

By: Kolkhorst

S.B. No. 1497

A BILL TO BE ENTITLED

AN ACT

relating to the audiology and speech-language pathology interstate compact; authorizing fees.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:

SECTION 1. Chapter 401, Occupations Code, is amended by adding Subchapter L to read as follows:

SUBCHAPTER L. AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT

Sec. 401.551. AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT. The Audiology and Speech-Language Pathology Compact is enacted and entered into with all other jurisdictions that legally join in the compact, which reads as follows:

AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY INTERSTATE COMPACT

SECTION 1. PURPOSE

The purpose of this Compact is to facilitate interstate practice of audiology and speech-language pathology with the goal of improving public access to audiology and speech-language pathology services. The practice of audiology and speech-language pathology occurs in the state where the patient/client/student is located at the time of the patient/client/student encounter. The Compact preserves the regulatory authority of states to protect public health and safety through the current system of state licensure.

This Compact is designed to achieve the following objectives:

1           1. Increase public access to audiology and  
2 speech-language pathology services by providing for the mutual  
3 recognition of other member state licenses;

4           2. Enhance the states' ability to protect the public's  
5 health and safety;

6           3. Encourage the cooperation of member states in  
7 regulating multistate audiology and speech-language pathology  
8 practice;

9           4. Support spouses of relocating active duty military  
10 personnel;

11           5. Enhance the exchange of licensure, investigative  
12 and disciplinary information between member states;

13           6. Allow a remote state to hold a provider of services  
14 with a compact privilege in that state accountable to that state's  
15 practice standards; and

16           7. Allow for the use of telehealth technology to  
17 facilitate increased access to audiology and speech-language  
18 pathology services.

19                           SECTION 2. DEFINITIONS

20           As used in this Compact, and except as otherwise provided,  
21 the following definitions shall apply:

22           A. "Active duty military" means full-time duty status in the  
23 active uniformed service of the United States, including members of  
24 the National Guard and Reserve on active duty orders pursuant to 10  
25 U.S.C. Section 1209 and 1211.

26           B. "Adverse action" means any administrative, civil,  
27 equitable or criminal action permitted by a state's laws which is

1 imposed by a licensing board or other authority against an  
2 audiologist or speech-language pathologist, including actions  
3 against an individual's license or privilege to practice such as  
4 revocation, suspension, probation, monitoring of the licensee, or  
5 restriction on the licensee's practice.

6 C. "Alternative program" means a non-disciplinary  
7 monitoring process approved by an audiology or speech-language  
8 pathology licensing board to address impaired practitioners.

9 D. "Audiologist" means an individual who is licensed by a  
10 state to practice audiology.

11 E. "Audiology" means the care and services provided by a  
12 licensed audiologist as set forth in the member state's statutes  
13 and rules.

14 F. "Audiology and Speech-Language Pathology Compact  
15 Commission" or "Commission" means the national administrative body  
16 whose membership consists of all states that have enacted the  
17 Compact.

18 G. "Audiology and speech-language pathology licensing  
19 board," "audiology licensing board," "speech-language pathology  
20 licensing board," or "licensing board" means the agency of a state  
21 that is responsible for the licensing and regulation of  
22 audiologists and/or speech-language pathologists.

23 H. "Compact privilege" means the authorization granted by a  
24 remote state to allow a licensee from another member state to  
25 practice as an audiologist or speech-language pathologist in the  
26 remote state under its laws and rules. The practice of audiology or  
27 speech-language pathology occurs in the member state where the

1 patient/client/student is located at the time of the  
2 patient/client/student encounter.

3 I. "Current significant investigative information" means  
4 investigative information that a licensing board, after an inquiry  
5 or investigation that includes notification and an opportunity for  
6 the audiologist or speech-language pathologist to respond, if  
7 required by state law, has reason to believe is not groundless and,  
8 if proved true, would indicate more than a minor infraction.

9 J. "Data system" means a repository of information about  
10 licensees, including, but not limited to, continuing education,  
11 examination, licensure, investigative, compact privilege and  
12 adverse action.

13 K. "Encumbered license" means a license in which an adverse  
14 action restricts the practice of audiology or speech-language  
15 pathology by the licensee and said adverse action has been reported  
16 to the National Practitioners Data Bank (NPDB).

17 L. "Executive Committee" means a group of directors elected  
18 or appointed to act on behalf of, and within the powers granted to  
19 them by, the Commission.

20 M. "Home state" means the member state that is the  
21 licensee's primary state of residence.

22 N. "Impaired practitioner" means individuals whose  
23 professional practice is adversely affected by substance abuse,  
24 addiction, or other health-related conditions.

25 O. "Licensee" means an individual who currently holds an  
26 authorization from the state licensing board to practice as an  
27 audiologist or speech-language pathologist.

1 P. "Member state" means a state that has enacted the  
2 Compact.

3 Q. "Privilege to practice" means a legal authorization  
4 permitting the practice of audiology or speech-language pathology  
5 in a remote state.

6 R. "Remote state" means a member state other than the home  
7 state where a licensee is exercising or seeking to exercise the  
8 compact privilege.

9 S. "Rule" means a regulation, principle or directive  
10 promulgated by the Commission that has the force of law.

11 T. "Single-state license" means an audiology or  
12 speech-language pathology license issued by a member state that  
13 authorizes practice only within the issuing state and does not  
14 include a privilege to practice in any other member state.

