with the ombudsman, in accordance with Section 531.997
- 3 [40.0041(g), Human Resources Code]; and
- 4 (6) monitor and evaluate the commission's, the
- 5 department's, or a health and human services agency's corrective
- 6 actions taken in response to a recommendation by the ombudsman.
- 7 (c) An [The] ombudsman's notification [final determination
- 8 in a report described by Subsection (b)(3) must include a
- 9 determination of whether the complaint is a substantiated complaint
- 10 [there was wrongdoing or negligence by the department or an agent of
- 11 the department or whether the complaint was frivolous and without
- 12 merit]. If the ombudsman determines a complaint is a substantiated
- 13 complaint [there was wrongdoing or negligence], the ombudsman shall
- 14 recommend corrective actions to be taken by the commission, the
- 15 department, or a health and human services agency.
- 16 (d) The commission, the department, or a health and human
- 17 services agency, as applicable, shall provide written notice to an
- 18 ombudsman on whether the commission, department, or agency adopted
- 19 or rejected the ombudsman's recommended corrective action. On
- 20 rejection of the recommended corrective action, the commission,
- 21 department, or health and human services agency shall include in
- 22 the notice the reason for the rejection [The ombudsman may attend
- 23 any judicial proceeding related to a complaint filed with the
- 24 office].
- 25 SECTION 6. Section 531.9931, Government Code, is amended to
- 26 read as follows:
- 27 Sec. 531.9931. [DIVISION OF] OMBUDSMAN FOR CHILDREN AND

- 1 YOUTH IN FOSTER CARE. (a) The [division of the] ombudsman for
- 2 children and youth in foster care is created within the office of
- 3 the ombudsman for the purpose of:
- 4 (1) receiving complaints from children and youth in
- 5 the conservatorship of the department as provided under Section
- 6 531.993(a)(1);
- 7 (2) informing children and youth in the
- 8 conservatorship of the department who file a complaint under this
- 9 subchapter about the result of the ombudsman's investigation of the
- 10 complaint, including whether the ombudsman was able to substantiate
- 11 the child's or youth's complaint; and
- 12 (3) collaborating with the department to develop an
- 13 outreach plan for children and youth in the conservatorship of the
- 14 department to promote awareness of the ombudsman.
- 15 (b) If a child or youth in the conservatorship of the
- 16 department contacts <u>an</u> [the] ombudsman by telephone call to report
- 17 a complaint under this subchapter, the call shall be transferred
- 18 directly to a person employed by the [division of the] ombudsman
- 19 created under this section.
- 20 (c) The ombudsman for children and youth in foster care
- 21 shall promptly refer to the department for the department to
- 22 address in accordance with Section 40.0041, Human Resources Code,
- 23 each complaint received by the ombudsman regarding the department,
- 24 other than those made by a child or youth in the conservatorship of
- 25 the department.
- 26 (d) Not later than the fifth day of each month, the
- 27 ombudsman for children and youth in foster care shall compile and

- 1 provide to the commission and the department, as applicable, a
- 2 written report regarding the investigations the ombudsman compiled
- 3 during the preceding month. The report must include:
- 4 (1) a summary of each complaint investigated;
- 5 (2) the ombudsman's final determination on the
- 6 complaint; and
- 7 (3) any corrective action recommended by the
- 8 ombudsman.
- 9 SECTION 7. Subchapter Y, Chapter 531, Government Code, is
- 10 amended by adding Section 531.99315 to read as follows:
- Sec. 531.99315. COMPLAINT PROCESS FOR FOSTER CHILDREN AND
- 12 YOUTH SERVED BY SINGLE SOURCE CONTINUUM CONTRACTOR. (a) In this
- 13 section, "contractor" means a single source continuum contractor in
- 14 this state providing services identified under Subchapter B-1,
- 15 Chapter 264, Family Code.
- 16 (b) A child or youth in the conservatorship of the
- 17 department and served by a contractor may file a complaint directly
- 18 with the ombudsman for children and youth in foster care and is not
- 19 required to file an initial complaint with the contractor.
- 20 (c) The ombudsman for children and youth in foster care may
- 21 access the internal records of a contractor that are relevant to a
- 22 complaint filed under this section and not included in the
- 23 department's automated case tracking and information management
- 24 system.
- 25 (d) The ombudsman for children and youth in foster care
- 26 shall provide written notice of the results of the investigation of
- 27 a complaint filed under this section to:

