S.B. No. 1101 By: Kolkhorst (Turner of Dallas) Substitute the following for S.B. No. 1101: By: Frank C.S.S.B. No. 1101 A BILL TO BE ENTITLED 1 AN ACT 2 relating to the consolidation of ombudsman programs administered by 3 the Health and Human Services Commission. BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS: 4 5 SECTION 1. Section 263.008(b), Family Code, is amended to read as follows: 6 It is the policy of this state that each child in foster 7 (b) care be informed of the child's rights provided by state or federal 8 9 law or policy that relate to: abuse, neglect, exploitation, discrimination, and 10 (1)11 harassment;

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(2) food, clothing, shelter, and education;

13 (3) medical, dental, vision, and mental health14 services, including the right of the child to consent to treatment;

(4) emergency behavioral intervention, including what
methods are permitted, the conditions under which it may be used,
and the precautions that must be taken when administering it;

18 (5) placement with the child's siblings and contact19 with members of the child's family;

20 (6) privacy and searches, including the use of storage
21 space, mail, and the telephone;

(7) participation in school-related extracurricularor community activities;

24 (8) interaction with persons outside the foster care

system, including teachers, church members, mentors, and friends; 1 (9) contact and communication with 2 caseworkers, attorneys ad litem, guardians ad litem, and court-appointed special 3 advocates; 4 5 (10)religious services and activities; (11)confidentiality of the child's records; 6 7 job skills, personal finances, and preparation (12)8 for adulthood; participation in a court hearing that involves 9 (13)the child; 10 participation in the development of service and 11 (14)12 treatment plans; if the child has a disability, the advocacy and 13 (15)14 protection of the rights of a person with that disability; [and] 15 (16)notification of the outcome of any of the following investigations in which the child is involved: 16 17 (A) an abuse or neglect investigation conducted 18 by the department; 19 (B) a minimum standard investigation conducted by the Health and Human Services Commission; or 20 21 (C) an investigation of a complaint to the ombudsman for children and youth in foster care; and 22 23 (17) any other matter affecting the child's ability to 24 receive care and treatment in the least restrictive environment that is most like a family setting, consistent with the best 25 interests and needs of the child. 26 SECTION 2. The heading to Subchapter Y, Chapter 531, 27

Government Code, is amended to read as follows: 1 SUBCHAPTER Y. HEALTH AND HUMAN SERVICES OMBUDSMAN PROGRAMS [FOR 2 THE DEPARTMENT OF FAMILY AND PROTECTIVE SERVICES] 3 4 SECTION 3. Section 531.991, Government Code, is amended to 5 read as follows: 6 Sec. 531.991. DEFINITIONS. In this subchapter: (1) "Complaint" means any expression of 7 8 dissatisfaction by a consumer about a program or service of the health and human services system or of the department. 9 (2) "Consumer" means: 10 (A) an applicant or client of a program of the 11 12 health and human services system; (B) the applicant's or client's legally 13 14 authorized representative; 15 (C) a member of the public seeking information about a program of the health and human services system; or 16 17 (D) a child or youth in the conservatorship of 18 the department. 19 (3) "Department" means the Department of Family and Protective Services. 20 (4) [(2)] "Ombudsman" means the individual appointed 21 as the ombudsman for an ombudsman program [the Department of Family 22 and Protective Services]. 23 24 (5) "Ombudsman program" means an ombudsman program 25 administered by the commission under this subchapter. 26 (6) "Retaliation" means a harmful action taken because of, or substantially motivated by, reprisal or revenge in response 27