15 U. "Speech-language pathologist" means an individual who is  
16 licensed by a state to practice speech-language pathology.

17 V. "Speech-language pathology means the care and services  
18 provided by a licensed speech-language pathologist as set forth in  
19 the member state's statutes and rules.

20 W. "State" means any state, commonwealth, district or  
21 territory of the United States of America that regulates the  
22 practice of audiology and speech-language pathology.

23 X. "State practice laws" means a member state's laws, rules  
24 and regulations that govern the practice of audiology or  
25 speech-language pathology, define the scope of audiology or  
26 speech-language pathology practice, and create the methods and  
27 grounds for imposing discipline.

1 Y. "Telehealth" means the application of telecommunication  
2 technology to deliver audiology or speech-language pathology  
3 services at a distance for assessment, intervention and/or  
4 consultation.

5 SECTION 3. STATE PARTICIPATION IN THE COMPACT

6 A. A license issued to an audiologist or speech-language  
7 pathologist by a home state to a resident in that state shall be  
8 recognized by each member state as authorizing an audiologist or  
9 speech-language pathologist to practice audiology or  
10 speech-language pathology, under a privilege to practice, in each  
11 member state.

12 B. A state must implement or utilize procedures for  
13 considering the criminal history records of applicants for initial  
14 privilege to practice. These procedures shall include the  
15 submission of fingerprints or other biometric-based information by  
16 applicants for the purpose of obtaining an applicant's criminal  
17 history record information from the Federal Bureau of Investigation  
18 and the agency responsible for retaining that state's criminal  
19 records

20 1. A member state must fully implement a criminal  
21 background check requirement, within a time frame established by  
22 rule, by receiving the results of the Federal Bureau of  
23 Investigation record search on criminal background checks and use  
24 the results in making licensure decisions.

25 2. Communication between a member state, the  
26 Commission and among member states regarding the verification of  
27 eligibility for licensure through the Compact shall not include any

1 information received from the Federal Bureau of Investigation  
2 relating to a federal criminal records check performed by a member  
3 state under Public Law 92-544.

4 C. Upon application for a privilege to practice, the  
5 licensing board in the issuing remote state shall ascertain,  
6 through the data system, whether the applicant has ever held, or is  
7 the holder of, a license issued by any other state, whether there  
8 are any encumbrances on any license or privilege to practice held by  
9 the applicant, whether any adverse action has been taken against  
10 any license or privilege to practice held by the applicant.

11 D. Each member state shall require an applicant to obtain or  
12 retain a license in the home state and meet the home state's  
13 qualifications for licensure or renewal of licensure, as well as,  
14 all other applicable state laws.

15 E. For an audiologist:

16 1. Must meet one of the following educational  
17 requirements:

18 a. On or before, Dec. 31, 2007, has graduated  
19 with a master's degree or doctorate in audiology, or equivalent  
20 degree regardless of degree name, from a program that is accredited  
21 by an accrediting agency recognized by the Council for Higher  
22 Education Accreditation, or its successor, or by the United States  
23 Department of Education and operated by a college or university  
24 accredited by a regional or national accrediting organization  
25 recognized by the board; or

26 b. On or after, Jan. 1, 2008, has graduated with a  
27 Doctoral degree in audiology, or equivalent degree, regardless of

1 degree name, from a program that is accredited by an accrediting  
2 agency recognized by the Council for Higher Education  
3 Accreditation, or its successor, or by the United States Department  
4 of Education and operated by a college or university accredited by a  
5 regional or national accrediting organization recognized by the  
6 board; or

7 c. Has graduated from an audiology program that  
8 is housed in an institution of higher education outside of the  
9 United States (a) for which the program and institution have been  
10 approved by the authorized accrediting body in the applicable  
11 country and (b) the degree program has been verified by an  
12 independent credentials review agency to be comparable to a state  
13 licensing board-approved program.

14 2. Has completed a supervised clinical practicum  
15 experience from an accredited educational institution or its  
16 cooperating programs as required by the board;

17 3. Has successfully passed a national examination  
18 approved by the Commission;

19 4. Holds an active, unencumbered license;

20 5. Has not been convicted or found guilty, and has not  
21 entered into an agreed disposition, of a felony related to the  
22 practice of audiology, under applicable state or federal criminal  
23 law;

24 6. Has a valid United States Social Security or  
25 National Practitioner Identification number.

26 F. For a speech-language pathologist:

27 1. Must meet one of the following educational



1 requirements:

2 a. Has graduated with a master's degree from a  
3 speech-language pathology program that is accredited by an  
4 organization recognized by the United States Department of  
5 Education and operated by a college or university accredited by a  
6 regional or national accrediting organization recognized by the  
7 board; or

8 b. Has graduated from a speech-language  
9 pathology program that is housed in an institution of higher  
10 education outside of the United States (a) for which the program and  
11 institution have been approved by the authorized accrediting body  
12 in the applicable country and (b) the degree program has been  
13 verified by an independent credentials review agency to be  
14 comparable to a state licensing board-approved program.