- 1 (1) the child or youth who filed the complaint;
- 2 (2) the child's or youth's contractor; and
- 3 (3) the department.
- 4 (e) Each contractor in this state shall provide to the
- 5 ombudsman for children and youth in foster care:
- 6 (1) the contractor's contact information for the
- 7 ombudsman to receive records and provide notice under this section;
- 8 and
- 9 (2) updates to the contact information as necessary.
- 10 (f) A contractor may not directly or indirectly use or cause
- 11 to be used the term "ombudsman" to describe the contractor or the
- 12 contractor's internal complaint process.
- 13 SECTION 8. Section 531.0213, Government Code, is
- 14 transferred to Subchapter Y, Chapter 531, Government Code,
- 15 redesignated as Section 531.9932, Government Code, and amended to
- 16 read as follows:
- 17 Sec. 531.9932 [531.0213]. OMBUDSMAN FOR MANAGED CARE
- 18 [SUPPORT SERVICES FOR MEDICAID RECIPIENTS]. (a) The commission
- 19 shall establish an ombudsman for managed care to provide support
- 20 and information services to a <u>consumer</u> [person] enrolled in or
- 21 applying for Medicaid coverage who experiences barriers to
- 22 receiving health care services.
- (b) The ombudsman for managed care [commission] shall give
- 24 emphasis to assisting a $\underline{\text{consumer}}$ [$\underline{\text{person}}$] with an urgent or
- 25 immediate medical or support need.
- 26 (b-1) The commission shall provide support and information
- 27 services required by this section through a network of entities

- 1 coordinated by the [commission's office of the] ombudsman for
- 2 managed care [or other division of the commission designated by the
- 3 executive commissioner] and composed of:
- 4 (1) the [commission's office of the] ombudsman [or
- 5 other division of the commission designated by the executive
- 6 commissioner to coordinate the network];
- 7 (2) the office of the state long-term care ombudsman
- 8 required under Subchapter F, Chapter 101A, Human Resources Code;
- 9 (3) the division within the commission responsible for
- 10 oversight of Medicaid managed care contracts;
- 11 (4) area agencies on aging;
- 12 (5) aging and disability resource centers established
- 13 under the Aging and Disability Resource Center initiative funded in
- 14 part by the federal Administration on Aging and the Centers for
- 15 Medicare and Medicaid Services; and
- 16 (6) any other entity the executive commissioner
- 17 determines appropriate, including nonprofit organizations with
- 18 which the commission contracts under Subsection (c).
- 19 (c) The ombudsman for managed care [commission] may provide
- 20 support and information services by requesting the commission to
- 21 <u>contract</u> [contracting] with nonprofit organizations that are not
- 22 involved in providing health care, health insurance, or health
- 23 benefits.
- 24 (d) As a part of the support and information services
- 25 required by this section, the ombudsman for managed care
- 26 [commission] shall:
- 27 (1) operate a statewide toll-free assistance

- 1 telephone number that includes relay services for consumers
- 2 [persons] with speech or hearing disabilities and assistance for
- 3 <u>consumers</u> [persons] who speak Spanish;
- 4 (2) intervene promptly with the state Medicaid office,
- 5 managed care organizations and providers, and any other appropriate
- 6 entity on behalf of a consumer [person] who has an urgent need for
- 7 medical services;
- 8 (3) assist a <u>consumer</u> [person] who is experiencing
- 9 barriers in the Medicaid application and enrollment process and
- 10 refer the consumer [person] for further assistance if appropriate;
- 11 (4) educate <u>consumers</u> [<u>persons</u>] so that they:
- 12 (A) understand the concept of managed care;
- 13 (B) understand their rights under Medicaid,
- 14 including grievance and appeal procedures; and
- 15 (C) are able to advocate for themselves;
- 16 (5) collect and maintain statistical information on a
- 17 regional basis regarding <u>cases</u> [calls] received by the <u>ombudsman</u>
- 18 [assistance lines] and publish quarterly reports on the
- 19 commission's Internet website that:
- 20 (A) list the number of cases [calls] received by
- 21 region;
- 22 (B) identify trends in delivery and access
- 23 problems;
- (C) identify recurring barriers in the Medicaid
- 25 system; and
- 26 (D) indicate other problems identified with
- 27 Medicaid managed care;