C.S.S.B. No. 1101 to a legally protected activity, including making a good faith 1 2 complaint. 3 (7) "Substantiated complaint" means a complaint for which research clearly indicates: 4 5 (A) the policy of a program of the health and human services system or the department was violated; or 6 (B) the health an<u>d human services system's</u> 7 8 expectations were not met. SECTION 4. Subchapter Y, Chapter 531, Government Code, is 9 amended by adding Section 531.9912 to read as follows: 10 Sec. 531.9912. ESTABLISHMENT OF OMBUDSMAN PROGRAMS. The 11 executive commissioner shall establish the following ombudsman 12 13 programs: 14 (1) the health and human services office of the 15 ombudsman in accordance with Section 531.9915; 16 (2) the ombudsman for children and youth in foster 17 care in accordance with Section 531.9931; (3) the ombudsman for managed care in accordance with 18 19 Section 531.9932; (4) the ombudsman for behavioral health access to care 20 in accordance with Section 531.9933; and 21 (5) the ombudsman for individuals with intellectual or 22 developmental disabilities in accordance with Section 531.9934. 23 SECTION 5. Section 24 531.0171, Government Code, is transferred to Subchapter Y, Chapter 531, Government Code, 25 26 redesignated as Section 531.9915, Government Code, and amended to 27 read as follows:

1 Sec. <u>531.9915</u> [<u>531.0171</u>]. OFFICE OF OMBUDSMAN. (a) The 2 executive commissioner shall establish the commission's office of 3 the ombudsman with authority and responsibility over the health and 4 human services system in performing the following functions:

5 (1) providing <u>consumer</u> dispute resolution services
6 for the health and human services system;

7 (2) performing consumer protection and advocacy
8 functions related to health and human services, including assisting
9 a consumer [or other interested person] with:

10 (A) raising a matter within the health and human 11 services system that the <u>consumer</u> [person] feels is being ignored; 12 and

13 (B) obtaining information regarding a filed14 complaint; and

15 (3) collecting <u>consumer</u> inquiry and complaint data
16 related to the health and human services system.

(b) The office of the ombudsman does not have the authority to process case actions or overturn a decision by the department or a program of the health and human services system [provide a separate process for resolving complaints or appeals].

(c) The executive commissioner shall develop a standard 21 process for tracking and reporting received inquiries 22 and complaints within the health and human services system. 23 The 24 process must provide for the centralized tracking of inquiries and complaints submitted to field, regional, or other local health and 25 26 human services system offices, including vendors contracted to provide services on behalf of a program of the health and human 27

1 services system.

Using the process developed under Subsection (c), the 2 (d) office of the ombudsman shall collect inquiry and complaint data 3 from all offices, agencies, divisions, and other entities within 4 the health and human services system, including vendors contracted 5 to provide services on behalf of a program of the health and human 6 7 services system. To assist with the collection of data under this 8 subsection, the office may access any system or process for recording inquiries and complaints used or maintained within the 9 10 health and human services system.

11 (e) The office of the ombudsman must be sufficiently 12 independent from programs of the health and human services system 13 to perform the ombudsman's duties outlined in this subchapter.

SECTION 6. Sections 531.992 and 531.993, Government Code, are amended to read as follows:

16 Sec. 531.992. <u>APPOINTMENT OF</u> OMBUDSMAN [FOR THE DEPARTMENT 17 OF FAMILY AND PROTECTIVE SERVICES]. (a) The <u>executive</u> 18 <u>commissioner</u> [governor] shall appoint an ombudsman for <u>each</u> 19 <u>ombudsman program</u> [the Department of Family and Protective 20 <u>Services</u>] to serve at the will of the <u>executive commissioner</u> 21 [governor].

(b) The ombudsman programs are managed as part of [is
 administratively attached to] the office of the ombudsman for the
 commission.

(c) Subject to the appropriation of money for <u>those purposes</u>
 [that purpose], <u>each</u> [the] ombudsman may employ staff to assist the
 ombudsman in performing the ombudsman's duties under this

1	subchapter.
2	[(d) The ombudsman may not use the name or any logo of the
3	department on any forms or other materials produced and distributed
4	by the ombudsman.]
5	Sec. 531.993. DUTIES OF OMBUDSMAN. (a) <u>An</u> [The] ombudsman
6	serves as a neutral party in assisting:
7	(1) children and youth in the conservatorship of the
8	department with complaints regarding issues within the authority of
9	the <u>commission, the</u> department <u>,</u> or <u>a</u> [another] health and human
10	services agency; and
11	(2) <u>consumers</u> [persons] with a complaint against the
12	commission or a health and human services agency [department]
13	regarding case-specific activities of the programs of the
14	<pre>commission or agency [department, including adult protective</pre>
15	services, child protective services, child-care licensing, and
16	statewide intake].
17	(b) <u>An</u> [The] ombudsman shall <u>, as applicable</u> :
18	(1) develop and implement statewide procedures to:
19	(A) receive complaints from <u>:</u>
20	<u>(i)</u> children and youth in the
21	conservatorship of the department; and
22	<u>(ii) consumers</u> [other persons] with a
23	complaint against the commission or a health and human services
24	<pre>agency [department];</pre>
25	(B) review complaints filed with the ombudsman
26	and take appropriate action, including:
27	(i) conducting an investigation into

