By: Miles S.B. No. 1628

## A BILL TO BE ENTITLED

1	AN ACT	

- 2 relating to the authority of the Health and Human Services
- 3 Commission's office of the ombudsman to resolve complaints against
- 4 the Department of Family and Protective Services.
- 5 BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF TEXAS:
- 6 SECTION 1. Sections 40.0041(a), (b), (c), (d), (e), and
- 7 (f), Human Resources Code, are amended to read as follows:
- 8 (a) The executive commissioner by rule shall develop and
- 9 implement a uniform process for the commission's office of the
- 10 ombudsman to receive [receiving] and resolve [resolving]
- 11 complaints against the department throughout the state. The
- 12 process shall include:
- 13 (1) statewide procedures through which the public,
- 14 consumers, and service recipients are informed:
- 15 (A) of the right to make a complaint against the
- 16 department, including the mailing addresses and telephone numbers
- 17 of appropriate [ $\frac{department}{department}$ ] personnel within the office of the
- 18 ombudsman responsible for receiving complaints and providing
- 19 related assistance; and
- 20 (B) of the [department's] procedures of the
- 21 office of the ombudsman for resolving a complaint, including the
- 22 right to appeal a decision made at the local level by department
- 23 personnel;
- 24 (2) development and statewide distribution of a form

- 1 or telephone system that may be used to make a complaint;
- 2 (3) a requirement that the <u>office of the ombudsman</u>
- 3 [department] provide information by mail or telephone regarding the
- 4 [department's] procedures of the office of the ombudsman for
- 5 investigating and resolving a complaint to each person who makes a
- 6 complaint; and
- 7 (4) a requirement that the office of the ombudsman
- 8 [department] provide status information at least quarterly to a
- 9 person with a pending complaint against the department, unless the
- 10 information would jeopardize an undercover investigation.
- 11 (b) In addition to other appropriate methods, the
- 12 <u>commission and the</u> department may provide the information specified
- 13 by Subsection (a)(1):
- 14 (1) on each registration form, application, or written
- 15 contract for services of a person regulated by the commission
- 16 [department];
- 17 (2) on a sign prominently displayed in the place of
- 18 business of each person regulated by the commission [department];
- 19 or
- 20 (3) in a bill for service provided by a person
- 21 regulated by the  $\underline{\text{commission}}$  [ $\underline{\text{department}}$ ].
- (c) The <u>commission's office of the ombudsman</u> [department]
- 23 shall keep an information file about each complaint made against
- 24 the department that the office of the ombudsman [department] has
- 25 authority to resolve.
- 26 (d) The executive commissioner shall develop a consistent,
- 27 statewide process for encouraging the submission of complaints to

- 1 local department personnel before contacting the commission's
- 2 office of the ombudsman to allow department staff [addressing an
- 3 appeal by a person dissatisfied with the resolution of a complaint
- 4 at the regional level. The process shall include] an opportunity to
- 5 resolve the complaints [for appeal of a complaint without the
- 6 participation of the department's ombudsman office].
- 7 (e) The <u>commission's office of the ombudsman</u> [department]
- 8 shall develop and maintain a centralized tracking system to gather
- 9 information concerning all complaints made against the department
- 10 throughout the state. The department shall require its personnel
- 11 to provide information regarding each complaint for inclusion in
- 12 records maintained under the tracking system [at the department's
- 13 state headquarters], regardless of the location or level at which
- 14 the complaint is initiated or resolved. The office of the ombudsman
- 15 [department] shall require at least the following information to be
- 16 maintained for each complaint:
- 17 (1) the date the complaint is received;
- 18 (2) the name of the person making the complaint;
- 19 (3) the subject matter of the complaint;
- 20 (4) a record of all persons contacted by the office of
- 21 the ombudsman [department] in relation to the complaint;
- 22 (5) a summary of the results of the review or
- 23 investigation of the complaint; and
- 24 (6) for each complaint determined by the office of the
- 25 ombudsman [department] to require no corrective action, an
- 26 explanation of the reason that the complaint was closed without
- 27 action.

- 1 (f) The commission's office of the ombudsman [department]
- 2 shall periodically prepare and deliver reports to the executive
- 3 commissioner and the commissioner regarding the number, type, and
- 4 resolution of complaints made in the state against the department.
- 5 SECTION 2. (a) Not later than January 1, 2022, the
- 6 executive commissioner of the Health and Human Services Commission
- 7 shall adopt the rules necessary to implement the changes in law made
- 8 by this Act.
- 9 (b) Section 40.0041, Human Resources Code, as amended by
- 10 this Act, applies only to a complaint filed on or after January 1,
- 11 2022.
- 12 SECTION 3. This Act takes effect September 1, 2021.