- 1 (6) assist the state Medicaid office and managed care 2 organizations and providers in identifying and correcting
- 3 problems, including site visits to affected regions if necessary;
- 4 (7) meet the needs of all current and future Medicaid
- 5 managed care recipients, including children receiving dental
- 6 benefits and other recipients receiving benefits, under the:
- 7 (A) STAR Medicaid managed care program;
- 8 (B) STAR + PLUS Medicaid managed care program,
- 9 including the Texas Dual Eligibles Integrated Care Demonstration
- 10 Project provided under that program;
- 11 (C) STAR Kids managed care program established
- 12 under Section 533.00253; and
- 13 (D) STAR Health program; and
- 14 (8) [incorporate support services for children
- 15 enrolled in the child health plan established under Chapter 62,
- 16 Health and Safety Code; and
- [(9)] ensure that staff providing support and
- 18 information services receives sufficient training, including
- 19 training in the Medicare program for the purpose of assisting
- 20 recipients who are dually eligible for Medicare and Medicaid, and
- 21 has sufficient authority to resolve barriers experienced by
- 22 recipients to health care and long-term services and supports.
- 23 [(e) The commission's office of the ombudsman, or other
- 24 division of the commission designated by the executive commissioner
- 25 to coordinate the network of entities responsible for providing
- 26 support and information services under this section, must be
- 27 sufficiently independent from other aspects of Medicaid managed

- 1 care to represent the best interests of recipients in problem
- 2 resolution.
- 3 SECTION 9. Section 531.02251, Government Code, is
- 4 transferred to Subchapter Y, Chapter 531, Government Code,
- 5 redesignated as Section 531.9933, Government Code, and amended to
- 6 read as follows:
- 7 Sec. 531.9933 [531.02251]. OMBUDSMAN FOR BEHAVIORAL HEALTH
- 8 ACCESS TO CARE. (a) [In this section, "ombudsman" means the
- 9 individual designated as the ombudsman for behavioral health access
- 10 to care.
- 11 [(b) The executive commissioner shall designate an
- 12 ombudsman for behavioral health access to care.
- 13 [(c) The ombudsman is administratively attached to the
- 14 office of the ombudsman for the commission.
- 15 [(d) The commission may use an alternate title for the
- 16 ombudsman in consumer-facing materials if the commission
- 17 determines that an alternate title would be beneficial to consumer
- 18 understanding or access.
- 19 $\left[\frac{(e)}{e}\right]$ The ombudsman for behavioral health serves as a
- 20 neutral party to help consumers, including consumers who are
- 21 uninsured or have public or private health benefit coverage, and
- 22 behavioral health care providers navigate and resolve issues
- 23 related to consumer access to behavioral health care, including
- 24 care for mental health conditions and substance use disorders.
- 25 (b) [(f)] The ombudsman for behavioral health shall:
- 26 (1) interact with consumers and behavioral health care
- 27 providers with concerns or complaints to help the consumers and

- 1 providers resolve behavioral health care access issues, including:
- 2 (A) patients of state hospitals as defined by
- 3 Section 552.0011, Health and Safety Code; and
- 4 (B) consumers seeking and receiving services
- 5 through:
- 6 (i) a local behavioral health authority, as
- 7 described by Section 533.0356, Health and Safety Code; or
- 8 <u>(ii) a local mental health authority, as</u>
- 9 described by Section 533.035, Health and Safety Code;
- 10 (2) identify, track, and help report potential
- 11 violations of state or federal rules, regulations, or statutes
- 12 concerning the availability of, and terms and conditions of,
- 13 benefits for mental health conditions or substance use disorders,
- 14 including potential violations related to quantitative and
- 15 nonquantitative treatment limitations;
- 16 (3) report concerns, complaints, and potential
- 17 violations described by Subdivision (2) to the appropriate
- 18 regulatory or oversight agency;
- 19 (4) receive and report concerns and complaints
- 20 relating to inappropriate care or mental health commitment;
- 21 (5) provide appropriate information to help consumers
- 22 obtain behavioral health care and understand their rights;
- 23 (6) develop appropriate points of contact for
- 24 referrals to other state and federal agencies; and
- 25 (7) provide appropriate information to help consumers
- 26 or providers file appeals or complaints with the appropriate
- 27 entities, including insurers and other state and federal agencies.