C.S.S.B. No. 1101 1 individual complaints that allege violations of commission, department, or agency procedure or policy or other violations; and 2 3 (ii) referring to commission, department, or agency management for resolution any trends or systemic issues 4 5 identified in complaints; (C) provide any necessary assistance to: 6 (i) children 7 and youth in the 8 conservatorship of the department in making complaints and reporting allegations of abuse or neglect to the department; and 9 10 (ii) any consumer in making complaints to the commission or a health and human services agency; 11 12 (D) maintain the confidentiality of: 13 (i) the ombudsman's communications and 14 records; 15 (ii) records of another person that have been provided to the ombudsman; and 16 17 (iii) communications of another person with the ombudsman; and 18 19 (E) ensure that the commission, the department, a health and human services agency, and any consumer who files a 20 complaint with the commission, including [person or] a child or 21 youth in the conservatorship of the department who files a 22 complaint with the ombudsman, are informed of the results of the 23 24 ombudsman's investigation of the complaint, including whether the ombudsman was able to substantiate the [person's, child's, or 25 26 youth's] complaint; 27 collaborate with the commission, the department, (2)

1 or a health and human services agency, as applicable, to develop and implement an annual outreach plan to promote awareness of the 2 3 ombudsman among the public, children and youth in the conservatorship of the department, [family members and caretakers 4 5 of those children,] and facilities licensed by the commission [department] and that includes: 6

(A)

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(B) the purpose of the office; and

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(C) the services the office provides;

how the office may be contacted;

10 (3) <u>notify in writing</u> [issue and file with] the 11 <u>commission, the</u> department, and <u>a</u> [any applicable] health and human 12 services agency, as applicable, of [a report that contains] the 13 ombudsman's final determination regarding a complaint and any 14 recommended corrective actions to be taken as a result of the 15 complaint;

16 (4) establish a secure form of communication with any17 individual who files a complaint with the ombudsman;

(5) collaborate with the <u>commission, the</u> department, and a health and human services agency, as applicable, to identify consequences for any retaliatory action related to a complaint filed with the ombudsman, in accordance with Section <u>531.997</u> [40.0041(g), Human Resources Code]; and

(6) monitor and evaluate the <u>commission's, the</u>
department's, or a health and human services agency's corrective
actions taken in response to a recommendation by the ombudsman.

26 (c) <u>An</u> [The] ombudsman's <u>notification</u> [final determination 27 <u>in a report</u>] described by Subsection (b)(3) must include a

determination of whether <u>the complaint is a substantiated complaint</u>
[there was wrongdoing or negligence by the department or an agent of
the department or whether the complaint was frivolous and without
merit]. If the ombudsman determines <u>a complaint is a substantiated</u>
complaint [there was wrongdoing or negligence], the ombudsman shall
recommend corrective actions to be taken by the <u>commission, the</u>
department, or a health and human services agency.

8 (d) The commission, the department, or a health and human services agency, as applicable, shall provide written notice to an 9 ombudsman on whether the commission, department, or agency adopted 10 or rejected the ombudsman's recommended corrective action. On 11 12 rejection of the recommended corrective action, the commission, department, or health and human services agency shall include in 13 the notice the reason for the rejection [The ombudsman may attend 14 any judicial proceeding related to a complaint filed with the 15 office]. 16

SECTION 7. Section 531.9931, Government Code, is amended to read as follows:

Sec. 531.9931. [DIVISION OF] OMBUDSMAN FOR CHILDREN AND YOUTH IN FOSTER CARE. (a) The [division of the] ombudsman for children and youth in foster care is created within the office of the ombudsman for the purpose of:

(1) receiving complaints from children and youth in the conservatorship of the department as provided under Section 531.993(a)(1);

(2) informing children and youth in theconservatorship of the department who file a complaint under this

1 subchapter about the result of the ombudsman's investigation of the 2 complaint, including whether the ombudsman was able to substantiate 3 the child's or youth's complaint; and

4 (3) collaborating with the department to develop an 5 outreach plan for children and youth in the conservatorship of the 6 department to promote awareness of the ombudsman.