15 2. Has completed a supervised clinical practicum  
16 experience from an educational institution or its cooperating  
17 programs as required by the Commission;

18 3. Has completed a supervised postgraduate  
19 professional experience as required by the Commission

20 4. Has successfully passed a national examination  
21 approved by the Commission;

22 5. Holds an active, unencumbered license;

23 6. Has not been convicted or found guilty, and has not  
24 entered into an agreed disposition, of a felony related to the  
25 practice of speech-language pathology, under applicable state or  
26 federal criminal law;

27 7. Has a valid United States Social Security or

1 National Practitioner Identification number.

2 G. The privilege to practice is derived from the home state  
3 license.

4 H. An audiologist or speech-language pathologist practicing  
5 in a member state must comply with the state practice laws of the  
6 state in which the client is located at the time service is  
7 provided. The practice of audiology and speech-language pathology  
8 shall include all audiology and speech-language pathology practice  
9 as defined by the state practice laws of the member state in which  
10 the client is located. The practice of audiology and  
11 speech-language pathology in a member state under a privilege to  
12 practice shall subject an audiologist or speech-language  
13 pathologist to the jurisdiction of the licensing board, the courts  
14 and the laws of the member state in which the client is located at  
15 the time service is provided.

16 I. Individuals not residing in a member state shall continue  
17 to be able to apply for a member state's single-state license as  
18 provided under the laws of each member state. However, the  
19 single-state license granted to these individuals shall not be  
20 recognized as granting the privilege to practice audiology or  
21 speech-language pathology in any other member state. Nothing in  
22 this Compact shall affect the requirements established by a member  
23 state for the issuance of a single-state license.

24 J. Member states may charge a fee for granting a compact  
25 privilege.

26 K. Member states must comply with the bylaws and rules and  
27 regulations of the Commission.

1                   SECTION 4. COMPACT PRIVILEGE

2           A. To exercise the compact privilege under the terms and  
3 provisions of the Compact, the audiologist or speech-language  
4 pathologist shall:

- 5                   1. Hold an active license in the home state;  
6                   2. Have no encumbrance on any state license;  
7                   3. Be eligible for a compact privilege in any member  
8 state in accordance with Section 3;  
9                   4. Have not had any adverse action against any license  
10 or compact privilege within the previous 2 years from date of  
11 application;  
12                   5. Notify the Commission that the licensee is seeking  
13 the compact privilege within a remote state(s);  
14                   6. Pay any applicable fees, including any state fee,  
15 for the compact privilege;  
16                   7. Report to the Commission adverse action taken by  
17 any non-member state within 30 days from the date the adverse action  
18 is taken.

19           B. For the purposes of the compact privilege, an audiologist  
20 or speech-language pathologist shall only hold one home state  
21 license at a time.

22           C. Except as provided in Section 6, if an audiologist or  
23 speech-language pathologist changes primary state of residence by  
24 moving between two-member states, the audiologist or  
25 speech-language pathologist must apply for licensure in the new  
26 home state, and the license issued by the prior home state shall be  
27 deactivated in accordance with applicable rules adopted by the

1 Commission.

2 D. The audiologist or speech-language pathologist may apply  
3 for licensure in advance of a change in primary state of residence.

4 E. A license shall not be issued by the new home state until  
5 the audiologist or speech-language pathologist provides  
6 satisfactory evidence of a change in primary state of residence to  
7 the new home state and satisfies all applicable requirements to  
8 obtain a license from the new home state.

9 F. If an audiologist or speech-language pathologist changes  
10 primary state of residence by moving from a member state to a  
11 non-member state, the license issued by the prior home state shall  
12 convert to a single-state license, valid only in the former home  
13 state.

14 G. The compact privilege is valid until the expiration date  
15 of the home state license. The licensee must comply with the  
16 requirements of Section 4A to maintain the compact privilege in the  
17 remote state.

18 H. A licensee providing audiology or speech-language  
19 pathology services in a remote state under the compact privilege  
20 shall function within the laws and regulations of the remote state.

21 I. A licensee providing audiology or speech-language  
22 pathology services in a remote state is subject to that state's  
23 regulatory authority. A remote state may, in accordance with due  
24 process and that state's laws, remove a licensee's compact  
25 privilege in the remote state for a specific period of time, impose  
26 finances, and/or take any other necessary actions to protect the  
27 health and safety of its citizens.

1       J. If a home state license is encumbered, the licensee shall  
2 lose the compact privilege in any remote state until the following  
3 occur:

- 4               1. The home state license is no longer encumbered; and  
5               2. Two years have elapsed from the date of the adverse  
6 action.

7       K. Once an encumbered license in the home state is restored  
8 to good standing, the licensee must meet the requirements of  
9 Section 4A to obtain a compact privilege in any remote state.

10       L. Once the requirements of Section 4J have been met, the  
11 licensee must meet the requirements in Section 4A to obtain a  
12 compact privilege in a remote state.

13               SECTION 5. COMPACT PRIVILEGE TO PRACTICE TELEHEALTH

14       Member states shall recognize the right of an audiologist or  
15 speech-language pathologist, licensed by a home state in accordance  
16 with Section 3 and under rules promulgated by the Commission, to  
17 practice audiology or speech-language pathology in any member state  
18 via telehealth under a privilege to practice as provided in the  
19 Compact and rules promulgated by the Commission.