- 1 (c) $\left[\frac{g}{g}\right]$ The ombudsman for behavioral health shall
- 2 participate in the mental health condition and substance use
- 3 disorder parity work group established under Section 531.02252 and
- 4 provide summary reports of concerns, complaints, and potential
- 5 violations described by Subsection (b)(2) $[\frac{(f)(2)}{2}]$ to the work
- 6 group. This subsection expires September 1, 2021.
- 7 $\underline{\text{(d)}}$ [\frac{\text{(h)}}{}] The Texas Department of Insurance shall appoint a
- 8 liaison to the ombudsman <u>for behavioral health</u> to receive reports
- 9 of concerns, complaints, and potential violations described by
- 10 Subsection (b)(2) $[\frac{(f)(2)}{2}]$ from the ombudsman, consumers, or
- 11 behavioral health care providers.
- 12 SECTION 10. Subchapter Y, Chapter 531, Government Code, is
- 13 amended by adding Section 531.9934 to read as follows:
- 14 Sec. 531.9934. OMBUDSMAN FOR INDIVIDUALS WITH INTELLECTUAL
- 15 OR DEVELOPMENTAL DISABILITIES. (a) In this section, "local
- 16 <u>intellectual</u> and <u>developmental</u> <u>disability</u> authority" has the
- 17 meaning assigned by Section 531.002, Health and Safety Code.
- 18 (b) The ombudsman for individuals with intellectual or
- 19 developmental disabilities serves as a neutral party to assist
- 20 individuals with intellectual or developmental disabilities and
- 21 the individuals' representatives and providers in navigating and
- 22 resolving issues related to services for those individuals provided
- 23 through:
- 24 (1) the home and community-based services and Texas
- 25 home living Medicaid waiver programs; and
- 26 (2) local intellectual and developmental disability
- 27 authorities.

- 1 (c) The ombudsman for individuals with intellectual or
- 2 <u>developmental disabilities shall:</u>
- 3 (1) interact with individuals with intellectual or
- 4 developmental disabilities and the individuals' representatives
- 5 and providers with concerns or complaints to assist the
- 6 individuals, representatives, providers, and local intellectual
- 7 and developmental disability authorities in resolving those
- 8 <u>issues;</u>
- 9 (2) identify, track, and assist with reporting
- 10 potential violations of state or federal rules, regulations, or
- 11 statutes concerning the rights of individuals with intellectual or
- 12 <u>developmental disabilities and services provided through:</u>
- 13 (A) the home and community-based services and
- 14 Texas home living Medicaid waiver programs; and
- 15 (B) local intellectual and developmental
- 16 disability authorities;
- 17 (3) provide appropriate information to assist
- 18 individuals with intellectual or developmental disabilities in
- 19 obtaining services and understanding their rights;
- 20 <u>(4) develop appropriate points of contact for</u>
- 21 referrals to other state and federal agencies; and
- 22 (5) provide appropriate information to assist
- 23 individuals with intellectual or developmental disabilities and
- 24 the individuals' representatives and providers in filing appeals or
- 25 complaints with the appropriate entities.
- 26 SECTION 11. Sections 531.994, 531.995, 531.996, 531.997,
- 27 and 531.998, Government Code, are amended to read as follows:

- 1 Sec. 531.994. INVESTIGATION OF UNREPORTED COMPLAINTS. If,
- 2 during the investigation of a complaint, <u>an</u> [the] ombudsman
- 3 discovers unreported violations of the commission's, the
- 4 department's, or a health and human services agency's rules and
- 5 policies, the ombudsman may [shall] open a new investigation for
- 6 each unreported violation.
- 7 Sec. 531.995. ACCESS TO INFORMATION. The commission, the
- 8 department, and each health and human services agency shall provide
- 9 <u>an</u> [the] ombudsman access to the <u>commission's</u>, department's, or
- 10 agency's records and personnel that relate to a complaint the
- 11 ombudsman is reviewing or investigating.
- 12 Sec. 531.996. COMMUNICATION AND CONFIDENTIALITY. (a) A
- 13 person may communicate with an [the] ombudsman relating to a
- 14 complaint by telephone, by mail, by electronic mail, or by any other
- 15 means the ombudsman determines to be feasible, secure, and
- 16 accessible [to children and youth].
- 17 (b) A communication with an [the] ombudsman is confidential
- 18 during an investigation or review of a complaint and remains
- 19 confidential after the complaint is resolved.
- (c) The records of \underline{an} [the] ombudsman are confidential and
- 21 must be maintained in a manner that preserves the confidentiality
- 22 of the records.
- 23 (d) The disclosure of confidential information to an [the]
- 24 ombudsman under this section or Section 531.995 does not constitute
- 25 a waiver of confidentiality. Any information disclosed to the
- 26 ombudsman under this section or Section 531.995 remains
- 27 confidential and privileged following disclosure.

- 1 (e) An [The] ombudsman is not prohibited from communicating
- 2 with the <u>commission</u>, the department, or \underline{a} [another] health and
- 3 human services agency regarding confidential information disclosed
- 4 to the ombudsman by the commission, department, or agency.
- 5 (f) \underline{An} [The] ombudsman may make reports relating to an
- 6 investigation of a complaint public after the complaint is
- 7 resolved. A report may not include information that identifies an
- 8 individual consumer [complainant], client, parent, or employee or
- 9 any other person involved in the complaint.
- 10 Sec. 531.997. RETALIATION PROHIBITED. The commission, the
- 11 department, or \underline{a} [another] health and human services agency may not
- 12 retaliate against a commission, department, or agency employee, a
- 13 child or youth in the conservatorship of the department, or any
- 14 other consumer [person] who in good faith makes a complaint to an
- 15 [the] ombudsman or against any person who cooperates with the
- 16 ombudsman in an investigation.
- Sec. 531.998. REPORT. (a) The <u>health and human services</u>
- 18 office of the ombudsman shall prepare an annual report that
- 19 contains:
- 20 (1) a description of the [ombudsman's] work of the
- 21 commission's ombudsman programs;
- 22 (2) any change made by the <u>commission</u>, the department,
- 23 or a [another] health and human services agency in response to a
- 24 substantiated complaint;
- 25 (3) a description of any trends in the nature of
- 26 complaints received by \underline{an} [$\pm he$] ombudsman, any recommendations
- 27 related to addressing those trends, and an evaluation of the

- 1 feasibility of the ombudsman's recommendations;
- 2 (4) a glossary of terms used in the report;
- 3 (5) a description of the methods used to promote
- 4 awareness of the ombudsman under Section 531.993(b) and the
- 5 ombudsman's promotion plan for the next year; and
- 6 (6) any public feedback received by the ombudsman
- 7 relating to the ombudsman's previous annual reports.
- 8 (b) The report must be submitted to the governor, the
- 9 lieutenant governor, each standing committee of the legislature
- 10 with jurisdiction over matters involving the commission, the
- 11 department, and each health and human services agency, each member
- 12 of the legislature, the executive commissioner, and the
- 13 commissioner of the department not later than December 1 of each
- 14 year. On receipt of the report, [the department and] the commission
- 15 shall make the report publicly available on [the department's and]
- 16 the commission's Internet website [websites].
- 17 SECTION 12. Sections 531.9921 and 531.9941, Government
- 18 Code, are repealed.
- 19 SECTION 13. If before implementing any provision of this
- 20 Act a state agency determines that a waiver or authorization from a
- 21 federal agency is necessary for implementation of that provision,
- 22 the agency affected by the provision shall request the waiver or
- 23 authorization and may delay implementing that provision until the
- 24 waiver or authorization is granted.
- 25 SECTION 14. This Act takes effect immediately if it
- 26 receives a vote of two-thirds of all the members elected to each
- 27 house, as provided by Section 39, Article III, Texas Constitution.

S.B. No. 1101

- 1 If this Act does not receive the vote necessary for immediate
- 2 effect, this Act takes effect September 1, 2019.