7 (b) If a child or youth in the conservatorship of the 8 department contacts <u>an</u> [the] ombudsman by telephone call to report 9 a complaint under this subchapter, the call shall be transferred 10 directly to a person employed by the [division of the] ombudsman 11 created under this section.

12 (c) The ombudsman for children and youth in foster care 13 shall promptly refer to the department for the department to 14 address in accordance with Section 40.0041, Human Resources Code, 15 each complaint received by the ombudsman regarding the department, 16 other than those made by a child or youth in the conservatorship of 17 the department.

18 (d) Not later than the fifth day of each month, the 19 ombudsman for children and youth in foster care shall compile and 20 provide to the department and the commission's child care licensing 21 division a written report regarding the investigations completed 22 during the preceding month. For each complaint investigated, the 23 report must include: 24 (1) a summary of the complaint;

25 (2) the ombudsman's final determination; and

- 26 (3) any corrective action recommended by the
- 27 <u>ombudsman.</u>

1 (e) The department or the commission's child care licensing 2 division, as applicable, shall provide written notice to the 3 ombudsman for children and youth in foster care on whether the 4 department or division adopted or rejected any of the ombudsman's 5 recommended corrective actions. If the department or division 6 rejects a recommended corrective action, the department or division 7 shall include in the notice the reason for the rejection.

8 SECTION 8. Subchapter Y, Chapter 531, Government Code, is 9 amended by adding Section 531.99315 to read as follows:

Sec. 531.99315. COMPLAINT PROCESS FOR FOSTER CHILDREN AND 10 YOUTH. A child or youth in the conservatorship of the department 11 12 may file a complaint directly with an ombudsman, regardless of whether the child or youth is served directly by the department or 13 by a single source continuum contractor providing services for the 14 15 department under Subchapter B-1, Chapter 264, Family Code, as added by Chapter 319 (S.B. 11), Acts of the 85th Legislature, Regular 16 17 Session, 2017.

18 SECTION 9. Section 531.0213, Government Code, is 19 transferred to Subchapter Y, Chapter 531, Government Code, 20 redesignated as Section 531.9932, Government Code, and amended to 21 read as follows:

22 Sec. <u>531.9932</u> [<u>531.0213</u>]. <u>OMBUDSMAN FOR MANAGED CARE</u> 23 [<u>SUPPORT SERVICES FOR MEDICAID RECIPIENTS</u>]. (a) The commission 24 shall <u>establish an ombudsman for managed care to</u> provide support 25 and information services to a <u>consumer</u> [person] enrolled in or 26 applying for Medicaid coverage who experiences barriers to 27 receiving health care services.

1 (b) The <u>ombudsman for managed care</u> [commission] shall give 2 emphasis to assisting a <u>consumer</u> [person] with an urgent or 3 immediate medical or support need.

4 (b-1) The commission shall provide support and information
5 services required by this section through a network of entities
6 coordinated by the [commission's office of the] ombudsman for
7 managed care [or other division of the commission designated by the
8 executive commissioner] and composed of:

9 (1) the [commission's office of the] ombudsman [or 10 other division of the commission designated by the executive 11 commissioner to coordinate the network];

12 (2) the office of the state long-term care ombudsman
13 required under Subchapter F, Chapter 101A, Human Resources Code;

14 (3) the division within the commission responsible for15 oversight of Medicaid managed care contracts;

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(4) area agencies on aging;

(5) aging and disability resource centers established under the Aging and Disability Resource Center initiative funded in part by the federal Administration on Aging and the Centers for Medicare and Medicaid Services; and

(6) any other entity the executive commissioner determines appropriate, including nonprofit organizations with which the commission contracts under Subsection (c).