20               SECTION 6. ACTIVE DUTY MILITARY PERSONNEL OR THEIR SPOUSES

21       Active duty military personnel, or their spouse, shall  
22 designate a home state where the individual has a current license in  
23 good standing. The individual may retain the home state  
24 designation during the period the service member is on active duty.  
25 Subsequent to designating a home state, the individual shall only  
26 change their home state through application for licensure in the  
27 new state.

1                   SECTION 7. ADVERSE ACTIONS

2           A. In addition to the other powers conferred by state law, a  
3 remote state shall have the authority, in accordance with existing  
4 state due process law, to:

5               1. Take adverse action against an audiologist's or  
6 speech-language pathologist's privilege to practice within that  
7 member state.

8               2. Issue subpoenas for both hearings and  
9 investigations that require the attendance and testimony of  
10 witnesses as well as the production of evidence. Subpoenas issued  
11 by a licensing board in a member state for the attendance and  
12 testimony of witnesses or the production of evidence from another  
13 member state shall be enforced in the latter state by any court of  
14 competent jurisdiction, according to the practice and procedure of  
15 that court applicable to subpoenas issued in proceedings pending  
16 before it. The issuing authority shall pay any witness fees, travel  
17 expenses, mileage and other fees required by the service statutes  
18 of the state in which the witnesses or evidence are located.

19               3. Only the home state shall have the power to take  
20 adverse action against a audiologist's or speech-language  
21 pathologist's license issued by the home state.

22           B. For purposes of taking adverse action, the home state  
23 shall give the same priority and effect to reported conduct  
24 received from a member state as it would if the conduct had occurred  
25 within the home state. In so doing, the home state shall apply its  
26 own state laws to determine appropriate action.

27           C. The home state shall complete any pending investigations

1 of an audiologist or speech-language pathologist who changes  
2 primary state of residence during the course of the investigations.  
3 The home state shall also have the authority to take appropriate  
4 action(s) and shall promptly report the conclusions of the  
5 investigations to the administrator of the data system. The  
6 administrator of the coordinated licensure information system  
7 shall promptly notify the new home state of any adverse actions.

8 D. If otherwise permitted by state law, recover from the  
9 affected audiologist or speech-language pathologist the costs of  
10 investigations and disposition of cases resulting from any adverse  
11 action taken against that audiologist or speech-language  
12 pathologist.

13 E. Take adverse action based on the factual findings of the  
14 remote state, provided that the home state follows its own  
15 procedures for taking the adverse action.

16 F. Joint Investigations

17 1. In addition to the authority granted to a member  
18 state by its respective audiology or speech-language pathology  
19 practice act or other applicable state law, any member state may  
20 participate with other member states in joint investigations of  
21 licensees.

22 2. Member states shall share any investigative,  
23 litigation, or compliance materials in furtherance of any joint or  
24 individual investigation initiated under the Compact.

25 G. If adverse action is taken by the home state against an  
26 audiologist's or speech language pathologist's license, the  
27 audiologist's or speech-language pathologist's privilege to

1 practice in all other member states shall be deactivated until all  
2 encumbrances have been removed from the state license. All home  
3 state disciplinary orders that impose adverse action against an  
4 audiologist's or speech language pathologist's license shall  
5 include a statement that the audiologist's or speech-language  
6 pathologist's privilege to practice is deactivated in all member  
7 states during the pendency of the order.

8 H. If a member state takes adverse action, it shall promptly  
9 notify the administrator of the data system. The administrator of  
10 the data system shall promptly notify the home state of any adverse  
11 actions by remote states.

12 I. Nothing in this Compact shall override a member state's  
13 decision that participation in an alternative program may be used  
14 in lieu of adverse action.

15 SECTION 8. ESTABLISHMENT OF THE AUDIOLOGY AND SPEECH-LANGUAGE  
16 PATHOLOGY COMPACT COMMISSION

17 A. The Compact member states hereby create and establish a  
18 joint public agency known as the Audiology and Speech-Language  
19 Pathology Compact Commission:

20 1. The Commission is an instrumentality of the Compact  
21 states.

22 2. Venue is proper and judicial proceedings by or  
23 against the Commission shall be brought solely and exclusively in a  
24 court of competent jurisdiction where the principal office of the  
25 Commission is located. The Commission may waive venue and  
26 jurisdictional defenses to the extent it adopts or consents to  
27 participate in alternative dispute resolution proceedings.



1           3. Nothing in this Compact shall be construed to be a  
2 waiver of sovereign immunity.

3           B. Membership, Voting and Meetings

4           1. Each member state shall have two (2) delegates  
5 selected by that member state's licensing board. The delegates  
6 shall be current members of the licensing board. One shall be an  
7 audiologist and one shall be a speech-language pathologist.

8           2. An additional five (5) delegates, who are either a  
9 public member or board administrator from a state licensing board,  
10 shall be chosen by the Executive Committee from a pool of nominees  
11 provided by the Commission at Large.

12           3. Any delegate may be removed or suspended from  
13 office as provided by the law of the state from which the delegate  
14 is appointed.

15           4. The member state board shall fill any vacancy  
16 occurring on the Commission, within 90 days.

17           5. Each delegate shall be entitled to one (1) vote with  
18 regard to the promulgation of rules and creation of bylaws and shall  
19 otherwise have an opportunity to participate in the business and  
20 affairs of the Commission.

