House Committee on Public Health

The State Auditor's Office (SAO) reports below may be of interest to the Committee while addressing the Speaker of the House of Representatives' interim charge regarding monitoring the State Auditor's review of agencies and programs. The reports included were released during fiscal years 2019 and 2020. Since the previous document was provided, the list of reports has been updated to include reports released after January 2020. These reports may relate to the agencies under the Committee's jurisdiction and/or other interim charges issued to the Committee. Our Office will continue to keep the Committee informed of any additional reports released that may be of interest.

The reports listed below are divided into two sections: (1) audit and review reports and reports completed by the State Classification Team and (2) reports on work completed to follow up on select recommendations previously issued by the SAO and/or the Sunset Advisory Commission.

The issue ratings for each applicable performance audit are included to indicate the rating categories identified for the report. Individual results contribute to the overall chapter/subchapter issue ratings. See the final page of this document for additional information regarding the issue ratings. However, some types of reports are not rated on this scale. Each report number is hyperlinked to the full report on the SAO website.

State Auditor's Office Contact Information

First Assistant State Auditor Lisa R. Collier, CPA, CFE, CIDA, and the State Auditor's Office personnel are available as a resource to the Committee on any of our reports.

For additional information regarding any report, please contact:

Verma Elliott, Assistant State Auditor, (512) 936-9300, verma.elliott@sao.texas.gov

State Auditor's Office website: https://www.sao.texas.gov

Address: Robert E. Johnson, Sr. Building, 1501 North Congress Ave., Austin, TX 78701

Report Title	Report Number	Release Date	Report Ratings
Reports Released			
An Audit Report on Licensing and Enforcement at the Board of Chiropractic Examiners	20-041	08/28/2020	
Best Practices Guide: Applying for an Occupational License After Conviction or Deferred Adjudication	20-327	08/14/2020	
An Audit Report on Texas Children's Health Plan, a Managed Care Organization	20-032	06/11/2020	
An Audit Report on Cybersecurity at the Texas Medical Board	20-031	05/29/2020	
A Report on Contract Monitoring Assessment at Certain State Agencies *(see the report for the 25 agencies and higher education institutions included)*	20-028	04/15/2020	
A Report on Health and Human Services Commission Contracts	20-026	03/23/2020	
An Audit Report on Manufactured Foods Program Inspection Processes at the Department of State Health Services	20-021	01/17/2020	
A Report on Analysis of Quality Assurance Team Projects	20-010	11/22/2019	

Entities included:
- Department of Insurance
- Health and Human Services Commission
- Department of Motor Vehicles
- Texas Workforce Commission
- Department of State Health Services

An Audit Report on the Health and Human Services Commission's Use of Remedies in Managed Care Contracts	20-008	11/01/2019	
An Audit Report on the Texas Peer Assistance Program for Nurses	19-036	06/11/2019	
An Audit Report on the Health and Human Services Commission's System of Contract Operation and Reporting	19-028	02/05/2019	
An Audit Report on Medicaid Managed Care Contract Processes at the Health and Human Services Commission	19-025	01/29/2019	

STATE AUDITOR'S OFFICE

Report Title	Report Number	Release Date	Report Ratings
An Audit Report on Amerigroup Texas, Inc. and Amerigroup Insurance Company, a Managed Care Organization	19-011	11/27/2018	● ● ● ○
An Audit Report on Selected Contracts at the Health and Human Services Commission	19-010	11/26/2018	● ● ● ●
A Report on Analysis of Quality Assurance Team Projects	19-007	11/09/2018	

Entities included:

- Office of the Comptroller of Public Accounts
- Texas Workforce Commission
- Commission on State Emergency Communications
- Department of State Health Services

Report Title	Report Number	Release Date	Report Ratings

Review of Prior Recommendations

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	20-030	04/27/2020

Implementation status verified for:

- Alcoholic Beverage Commission
- Department of State Health Services
- Texas Facilities Commission
- Department of Agriculture
- Department of Transportation
- Department of Public Safety
- Parks and Wildlife Department

A Report on the Implementation Status of Prior State Auditor's Office Recommendations	19-027	02/06/2019

Entities included:

- Department of Motor Vehicles
- Office of the Attorney General
- Water Development Board
- Department of State Health Services
- Office of the Comptroller of Public Accounts
- General Land Office
- School for the Deaf

A Report on the Self-reported Implementation of Sunset Advisory Commission Management Actions	19-012	11/30/2018

Entities included:

- Board of Chiropractic Examiners
- Department of Licensing and Regulation
- Palo Duro Water District
- Sulphur River Basin Authority
- Upper Colorado River Authority
- Board of Pharmacy
- Employees Retirement System
- Railroad Commission
- Texas Board of Nursing
- Board of Veterinary Medical Examiners
- Optometry Board
- State Bar of Texas
- Department of Transportation

Issue Ratings

Auditors use professional judgment to rate the audit findings identified in certain audit reports. For each report, the issue ratings are summarized in the report chapters/subchapters. Auditors determine the ratings based on the degree of risk or effect of the findings in relation to the audit objective(s).

LOW

The audit identified strengths that support the audited entity's ability to administer the program(s)/function(s) audited or the issues identified do not present significant risks or effects that would negatively affect the audited entity's ability to effectively administer the program(s)/function(s) audited.

MEDIUM

Issues identified present risks or effects that if not addressed could moderately affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Action is needed to address the noted concern(s) and reduce risks to a more desirable level.

HIGH

Issues identified present risks or effects that if not addressed could substantially affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Prompt action is essential to address the noted concern(s) and reduce risks to the audited entity.

PRIORITY

Issues identified present risks or effects that if not addressed could critically affect the audited entity's ability to effectively administer the program(s)/function(s) audited. Immediate action is required to address the noted concern(s) and reduce risks to the audited entity.