(c) The <u>ombudsman for managed care</u> [commission] may provide
 support and information services by <u>requesting the commission to</u>
 <u>contract</u> [contracting] with nonprofit organizations that are not
 involved in providing health care, health insurance, or health

1 benefits.

2 (d) As a part of the support and information services 3 required by this section, the ombudsman for managed care [commission] shall: 4

5 (1) operate а statewide toll-free assistance telephone number that includes relay services for consumers 6 7 [persons] with speech or hearing disabilities and assistance for 8 consumers [persons] who speak Spanish;

9 intervene promptly with the state Medicaid office, (2) 10 managed care organizations and providers, and any other appropriate entity on behalf of a consumer [person] who has an urgent need for 11 medical services; 12

(3) assist a consumer [person] who is experiencing 13 14 barriers in the Medicaid application and enrollment process and 15 refer the consumer [person] for further assistance if appropriate; (4) educate consumers [persons] so that they:

17 (A) understand the concept of managed care; (B) understand their rights under Medicaid, 18 19 including grievance and appeal procedures; and

20 are able to advocate for themselves; (C)

(B)

(5) collect and maintain statistical information on a 21 regional basis regarding cases [calls] received by the ombudsman 22 [assistance lines] 23 and publish quarterly reports on the 24 commission's Internet website that:

25 (A) list the number of cases [calls] received by 26 region;

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16

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identify trends in delivery and

access

C.S.S.B. No. 1101 1 problems; 2 (C) identify recurring barriers in the Medicaid 3 system; and 4 (D) indicate other problems identified with 5 Medicaid managed care; (6) assist the state Medicaid office and managed care 6 7 organizations and providers in identifying and correcting 8 problems, including site visits to affected regions if necessary; 9 (7) meet the needs of all current and future Medicaid 10 managed care recipients, including children receiving dental benefits and other recipients receiving benefits, under the: 11 STAR Medicaid managed care program; 12 (A) STAR+PLUS [STAR + PLUS] Medicaid managed care 13 (B) program, including the Texas Dual Eligibles Integrated Care 14 Demonstration Project provided under that program; 15 16 (C) STAR Kids managed care program established 17 under Section 533.00253; and STAR Health program; and 18 (D) 19 (8) [incorporate support services for children enrolled in the child health plan established under Chapter 20 Health and Safety Code; and 21 ensure that staff providing 22 [(9)] support and 23 information services receives sufficient training, including 24 training in the Medicare program for the purpose of assisting recipients who are dually eligible for Medicare and Medicaid, and 25 26 has sufficient authority to resolve barriers experienced by recipients to health care and long-term services and supports. 27

[(e) The commission's office of the ombudsman, or other 1 division of the commission designated by the executive commissioner 2 to coordinate the network of entities responsible for providing 3 support and information services under this section, must be 4 sufficiently independent from other aspects of Medicaid managed 5 care to represent the best interests of recipients in problem 6 resolution.] 7 SECTION 10. Section 531.02251, Government 8 Code, is transferred to Subchapter Y, Chapter 531, Government Code, 9 10 redesignated as Section 531.9933, Government Code, and amended to read as follows: 11 Sec. 531.9933 [531.02251]. OMBUDSMAN FOR BEHAVIORAL HEALTH 12 ACCESS TO CARE. (a) [In this section, "ombudsman" means the 13 individual designated as the ombudsman for behavioral health access 14 15 to care. [(b) The executive commissioner shall designate 16 ombudsman for behavioral health access to care. 17 [(c) The ombudsman is administratively attached to the 18 office of the ombudsman for the commission. 19 [(d) The commission may use an alternate title for the 20 ombudsman in consumer-facing materials if the commission 21 determines that an alternate title would be beneficial to consumer 22 23 understanding or access. 24 [(e)] The ombudsman <u>for behavioral health</u> serves as a neutral party to help consumers, including consumers who are 25 26 uninsured or have public or private health benefit coverage, and behavioral health care providers navigate and resolve issues 27