21           6. A delegate shall vote in person or by other means as  
22 provided in the bylaws. The bylaws may provide for delegates'  
23 participation in meetings by telephone or other means of  
24 communication.

25           7. The Commission shall meet at least once during each  
26 calendar year. Additional meetings shall be held as set forth in the  
27 bylaws.

1        C. The Commission shall have the following powers and  
2 duties:

3            1. Establish the fiscal year of the Commission;

4            2. Establish bylaws;

5            3. Establish a Code of Ethics;

6            4. Maintain its financial records in accordance with  
7 the bylaws;

8            5. Meet and take actions as are consistent with the  
9 provisions of this Compact and the bylaws;

10           6. Promulgate uniform rules to facilitate and  
11 coordinate implementation and administration of this Compact. The  
12 rules shall have the force and effect of law and shall be binding in  
13 all member states;

14           7. Bring and prosecute legal proceedings or actions in  
15 the name of the Commission, provided that the standing of any state  
16 audiology or speech-language pathology licensing board to sue or be  
17 sued under applicable law shall not be affected;

18           8. Purchase and maintain insurance and bonds;

19           9. Borrow, accept, or contract for services of  
20 personnel, including, but not limited to, employees of a member  
21 state;

22           10. Hire employees, elect or appoint officers, fix  
23 compensation, define duties, grant individuals appropriate  
24 authority to carry out the purposes of the Compact, and to establish  
25 the Commission's personnel policies and programs relating to  
26 conflicts of interest, qualifications of personnel, and other  
27 related personnel matters;

1           11. Accept any and all appropriate donations and  
2 grants of money, equipment, supplies, materials and services, and  
3 to receive, utilize and dispose of the same; provided that at all  
4 times the Commission shall avoid any appearance of impropriety  
5 and/or conflict of interest;

6           12. Lease, purchase, accept appropriate gifts or  
7 donations of, or otherwise to own, hold, improve or use, any  
8 property, real, personal or mixed; provided that at all times the  
9 Commission shall avoid any appearance of impropriety;

10           13. Sell convey, mortgage, pledge, lease, exchange,  
11 abandon, or otherwise dispose of any property real, personal, or  
12 mixed;

13           14. Establish a budget and make expenditures;

14           15. Borrow money;

15           16. Appoint committees, including standing committees  
16 composed of members, and other interested persons as may be  
17 designated in this Compact and the bylaws;

18           17. Provide and receive information from, and  
19 cooperate with, law enforcement agencies;

20           18. Establish and elect an Executive Committee; and

21           19. Perform other functions as may be necessary or  
22 appropriate to achieve the purposes of this Compact consistent with  
23 the state regulation of audiology and speech-language pathology  
24 licensure and practice.

25           D. The Executive Committee

26           The Executive Committee shall have the power to act on behalf  
27 of the Commission according to the terms of this Compact:

1           1. The Executive Committee shall be composed of ten  
2 (10) members:

3           a. Seven (7) voting members who are elected by  
4 the Commission from the current membership of the Commission;

5           b. Two (2) ex-officios, consisting of one  
6 nonvoting member from a recognized national audiology professional  
7 association and one nonvoting member from a recognized national  
8 speech-language pathology association; and

9           c. One (1) ex-officio, nonvoting member from the  
10 recognized membership organization of the audiology and  
11 speech-language pathology licensing boards.

12           E. The ex-officio members shall be selected by their  
13 respective organizations.

14           1. The Commission may remove any member of the  
15 Executive Committee as provided in bylaws.

16           2. The Executive Committee shall meet at least  
17 annually.

18           3. The Executive Committee shall have the following  
19 duties and responsibilities:

20           a. Recommend to the entire Commission changes to  
21 the rules or bylaws, changes to this Compact legislation, fees paid  
22 by Compact member states such as annual dues, and any commission  
23 Compact fee charged to licensees for the compact privilege;

24           b. Ensure Compact administration services are  
25 appropriately provided, contractual or otherwise;

26           c. Prepare and recommend the budget;

27           d. Maintain financial records on behalf of the

1 Commission;

2 e. Monitor Compact compliance of member states  
3 and provide compliance reports to the Commission;

4 f. Establish additional committees as necessary;  
5 and

6 g. Other duties as provided in rules or bylaws.

7 4. Meetings of the Commission

8 All meetings shall be open to the public, and public notice of  
9 meetings shall be given in the same manner as required under the  
10 rulemaking provisions in Section 10.

11 5. The Commission or the Executive Committee or other  
12 committees of the Commission may convene in a closed, non-public  
13 meeting if the Commission or Executive Committee or other  
14 committees of the Commission must discuss:

15 a. Non-compliance of a member state with its  
16 obligations under the Compact;

17 b. The employment, compensation, discipline or  
18 other matters, practices or procedures related to specific  
19 employees or other matters related to the Commission's internal  
20 personnel practices and procedures;

21 c. Current, threatened, or reasonably  
22 anticipated litigation;

23 d. Negotiation of contracts for the purchase,  
24 lease, or sale of goods, services, or real estate;

25 e. Accusing any person of a crime or formally  
26 censuring any person;

27 f. Disclosure of trade secrets or commercial or

1 financial information that is privileged or confidential;

2 g. Disclosure of information of a personal nature  
3 where disclosure would constitute a clearly unwarranted invasion of  
4 personal privacy;

5 h. Disclosure of investigative records compiled  
6 for law enforcement purposes;

7 i. Disclosure of information related to any  
8 investigative reports prepared by or on behalf of or for use of the  
9 Commission or other committee charged with responsibility of  
10 investigation or determination of compliance issues pursuant to the  
11 Compact; or

12 j. Matters specifically exempted from disclosure  
13 by federal or member state statute.