C.S.S.B. No. 1101 related to consumer access to behavioral health care, including 1 care for mental health conditions and substance use disorders. 2 3 (b) [(f)] The ombudsman for behavioral health shall: 4 (1)interact with consumers and behavioral health care 5 providers with concerns or complaints to help the consumers and providers resolve behavioral health care access issues, including: 6 7 (A) patients of state hospitals as defined by Section 552.0011, Health and Safety Code; and 8 (B) consumers seeking and receiving services 9 10 through: (i) a local behavioral health authority, as 11 12 described by Section 533.0356, Health and Safety Code; or (ii) a local mental health authority, as 13 described by Section 533.035, Health and Safety Code; 14 15 (2) identify, track, and help report potential 16 violations of state or federal rules, regulations, or statutes 17 concerning the availability of, and terms and conditions of, benefits for mental health conditions or substance use disorders, 18 including potential violations related to quantitative 19 and nonquantitative treatment limitations; 20 21 report concerns, complaints, and (3) potential violations described by Subdivision (2) to 22 the appropriate 23 regulatory or oversight agency; 24 (4) receive and report concerns and complaints 25 relating to inappropriate care or mental health commitment; 26 (5) provide appropriate information to help consumers 27 obtain behavioral health care and understand their rights;

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(6) develop appropriate points of contact for referrals to other state and federal agencies; and 2

3 (7) provide appropriate information to help consumers or providers file appeals or complaints with the appropriate 4 5 entities, including insurers and other state and federal agencies.

(c) [(g)] The ombudsman for behavioral health 6 shall participate in the mental health condition and substance use 7 8 disorder parity work group established under Section 531.02252 and provide summary reports of concerns, complaints, and potential 9 10 violations described by Subsection (b)(2) [(f)(2)] to the work group. This subsection expires September 1, 2021. 11

12 (d) [(h)] The Texas Department of Insurance shall appoint a liaison to the ombudsman for behavioral health to receive reports 13 of concerns, complaints, and potential violations described by 14 15 Subsection (b)(2) [(f)(2)] from the ombudsman, consumers, or behavioral health care providers. 16

17 SECTION 11. Subchapter Y, Chapter 531, Government Code, is amended by adding Section 531.9934 to read as follows: 18

19 Sec. 531.9934. OMBUDSMAN FOR INDIVIDUALS WITH INTELLECTUAL OR DEVELOPMENTAL DISABILITIES. (a) In this section, 20 "local intellectual and developmental disability authority" has the 21 meaning assigned by Section 531.002, Health and Safety Code. 22

(b) The ombudsman for individuals with intellectual or 23 24 developmental disabilities serves as a neutral party to assist individuals with intellectual or developmental disabilities and 25 26 the individuals' representatives and providers in navigating and resolving issues related to services for those individuals provided 27

1 through: 2 (1) the home and community-based services and Texas 3 home living Medicaid waiver programs; and 4 (2) local intellectual and developmental disability 5 authorities. 6 (c) The ombudsman for individuals with intellectual or 7 developmental disabilities shall: 8 (1) interact with individuals with intellectual or developmental disabilities and the individuals' representatives 9 and providers with concerns or complaints to assist the 10 individuals, representatives, providers, and local intellectual 11 12 and developmental disability authorities in resolving those 13 iss<u>ues;</u> (2) identify, track, and assist with reporting 14 15 potential violations of state or federal rules, regulations, or statutes concerning the rights of individuals with intellectual or 16 17 developmental disabilities and services provided through: (A) the home and community-based services and 18 19 Texas home living Medicaid waiver programs; and (B) local intellectual and developmental 20 disability authorities; 21 (3) provide appropriate information to assist 22 individuals with intellectual or developmental disabilities in 23 24 obtaining services and understanding their rights; (4) develop appropriate points of contact for 25 26 referrals to other state and federal agencies; and 27 (5) provide appropriate information to assist

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1 <u>individuals with intellectual or developmental disabilities and</u>
2 <u>the individuals' representatives and providers in filing appeals or</u>
3 <u>complaints with the appropriate entities.</u>

4 SECTION 12. Sections 531.994, 531.995, 531.996, 531.997, 5 and 531.998, Government Code, are amended to read as follows:

6 Sec. 531.994. INVESTIGATION OF UNREPORTED COMPLAINTS. If, 7 during the investigation of a complaint, <u>an</u> [the] ombudsman 8 discovers unreported violations of the <u>commission's</u>, the 9 department's, or a health and human services agency's rules and 10 policies, the ombudsman <u>may</u> [shall] open a new investigation for 11 each unreported violation.