14 6. If a meeting, or portion of a meeting, is closed  
15 pursuant to this provision, the Commission's legal counsel or  
16 designee shall certify that the meeting may be closed and shall  
17 reference each relevant exempting provision.

18 7. The Commission shall keep minutes that fully and  
19 clearly describe all matters discussed in a meeting and shall  
20 provide a full and accurate summary of actions taken, and the  
21 reasons therefore, including a description of the views expressed.  
22 All documents considered in connection with an action shall be  
23 identified in minutes. All minutes and documents of a closed  
24 meeting shall remain under seal, subject to release by a majority  
25 vote of the Commission or order of a court of competent  
26 jurisdiction.

27 8. Financing of the Commission

1           a. The Commission shall pay, or provide for the  
2 payment of, the reasonable expenses of its establishment,  
3 organization, and ongoing activities.

4           b. The Commission may accept any and all  
5 appropriate revenue sources, donations, and grants of money,  
6 equipment, supplies, materials, and services.

7           c. The Commission may levy on and collect an  
8 annual assessment from each member state or impose fees on other  
9 parties to cover the cost of the operations and activities of the  
10 Commission and its staff, which must be in a total amount sufficient  
11 to cover its annual budget as approved each year for which revenue  
12 is not provided by other sources. The aggregate annual assessment  
13 amount shall be allocated based upon a formula to be determined by  
14 the Commission, which shall promulgate a rule binding upon all  
15 member states.

16           9. The Commission shall not incur obligations of any  
17 kind prior to securing the funds adequate to meet the same; nor  
18 shall the Commission pledge the credit of any of the member states,  
19 except by and with the authority of the member state.

20           10. The Commission shall keep accurate accounts of all  
21 receipts and disbursements. The receipts and disbursements of the  
22 Commission shall be subject to the audit and accounting procedures  
23 established under its bylaws. However, all receipts and  
24 disbursements of funds handled by the Commission shall be audited  
25 yearly by a certified or licensed public accountant, and the report  
26 of the audit shall be included in and become part of the annual  
27 report of the Commission.

1           F. Qualified Immunity, Defense, and Indemnification

2           1. The members, officers, executive director,  
3 employees and representatives of the Commission shall be immune  
4 from suit and liability, either personally or in their official  
5 capacity, for any claim for damage to or loss of property or  
6 personal injury or other civil liability caused by or arising out of  
7 any actual or alleged act, error or omission that occurred, or that  
8 the person against whom the claim is made had a reasonable basis for  
9 believing occurred within the scope of Commission employment,  
10 duties or responsibilities; provided that nothing in this paragraph  
11 shall be construed to protect any person from suit and/or liability  
12 for any damage, loss, injury, or liability caused by the  
13 intentional or willful or wanton misconduct of that person.

14           2. The Commission shall defend any member, officer,  
15 executive director, employee or representative of the Commission in  
16 any civil action seeking to impose liability arising out of any  
17 actual or alleged act, error, or omission that occurred within the  
18 scope of Commission employment, duties, or responsibilities, or  
19 that the person against whom the claim is made had a reasonable  
20 basis for believing occurred within the scope of Commission  
21 employment, duties, or responsibilities; provided that nothing  
22 herein shall be construed to prohibit that person from retaining  
23 his or her own counsel; and provided further, that the actual or  
24 alleged act, error, or omission did not result from that person's  
25 intentional or willful or wanton misconduct.

26           3. The Commission shall indemnify and hold harmless  
27 any member, officer, executive director, employee, or



1 representative of the Commission for the amount of any settlement  
2 or judgment obtained against that person arising out of any actual  
3 or alleged act, error or omission that occurred within the scope of  
4 Commission employment, duties, or responsibilities, or that person  
5 had a reasonable basis for believing occurred within the scope of  
6 Commission employment, duties, or responsibilities, provided that  
7 the actual or alleged act, error, or omission did not result from  
8 the intentional or willful or wanton misconduct of that person.

9 SECTION 9. DATA SYSTEM

10 A. The Commission shall provide for the development,  
11 maintenance, and utilization of a coordinated database and  
12 reporting system containing licensure, adverse action, and  
13 investigative information on all licensed individuals in member  
14 states.

15 B. Notwithstanding any other provision of state law to the  
16 contrary, a member state shall submit a uniform data set to the data  
17 system on all individuals to whom this Compact is applicable as  
18 required by the rules of the Commission, including:

- 19 1. Identifying information;
- 20 2. Licensure data;
- 21 3. Adverse actions against a license or compact  
22 privilege;
- 23 4. Non-confidential information related to  
24 alternative program participation;
- 25 5. Any denial of application for licensure, and the  
26 reason(s) for denial; and
- 27 6. Other information that may facilitate the

1 administration of this Compact, as determined by the rules of the  
2 Commission.