Sec. 531.995. ACCESS TO INFORMATION. The <u>commission</u>, the department, and each health and human services agency shall provide <u>an</u> [the] ombudsman access to the <u>commission's</u>, department's, or agency's records <u>and personnel</u> that relate to a complaint the ombudsman is reviewing or investigating.

Sec. 531.996. COMMUNICATION AND CONFIDENTIALITY. (a) A person may communicate with <u>an</u> [the] ombudsman relating to a complaint by telephone, by mail, by electronic mail, or by any other means the ombudsman determines to be feasible, secure, and accessible [to children and youth].

(b) A communication with <u>an</u> [the] ombudsman is confidential during an investigation or review of a complaint and remains confidential after the complaint is resolved.

(c) The records of <u>an</u> [the] ombudsman are confidential and must be maintained in a manner that preserves the confidentiality of the records.

1 (d) The disclosure of confidential information to <u>an</u> [the] 2 ombudsman under this section or Section 531.995 does not constitute 3 a waiver of confidentiality. Any information disclosed to the 4 ombudsman under this section or Section 531.995 remains 5 confidential and privileged following disclosure.

6 (e) <u>An</u> [The] ombudsman is not prohibited from communicating 7 with the <u>commission, the</u> department, or <u>a</u> [another] health and 8 human services agency regarding confidential information disclosed 9 to the ombudsman by the <u>commission</u>, department, or agency.

10 (f) <u>An</u> [The] ombudsman may make reports relating to an 11 investigation of a complaint public after the complaint is 12 resolved. A report may not include information that identifies an 13 individual <u>consumer</u> [complainant], client, parent, or employee or 14 any other person involved in the complaint.

Sec. 531.997. RETALIATION PROHIBITED. The <u>commission, the</u> department, or <u>a</u> [another] health and human services agency may not retaliate against a <u>commission</u>, department, <u>or agency</u> employee, a child or youth in the conservatorship of the department, or any other <u>consumer</u> [person] who in good faith makes a complaint to <u>an</u> [<u>the</u>] ombudsman or against any person who cooperates with the ombudsman in an investigation.

22 Sec. 531.998. REPORT. (a) The <u>health and human services</u> 23 <u>office of the</u> ombudsman shall prepare an annual report that 24 contains:

(1) a description of the [ombudsman's] work of the
 26 commission's ombudsman programs;

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(2) any change made by the <u>commission, the</u> department,

1 or <u>a</u> [another] health and human services agency in response to a
2 substantiated complaint;

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3 (3) a description of any trends in the nature of 4 complaints received by <u>an</u> [the] ombudsman, any recommendations 5 related to addressing those trends, and an evaluation of the 6 feasibility of the ombudsman's recommendations;

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(4) a glossary of terms used in the report;

8 (5) a description of the methods used to promote 9 awareness of the ombudsman under Section 531.993(b) and the 10 ombudsman's promotion plan for the next year; and

(6) any public feedback received by the ombudsmanrelating to the ombudsman's previous annual reports.

The report must be submitted to the governor, the 13 (b) 14 lieutenant governor, each standing committee of the legislature 15 with jurisdiction over matters involving the commission, the department, and each health and human services agency, each member 16 17 of the legislature, the executive commissioner, and the commissioner of the department not later than December 1 of each 18 19 year. On receipt of the report, [the department and] the commission shall make the report publicly available on [the department's and] 20 the commission's Internet website [websites]. 21

22 SECTION 13. Sections 531.9921 and 531.9941, Government 23 Code, are repealed.

SECTION 14. If before implementing any provision of this Act a state agency determines that a waiver or authorization from a federal agency is necessary for implementation of that provision, the agency affected by the provision shall request the waiver or

authorization and may delay implementing that provision until the
 waiver or authorization is granted.

3 SECTION 15. This Act takes effect immediately if it 4 receives a vote of two-thirds of all the members elected to each 5 house, as provided by Section 39, Article III, Texas Constitution. 6 If this Act does not receive the vote necessary for immediate 7 effect, this Act takes effect September 1, 2019.