3 C. Investigative information pertaining to a licensee in  
4 any member state shall only be available to other member states.

5 D. The Commission shall promptly notify all member states of  
6 any adverse action taken against a licensee or an individual  
7 applying for a license. Adverse action information pertaining to a  
8 licensee in any member state shall be available to any other member  
9 state.

10 E. Member states contributing information to the data  
11 system may designate information that may not be shared with the  
12 public without the express permission of the contributing state.

13 F. Any information submitted to the data system that is  
14 subsequently required to be expunged by the laws of the member state  
15 contributing the information shall be removed from the data system.

16 SECTION 10. RULEMAKING

17 A. The Commission shall exercise its rulemaking powers  
18 pursuant to the criteria set forth in this Section and the rules  
19 adopted thereunder. Rules and amendments shall become binding as  
20 of the date specified in each rule or amendment.

21 B. If a majority of the legislatures of the member states  
22 rejects a rule, by enactment of a statute or resolution in the same  
23 manner used to adopt the Compact within 4 years of the date of  
24 adoption of the rule, the rule shall have no further force and  
25 effect in any member state.

26 C. Rules or amendments to the rules shall be adopted at a  
27 regular or special meeting of the Commission.

1 D. Prior to promulgation and adoption of a final rule or  
2 rules by the Commission, and at least thirty (30) days in advance of  
3 the meeting at which the rule shall be considered and voted upon,  
4 the Commission shall file a Notice of Proposed Rulemaking:

5 1. On the website of the Commission or other publicly  
6 accessible platform; and

7 2. On the website of each member state audiology or  
8 speech-language pathology licensing board or other publicly  
9 accessible platform or the publication in which each state would  
10 otherwise publish proposed rules.

11 E. The Notice of Proposed Rulemaking shall include:

12 1. The proposed time, date, and location of the  
13 meeting in which the rule shall be considered and voted upon;

14 2. The text of the proposed rule or amendment and the  
15 reason for the proposed rule;

16 3. A request for comments on the proposed rule from any  
17 interested person; and

18 4. The manner in which interested persons may submit  
19 notice to the Commission of their intention to attend the public  
20 hearing and any written comments.

21 F. Prior to the adoption of a proposed rule, the Commission  
22 shall allow persons to submit written data, facts, opinions and  
23 arguments, which shall be made available to the public.

24 G. The Commission shall grant an opportunity for a public  
25 hearing before it adopts a rule or amendment if a hearing is  
26 requested by:

27 1. At least twenty-five (25) persons;

1           2. A state or federal governmental subdivision or  
2 agency; or

3           3. An association having at least twenty-five (25)  
4 members.

5           H. If a hearing is held on the proposed rule or amendment,  
6 the Commission shall publish the place, time, and date of the  
7 scheduled public hearing. If the hearing is held via electronic  
8 means, the Commission shall publish the mechanism for access to the  
9 electronic hearing.

10           1. All persons wishing to be heard at the hearing shall  
11 notify the executive director of the Commission or other designated  
12 member in writing of their desire to appear and testify at the  
13 hearing not less than five (5) business days before the scheduled  
14 date of the hearing.

15           2. Hearings shall be conducted in a manner providing  
16 each person who wishes to comment a fair and reasonable opportunity  
17 to comment orally or in writing.

18           3. All hearings shall be recorded. A copy of the  
19 recording shall be made available on request.

20           4. Nothing in this section shall be construed as  
21 requiring a separate hearing on each rule. Rules may be grouped for  
22 the convenience of the Commission at hearings required by this  
23 section.

24           I. Following the scheduled hearing date, or by the close of  
25 business on the scheduled hearing date if the hearing was not held,  
26 the Commission shall consider all written and oral comments  
27 received.

1 J. If no written notice of intent to attend the public  
2 hearing by interested parties is received, the Commission may  
3 proceed with promulgation of the proposed rule without a public  
4 hearing.

5 K. The Commission shall, by majority vote of all members,  
6 take final action on the proposed rule and shall determine the  
7 effective date of the rule, if any, based on the rulemaking record  
8 and the full text of the rule.

9 L. Upon determination that an emergency exists, the  
10 Commission may consider and adopt an emergency rule without prior  
11 notice, opportunity for comment, or hearing, provided that the  
12 usual rulemaking procedures provided in the Compact and in this  
13 section shall be retroactively applied to the rule as soon as  
14 reasonably possible, in no event later than ninety (90) days after  
15 the effective date of the rule. For the purposes of this provision,  
16 an emergency rule is one that must be adopted immediately in order  
17 to:

18 1. Meet an imminent threat to public health, safety,  
19 or welfare;

20 2. Prevent a loss of Commission or member state funds;

21 or

22 3. Meet a deadline for the promulgation of an  
23 administrative rule that is established by federal law or rule.

24 M. The Commission or an authorized committee of the  
25 Commission may direct revisions to a previously adopted rule or  
26 amendment for purposes of correcting typographical errors, errors  
27 in format, errors in consistency, or grammatical errors. Public

1 notice of any revisions shall be posted on the website of the  
2 Commission. The revision shall be subject to challenge by any  
3 person for a period of thirty (30) days after posting. The revision  
4 may be challenged only on grounds that the revision results in a  
5 material change to a rule. A challenge shall be made in writing and  
6 delivered to the chair of the Commission prior to the end of the  
7 notice period. If no challenge is made, the revision shall take  
8 effect without further action. If the revision is challenged, the  
9 revision may not take effect without the approval of the  
10 Commission.

11 SECTION 11. OVERSIGHT, DISPUTE RESOLUTION, AND ENFORCEMENT

12 A. Dispute Resolution

13 1. Upon request by a member state, the Commission  
14 shall attempt to resolve disputes related to the Compact that arise  
15 among member states and between member and non-member states.

16 2. The Commission shall promulgate a rule providing  
17 for both mediation and binding dispute resolution for disputes as  
18 appropriate.

19 B. Enforcement

20 1. The Commission, in the reasonable exercise of its  
21 discretion, shall enforce the provisions and rules of this Compact.

22 2. By majority vote, the Commission may initiate legal  
23 action in the United States District Court for the District of  
24 Columbia or the federal district where the Commission has its  
25 principal offices against a member state in default to enforce  
26 compliance with the provisions of the Compact and its promulgated  
27 rules and bylaws. The relief sought may include both injunctive

1 relief and damages. In the event judicial enforcement is necessary,  
2 the prevailing member shall be awarded all costs of litigation,  
3 including reasonable attorney's fees.

4 3. The remedies herein shall not be the exclusive  
5 remedies of the Commission. The Commission may pursue any other  
6 remedies available under federal or state law.

7 SECTION 12. DATE OF IMPLEMENTATION OF THE INTERSTATE COMMISSION  
8 FOR AUDIOLOGY AND SPEECH-LANGUAGE PATHOLOGY PRACTICE AND  
9 ASSOCIATED RULES, WITHDRAWAL, AND AMENDMENT

10 A. The Compact shall come into effect on the date on which  
11 the Compact statute is enacted into law in the 10th member state.  
12 The provisions, which become effective at that time, shall be  
13 limited to the powers granted to the Commission relating to  
14 assembly and the promulgation of rules. Thereafter, the Commission  
15 shall meet and exercise rulemaking powers necessary to the  
16 implementation and administration of the Compact.

17 B. Any state that joins the Compact subsequent to the  
18 Commission's initial adoption of the rules shall be subject to the  
19 rules as they exist on the date on which the Compact becomes law in  
20 that state. Any rule that has been previously adopted by the  
21 Commission shall have the full force and effect of law on the day  
22 the Compact becomes law in that state.

23 C. Any member state may withdraw from this Compact by  
24 enacting a statute repealing the same.

25 1. A member state's withdrawal shall not take effect  
26 until six (6) months after enactment of the repealing statute.

27 2. Withdrawal shall not affect the continuing

1 requirement of the withdrawing state's audiology or  
2 speech-language pathology licensing board to comply with the  
3 investigative and adverse action reporting requirements of this act  
4 prior to the effective date of withdrawal.

5 D. Nothing contained in this Compact shall be construed to  
6 invalidate or prevent any audiology or speech-language pathology  
7 licensure agreement or other cooperative arrangement between a  
8 member state and a non-member state that does not conflict with the  
9 provisions of this Compact.

10 E. This Compact may be amended by the member states. No  
11 amendment to this Compact shall become effective and binding upon  
12 any member state until it is enacted into the laws of all member  
13 states.

14 SECTION 13. CONSTRUCTION AND SEVERABILITY

15 This Compact shall be liberally construed so as to effectuate  
16 the purposes thereof. The provisions of this Compact shall be  
17 severable and if any phrase, clause, sentence or provision of this  
18 Compact is declared to be contrary to the constitution of any member  
19 state or of the United States or the applicability thereof to any  
20 government, agency, person or circumstance is held invalid, the  
21 validity of the remainder of this Compact and the applicability  
22 thereof to any government, agency, person or circumstance shall not  
23 be affected thereby. If this Compact shall be held contrary to the  
24 constitution of any member state, the Compact shall remain in full  
25 force and effect as to the remaining member states and in full force  
26 and effect as to the member state affected as to all severable  
27 matters.



1           SECTION 14. BINDING EFFECT OF COMPACT AND OTHER LAWS

2           A. Nothing herein prevents the enforcement of any other law  
3 of a member state that is not inconsistent with the Compact.

4           B. All laws in a member state in conflict with the Compact  
5 are superseded to the extent of the conflict.

6           C. All lawful actions of the Commission, including all rules  
7 and bylaws promulgated by the Commission, are binding upon the  
8 member states.

9           D. All agreements between the Commission and the member  
10 states are binding in accordance with their terms.

11           E. In the event any provision of the Compact exceeds the  
12 constitutional limits imposed on the legislature of any member  
13 state, the provision shall be ineffective to the extent of the  
14 conflict with the constitutional provision in question in that  
15 member state.

16           Sec. 401.552. ADMINISTRATION OF COMPACT. The department is  
17 the Audiology and Speech-Language Pathology Compact administrator  
18 for this state.

19           Sec. 401.553. RULES. The commission may adopt rules  
20 necessary to implement this subchapter.

21           SECTION 2. This Act takes effect September 1, 2021.